

**CHARACTERS APPEARING IN THE WEIL  
REPRESENTATION OF A REGULAR  
CHARACTER**

A thesis submitted for the degree of

**Doctor of Philosophy**

to the University of Hyderabad

by

**VISHNU NAMBOOTHIRI K**



Department of Mathematics and Statistics

School of Mathematics and Computer/Information Sciences

University of Hyderabad

Hyderabad - 500 046, INDIA

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*To Acchan and his struggles*

*To Amma and her love*

*To all my teachers and their patience*

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Vishnu

## DECLARATION

Department of Mathematics and Statistics

School of MCIS

University of Hyderabad

Hyderabad - 500 046

INDIA

I, **VISHNU NAMBOOTHIRI K** hereby declare that the work embodied in the present thesis entitled **CHARACTERS APPEARING IN THE WEIL REPRESENTATION OF A REGULAR CHARACTER** has been carried out by me under the supervision of Prof. Rajat Tandon, Department of Mathematics and Statistics, University of Hyderabad, Hyderabad - 500 046, India, as per the Ph.D ordinance of the university.

I declare that, to the best of my knowledge and belief, no part of this thesis was earlier submitted for the award of research degree of any university or institution.

Candidate

**Vishnu Namboothiri K**

Roll No: 03MMPP03

## CERTIFICATE

Department of Mathematics and Statistics

School of MCIS

University of Hyderabad

Hyderabad - 500 046

INDIA

This is to certify that Mr. **VISHNU NAMBOOTHIRI K** has carried out the research work embodied in the present thesis entitled **CHARACTERS APPEARING IN THE WEIL REPRESENTATION OF A REGULAR CHARACTER** for the full period prescribed under the University rules. No part of this thesis was earlier submitted for the award of research degree of any University/Institute.

Supervisor

**Prof. Rajat Tandon**

Head

Department of Mathematics and Statistics

**Prof. Rajat Tandon**

Dean

School of MCIS

**Prof. T. Amaranath**

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# Chapter 1

## Introduction

Let  $F$  be a nonarchimedean local field of characteristic not two and  $K$  a separable quadratic extension. Then if  $K = F(x_0)$  with  $x_0$  an element of  $K^*$  whose trace to  $F$  is 0 we have an embedding of  $K^*$  into  $\mathrm{GL}(2, F)$  given by

$$a + bx_0 \mapsto \begin{bmatrix} a & bx_0^2 \\ b & a \end{bmatrix}.$$

It was Tunnell [Tu] who first gave an answer to the following question: if  $\pi$  is an irreducible, admissible representation of  $\mathrm{GL}(2, F)$  what are the characters  $\chi$  of  $K^*$  that occur in the restriction of  $\pi$  to  $K^*$ ? It is immediate that if a character  $\chi$  occurs in such a restriction then  $\chi|_{F^*}$  must be the central character of  $\pi$ . Assuming that the residue characteristic is odd he showed that the multiplicity of such a character in  $\pi|_{K^*}$  must be  $\frac{\epsilon(\Pi \otimes \chi^{-1}, \psi_0) + 1}{2}$ , where  $\Pi$  is a base change lift of  $\pi$  to  $K$  and  $\psi_0$  is nontrivial additive character of  $K$  whose restriction to  $F$  is trivial. Tunnell also showed that under the given circumstances the value of the epsilon factor must be  $\pm 1$ . Hence the multiplicity is either 0 or 1. Tunnell did this, case by case, by computing ‘characters’; H. Saito [S] gave a residue characteristic free proof of the same result. D. Prasad [P1] considered a slightly more general problem. He looked at rep-

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representations  $\pi$  of  $\mathrm{GL}(2, F)$  whose restriction to  $\mathrm{GL}(2, F)^+$  (here  $\mathrm{GL}(2, F)^+$  denotes the subgroup of index 2 in  $\mathrm{GL}(2, F)$  consisting of those matrices whose determinant is in  $N_{K/F}(K^*)$  where  $N_{K/F}$  is the usual norm map from  $K$  to  $F$ ) breaks up as a sum of two irreducible representations  $\pi_+ + \pi_-$  (this excluded, for instance, the exceptional representations whose restriction to  $\mathrm{GL}(2, F)^+$  remain irreducible). If  $\pi$  is supercuspidal then  $\pi = r_\theta$ , the Weil representation of  $\mathrm{GL}(2, F)$  attached to a character  $\theta$  of  $K^*$  whose restriction to  $F^*$  does not factor through the norm map from  $K$  to  $F$ . Using a lemma of Langlands [L], Prasad showed that  $\chi$  occurs in  $r_{\theta_+}$  if and only if  $\epsilon(\theta\chi^{-1}, \psi_0) = \epsilon(\bar{\theta}\chi^{-1}, \psi_0) = 1$  and in  $r_{\theta_-}$  if and only if both the epsilon factors are  $-1$  ( $\bar{\theta}$  is the Galois conjugate of  $\theta$ ). His proof was based on the following identity which is in fact equivalent to his extension theorem:

$$\epsilon(\omega, \psi) \frac{\omega\left(\frac{x-\bar{x}}{x_0-\bar{x}_0}\right)}{\left|\frac{(x-\bar{x})^2}{x\bar{x}}\right|_{F^*}^{\frac{1}{2}}} = \sum_{\chi \in S} \chi(x) \quad (1.1)$$

where  $S$  is the set of characters  $\chi$  of  $K^*$  whose restriction to  $F^*$  is  $\omega_{K/F}$  and such that  $\epsilon(\chi, \psi_0) = 1$ . Prasad's [P1] proof of the identity (1.1) was valid only when the residue characteristic is odd. The residue characteristic even case was open till Prasad [P2] provided a proof. Using a theorem of Waldspurger [W], his own local identity in the odd residue characteristic case, and a local-global technique he was able to conclude that the identity is also true for even residue characteristic (ch  $F=0$ ). Concurrently, Saito [P2] gave a purely local proof of the above identity which was residue characteristic free. He defined an involutive intertwining operator  $T : \pi(1_{F^*}, \omega_{K/F}) \longrightarrow \pi(1_{F^*}, \omega_{K/F})$  which commuted with the  $\mathrm{GL}(2, F)^+$  action. The eigenspaces corresponding to the eigenvalues 1 and  $-1$  turned out to be the spaces for  $\pi_+$  and  $\pi_-$  and Saito defined explicit eigenfunctions in each space and proved that for such eigenfunctions  $f$  we have  $Tf = \epsilon(\chi, \psi_0)f$ . His proof involves

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integral operators and their convergence was the only difficulty in the proof.

We give a local proof of the extension theorem here which depends on the definition of the epsilon factor and nothing else. The proof is transparent and, we hope, better explains the difference between the odd and even residue characteristic cases. It spans the third and fourth chapters of this thesis. We show that in considering  $\sum_{\chi \in S} \chi(x)$  enough cancelations take place to yield the left hand side of the identity. In fact, the right hand side after cancelations reduces to a sum over the characters of a single conductor. Note that the main identity (1.1) does not make sense if  $\text{ch } F=2$  for then  $x_0 \in F$ .

In chapter 3 we complete the proof of the identity (1.1). We explain how this identity could be used together with a lemma of Langlands ([L], 7.19 *the lemma with an embarrassing proof*) to prove the extension of Tunnell's theorem. We state the extension theorem precisely:

**Theorem 1.0.1.** *Let  $r_\theta$  be an irreducible admissible representation of  $GL(2, F)$  associated to a regular character  $\theta$  of  $K^*$ . Fix embeddings of  $K^*$  in  $GL(2, F)^+$  and in  $D^{*+}_F$  (there are two conjugacy classes of such embeddings in general), and choose a nontrivial additive character  $\psi$  of  $F$ , and an element  $x_0$  of  $K^*$  with  $\text{tr}(x_0) = 0$ . Then the representation  $r_\theta$  of  $GL(2, F)$  decomposes as  $r_\theta = r_{\theta+} \oplus r_{\theta-}$  when restricted to  $GL(2, F)^+$  and the representation  $r_{\theta'}$  of  $D^*_F$  decomposes as  $r_{\theta'} = r_{\theta'_+} \oplus r_{\theta'_-}$  when restricted to  $D^{*+}_F$ , such that for a character  $\chi$  of  $K^*$  with  $(\chi \cdot \theta^{-1})|_{F^*} = \omega_{K/F}$ ,  $\chi$  appears in  $r_{\theta+}$  if and only if  $\epsilon(\theta\chi^{-1}, \psi_0) = \epsilon(\bar{\theta}\chi^{-1}, \psi_0) = 1$ ,  $\chi$  appears in  $r_{\theta-}$  if and only if  $\epsilon(\theta\chi^{-1}, \psi_0) = \epsilon(\bar{\theta}\chi^{-1}, \psi_0) = -1$ ,  $\chi$  appears in  $r_{\theta'_+}$  if and only if  $\epsilon(\theta\chi^{-1}, \psi_0) = 1$  and  $\epsilon(\bar{\theta}\chi^{-1}, \psi_0) = -1$ , and  $\chi$  appears in  $r_{\theta'_-}$  if and only if  $\epsilon(\theta\chi^{-1}, \psi_0) = -1$  and  $\epsilon(\bar{\theta}\chi^{-1}, \psi_0) = 1$ .*

In the second part of this thesis we use the above theorem to look at the following problem:

Let  $r_\theta$  be as above. We have, by definition of central character,  $\theta|_{F^*} = \omega_{r_\theta}\omega$  where  $\omega_{r_\theta}$  is the central character of  $r_\theta$  and  $\omega = \omega_{K/F}$ . As we have already noted, since  $\theta|_{F^*} \neq \omega_{r_\theta}$  the character  $\theta$  cannot occur in  $r_\theta|_{K^*}$ . A necessary condition for a character  $\lambda$  of  $K^*$  to occur in  $r_\theta|_{K^*}$  is that its restriction to  $F^*$  should be equal to the central character  $\omega_{r_\theta}$ . The question we would like to ask at this point is whether  $\theta$  twisted by some character  $\lambda$  of  $K^*$  can occur in  $r_\theta$  where  $\lambda|_{F^*} = \omega$  since it satisfies the said necessary condition. That is, whether there exist some  $\lambda$  such that  $\lambda\theta$  occurs in  $r_\theta|_{K^*}$ . We will prove some results which give an affirmative answer to this question. In fact, we will try to count at each conductor level precisely how many characters are there occurring in  $r_{\theta+}$  and in  $r_{\theta-}$ .

## 1.1 Organization of the thesis

We explain the basic terminologies that we use in this work in the second chapter. We also state some known theorems and facts that we frequently use. Our basic references on these will be [Se], [Ne], [Bu], [Ta], [J-L], and [L]. Those terms we have not defined anywhere but used somewhere in this thesis could be found in one of these references. In the third chapter, we lay down the foundation required for proving identity (1.1). Most of the results proved in chapter three will be used in the final chapter also. After proving these basic results, we will turn in chapter four towards proving the identity and then the extension theorem. We in fact imitate [P1] in proving the extension theorem after proving the main identity using our own techniques. We can do this because the lemma of Langlands used in proving the extension theorem in [P1] is residue characteristic free and so true in all residue characteristics.

In chapter five, which is the last chapter of this work, we count the twists of  $\theta$  that occur in the restriction of the Weil representation  $r_\theta$  attached to  $\theta$ , a regular character. We make use of the the above cited theorem for this counting process. We do use an interesting result given at the end of chapter 4 heavily for our computations. The last chapter is divided into two parts, when the extension  $K$  is unramified over  $F$ , and when it is ramified. Unramified case is quite simple where as the ramified situation consists of some what lengthy, but straight forward computations.

We collect our main results of this thesis for quick reference in the next section to conclude this introductory chapter.

## 1.2 Main results

The main theorem in the first part of this thesis ( that is, chapters 3 and 4) is the following. This is in fact equivalent to the extension of Tunnell's theorem. All most all the notations used here are explained in chapter 2.

**Theorem 1.2.1.** *Let  $K$  be a separable quadratic extension of a local field  $F$  of characteristic not two. Let  $\psi$  be a nontrivial additive character of  $F$ , and  $x_0 \in K^*$  such that  $\text{tr}(x_0) = 0$ . Define an additive character  $\psi_0$  of  $K$  by  $\psi_0(x) = \psi(\text{tr}[-xx_0/2])$ . Then*

$$\epsilon(\omega, \psi) \frac{\omega\left(\frac{x-\bar{x}}{x_0-\bar{x}_0}\right)}{\left|\frac{(x-\bar{x})^2}{x\bar{x}}\right|_{F^*}^{\frac{1}{2}}} = \sum_{\chi \in S} \chi(x) \quad (1.2)$$

$x \in K^* - F^*$  where as is usual, the summation on the right is by partial sums over all characters of  $K^*$  of conductor  $\leq n$ .

The following lemma is simple, but plays a pivotal role in our computations. Note that the summation is over  $\chi$ 's of a fixed conductor, not over all

## 1.2 Main results

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$\chi$ 's such that  $\chi|_{F^*} = \omega$ .

**Lemma 1.2.2.**

$$\sum_{\chi \in S(2f) \cup S'(2f)} \chi(y) = 0 \text{ if } y \notin F^* U_K^{2f-1}.$$

**Theorem 1.2.3.**  $|S(l)| = |S'(l)|$  for each feasible  $l$ , that is when  $l = 2d - 1$  or  $l = 2f$  with  $f \geq d$ .

The above theorem states that with a given conductor  $l$ , out of all  $\chi \in \widehat{K^*}$  with restriction to  $F^*$  equal to  $\omega$ , half will have epsilon factor  $+1$  and the remaining will have epsilon factor  $-1$ .

**Theorem 1.2.4.** Let  $x = 1 + \pi_F^{r-1} \pi_K x'$  where  $x' \in U_F$ , then

$$\sum_{\chi \in S(2r+2m)} \chi(x) = \begin{cases} -q^{r-1} & \text{if } m = 0 \\ 0 & m = 1, 2, \dots \text{ and } m \neq d-1 \\ \epsilon(\omega, \psi) \frac{\omega(\frac{x-\bar{x}}{x_0-\bar{x}_0})}{\left| \frac{(x-\bar{x})^2}{x\bar{x}} \right|_{F^*}^{\frac{1}{2}}} & \text{if } m = d-1 \end{cases}$$

and

$$\sum_{\chi' \in S'(2r+2m)} \chi'(x) = \begin{cases} -q^{r-1} & \text{if } m = 0 \\ 0 & m = 1, 2, \dots \text{ and } m \neq d-1 \\ -\epsilon(\omega, \psi) \frac{\omega(\frac{x-\bar{x}}{x_0-\bar{x}_0})}{\left| \frac{(x-\bar{x})^2}{x\bar{x}} \right|_{F^*}^{\frac{1}{2}}} & \text{if } m = d-1 \end{cases}$$

The above is in fact stronger than theorem (1.2.1). In chapter 5, we have the following results:

If  $K/F$  ramified, then

**Lemma 1.2.5.** If  $\frac{\theta}{\bar{\theta}} = (-1)^{v_K}$  then no  $\tilde{\omega}\theta$  can occur in  $r_\theta$  where  $\tilde{\omega} \in S_{2d-1}$ .

**Theorem 1.2.6.** Let  $0 \neq a(\frac{\theta}{\bar{\theta}}) < a(\tilde{\omega})$ . Then among all  $\tilde{\omega} \in S(2d-1)$  half and only half will be such that  $\tilde{\omega}\theta$  occur in  $r_\theta^+$  and among all  $\tilde{\omega} \in S'(2d-1)$  half and only half will be such that  $\tilde{\omega}\theta$  occur in  $r_\theta^-$ .

## 1.2 Main results

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**Theorem 1.2.7.** *Let  $\lambda \in S(2f + 2d)$ ,  $f \geq 0$   $a(\frac{\theta}{\theta}) \leq a(\lambda) - 2d = 2f$ . Then all the elements in  $\{\lambda\theta : \lambda \in S(2f + 2d)\}$  will occur in  $r_{\theta}^+$ . Similarly if  $\lambda' \in S'(2f + 2d)$ , then all the elements in  $\{\lambda'\theta : \lambda' \in S'(2f + 2d)\}$  will occur in  $r_{\theta}^-$ . Therefore the number of  $\lambda\theta$  where  $\lambda \in S_{2f+2d}$  occurring in  $r_{\theta}$  is  $|S_{2f+2d}|$ .*

**Corollary 1.2.8.** *If  $\frac{\theta}{\theta} = (-1)^{v_K}$ , then all  $\lambda \in S(2f + 2d)$  will be such that  $\lambda\theta$  will occur in  $r_{\theta}^+$ . Similarly all  $\lambda' \in S'(2f + 2d)$  will be such that  $\lambda'\theta$  will occur in  $r_{\theta}^-$ .*

**Theorem 1.2.9.** *Let  $\lambda \in S(2f + 2d)$ ,  $2f + 2 < a(\frac{\theta}{\theta}) < a(\lambda)$ . Then among all  $\lambda\theta$  where  $\lambda \in S(2f + 2d)$  exactly half will occur in  $r_{\theta+}$ . Similarly, let  $\lambda' \in S'(2f + 2d)$ ,  $2f + 2 < a(\frac{\theta}{\theta}) < a(\lambda')$ . Then among all  $\lambda'\theta$  where  $\lambda' \in S'(2f + 2d)$  exactly half will occur in  $r_{\theta-}$ . Therefore the number of  $\lambda\theta$  where  $\lambda \in S_{2f+2d}$  occurring in  $r_{\theta}$  is  $|S_{2f+2d}|/2$ .*

**Theorem 1.2.10.** *Let  $\lambda \in S(2f + 2d)$ ,  $a(\frac{\theta}{\theta}) = 2f + 2 < a(\lambda)$ . Then number of  $\lambda\theta$  appearing in  $r_{\theta+}$  where  $\lambda \in S(2f + 2d)$  is  $\frac{q-2}{2}q^{f+d-1}$ . Similarly, let  $\lambda' \in S'(2f + 2d)$ ,  $a(\frac{\theta}{\theta}) = 2f + 2 < a(\lambda')$ . Then number of  $\lambda'\theta$  appearing in  $r_{\theta-}$  where  $\lambda' \in S'(2f + 2d)$  is  $\frac{q-2}{2}q^{f+d-1}$ . The number of  $\lambda\theta$  where  $\lambda \in S_{2f+2d}$  occurring in  $r_{\theta}$  is therefore  $(q - 2)q^{f+d-1}$ .*

**Theorem 1.2.11.** *Let  $a(\lambda) = 2f + 2d < a(\frac{\theta}{\theta}) = 2m < a(\lambda) + 2d$ . Then the number of  $\lambda\theta$  with  $\lambda \in S_{2f+2d}$  appearing in  $r_{\theta}$  is  $|S(2f + 2d)| = |S_{2f+2d}|/2$ .*

When  $a(\lambda)$  is too small compared to  $a(\frac{\theta}{\theta})$  the occurrence of  $\lambda\theta$  in  $r_{\theta+}$  or  $r_{\theta-}$  depends only on  $\theta$ .

**Theorem 1.2.12.** *Suppose  $\lambda \in S(2m)$ ,  $m \geq d$  and  $a(\frac{\theta}{\theta}) = 2n \geq a(\lambda) + 2d$ . Then either all the elements in  $\{\lambda\theta : \lambda \in S(2m)\}$  will occur in  $r_{\theta+}$  or all the elements in  $\{\lambda'\theta : \lambda' \in S'(2m)\}$  will occur in  $r_{\theta-}$  not both. Therefore the number of  $\lambda\theta$  where  $\lambda \in S_{2m}$  occurring in  $r_{\theta}$  is  $|S_{2m}|/2$ .*

The only one theorem in which we didn't give an exact count, but only a lower bound is the following:

**Theorem 1.2.13.** *If  $a(\frac{\theta}{\bar{\theta}}) = a(\lambda) = 2f + 2d$ ,  $\lambda|_{F^*} = \omega$  then the number of  $\lambda\theta$  appearing in  $r_\theta$  is greater than or equal to  $q^{f+d-1}$ .*

And we conclude the thesis in the fifth chapter by describing the possibilities of occurrence of twists when  $K/F$  is unramified.



# Chapter 2

## Preliminaries

### 2.1 Basic ideas and notations

Let  $F$  be a non Archimedean local field, that is, a non discrete locally compact totally disconnected topological field. If the characteristic of  $F$  is 0 then it is a finite extension of  $\mathbb{Q}_p$ . Otherwise it is isomorphic to  $\mathbb{F}_q((X))$  where  $\mathbb{F}_q$  is the finite field with  $q$  elements. Throughout this work, by a local field, we will mean a non archimedean local field.

For the local field  $F$ , we denote by  $O_F$  its ring of integers and  $P_F = \pi_F O_F$  the unique prime ideal in  $O_F$ , where  $\pi_F$  is a uniformizer (a uniformizing element or prime element) which is an element in  $F$  such that its  $F$ -valuation is 1. That is,  $v_F(\pi_F) = 1$ .

The residue field  $O_F/P_F$  of  $F$  is denoted by  $\mathbb{F}_q$ . Here  $q = |O_F/P_F|$ . For a finite set  $X$ , by  $|X|$  we denote its cardinality.  $ch \mathbb{F}_q$  is called the residue characteristic of  $F$ .

By  $U_F$  or  $O_F^*$  we denote  $O_F - P_F$ , that is, the group of units in  $O_F$ . Let  $P_F^i = \{x \in F : v_F(x) \geq i\}$  and for  $i \geq 0$  define  $U_F^i = 1 + P_F^i$  (with the provisio that  $U_F^0 = U_F$ ).

## 2.1 Basic ideas and notations

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Note that if  $F^*$  denote the multiplicative group of  $F$ , then  $U_F \supset U_F^1 \supset U_F^2 \supset \dots \supset U_F^i \supset \dots$  form a fundamental system of neighborhoods at identity for  $F^*$ .

By  $|\cdot|_F$  we mean the absolute value on  $F$ . It is chosen in such a way that  $|\pi_F|_F = q^{-1}$ . Note that for  $u \in U_F$ ,  $|u|_F = 1$ .

If we fix a complete set of coset representatives for  $P_F$  in  $O_F$  as  $\{a_1, a_2, \dots, a_q\}$ , then any  $x \in O_F$  has a unique representation of the form

$$x = \sum_{i=0}^{\infty} x_i \pi_F^i$$

where  $x_i \in \{a_1, a_2, \dots, a_q\}$ . Unless otherwise specified, our set of coset representatives will be  $\mathbb{F}_q$ . If  $x \in P^k - P^{k+1}$  then  $x_i = 0$  for  $i = 0, 1, \dots, k-1$  and  $x_k \neq 0$ .

Let  $K$  be a finite extension of  $F$ . If  $\mathbb{K}_{q'}$  is the residue field of  $K$ , then  $q' = q^f$  for some  $f \in \mathbb{N}$ . If  $v_F(\pi_F) = e$  then  $[K : F] = ef$ .

If  $e = 1$ , then the extension is said to be unramified and if  $f = 1$ , it is said to be totally ramified. If the extension is not unramified, it is ramified.

By  $N_{K/F}$  or simply  $N$  we denote the usual norm map from  $K$  to  $F$  and by  $tr_{K/F}$  or simply  $tr$  we mean the usual trace map from  $K$  to  $F$ .

Note that

$$\frac{U_F}{U_F^n} \cong \left(\frac{O_F}{P_F^n}\right)^* \text{ and } \frac{U_F^n}{U_F^{n+1}} \cong \frac{O_F}{P_F}$$

The polynomial  $X^n + a_1 X^{n-1} + \dots + a_n \in O_F[X]$  is said to be Eisenstein if  $a_i \in P_F$  for all  $i$  and  $a_n \notin P_F^2$ . If  $K$  is a separable ramified extension of  $F$  then  $K$  is generated by a uniformizer  $\pi_K$  of  $K$  whose minimal polynomial over  $F$  is an Eisenstein polynomial.

Let  $K$  be an unramified extension of  $F$ . Then  $N(1 + P_K^n) = 1 + P_F^n \forall n \geq 1$

## 2.1 Basic ideas and notations

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The differential exponent  $d_{K/F}$  or simply  $d$  of  $K$  over  $F$  is defined to be the integer  $d$  such that  $\text{tr } P_K^{-d} \subseteq O_F$  but  $\text{tr } P_K^{-d-1} \not\subseteq O_F$ .

Suppose  $K$  is a separable quadratic extension of the local field  $F$ . If the residue characteristic is odd then  $d = e - 1$ . If the residue characteristic is even, that is, two then  $d = 2t + 1$  where  $t = v_F(2)$  if there exists a uniformizer  $\pi_K$  of  $K$  such that  $\text{tr } \pi_K = 0$  and  $d = 2s$  if no such uniformizer exists and  $K$  is the splitting field of the Eisenstein polynomial  $X^2 - u\pi_F^t + \pi_F$ ,  $u \neq 0$ . In this case,  $s \leq t$ .

If  $G$  is a locally compact abelian group by  $\widehat{G}$  we mean the group of characters of  $G$ . Denote by  $\omega_{K/F}$ , or simply  $\omega$  the character of  $F^*$  associated to  $K$  by class field theory, i.e., it is the unique nontrivial quadratic character of  $F^*/N(K^*)$ .

Conductor of an additive character  $\psi$  of  $F$  is  $n(\psi)$  if  $\psi$  is trivial on  $P_F^{-n(\psi)}$ , but nontrivial on  $P_F^{-n(\psi)-1}$ . Let  $\psi_K = \psi \circ \text{tr}_{K/F}$ . Then the conductor of  $\psi_K$  is  $d + n(\psi)$  where  $d$  is the differential exponent of  $K$  over  $F$ . For a character  $\chi$  of  $F^*$  by  $a(\chi)$  we mean the conductor of  $\chi$ . Therefore  $a(\chi)$  is the smallest integer  $n \geq 0$  such that  $\chi$  is trivial on  $U_F^n$ . We say that  $\chi$  is unramified if  $a(\chi)$  is zero. Also, if  $\chi_1$  and  $\chi_2$  are two characters of  $F$  then  $a(\chi_1\chi_2) \leq \max(a(\chi_1), a(\chi_2))$ . Equality holds if  $a(\chi_1) \neq a(\chi_2)$ . Furthermore,  $a(\chi) = a(\chi^{-1})$ .

The image of  $x \in K$  under the nontrivial element of the Galois group of  $K$  over  $F$  is denoted by  $\bar{x}$  or  $x^\sigma$ . If  $x_0 \in K - F$  is such that  $\text{tr } x_0 = 0$ , we define  $\psi_0(x) = \psi_K(\frac{-x_0x}{2}) = \psi(\text{tr } \frac{-x_0x}{2})$ . Note that  $n(\psi_0) = n(\psi_K) - v_K(2) + v_K(x_0)$ . Note that  $\psi_0$  is an additive character of  $K$  trivial on  $F$ .

A character  $\theta$  of  $K^*$  is regular if it does not factor through the norm map  $N_{K/F}$ . By  $r_\theta$  we denote the irreducible admissible Weil representation of  $GL(2, F)$  associated to a character  $\theta$  of  $K^*$ .

All the above definitions stated for  $F$  hold true for  $K$  also with obvious

modifications.

## 2.2 A little bit of representation theory of $GL(2)$

Let  $G$  be a totally disconnected locally compact group and let  $(\pi, V)$  be a representation of  $G$ . Then  $V$  is a complex vector space (possibly infinite dimensional) on which  $G$  acts. We say  $\pi$  is smooth if for any  $v \in V$ , the stabilizer  $\{g \in G : \pi(g)v = v\}$  is open. If  $\pi$  is smooth and if furthermore for any open subgroup  $U$  of  $G$ , the space  $V^U$  of vectors stabilized by  $U$  is finite dimensional then  $\pi$  is called admissible.

The center of  $G$  acts by scalar multiplication on the irreducible admissible representation  $(\pi, V)$ . Thus if  $G = GL(n, F)$ ,  $F$  non archimedean local field then there exists a character  $\omega_\pi$  of  $F^*$  called the central character of  $\pi$  such that the center  $Z$  (of nonzero scalar matrices) of  $GL(n, F)$  acts by  $\pi(zI)v = \omega_\pi(z)v$  where  $v \in V, z \in F^*$ , and  $I$  is the  $n \times n$  identity matrix.

Let  $F$  be a non Archimedean local field. We fix an additive Haar measure  $\int_F dx$  on  $F$  normalized so that the volume of  $O_F$  is one.

Let us turn our attention to representations of  $GL(2, F)$ . We can classify them mainly into three:

- a) Supercuspidal representations
- b) Principal Series representations
- c) Special representation

Let  $V^*$  be the dual of  $V$ . We can define a representation  $\tilde{\pi}$  on  $V^*$  satisfying  $\langle \pi(g)(v), v^* \rangle = \langle v, \tilde{\pi}(g)(v^*) \rangle$  for all  $v \in V, v^* \in V^*$ . The representation  $\tilde{\pi}$

## 2.2 A little bit of representation theory of $GL(2)$

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is not admissible. However, the subspace  $\tilde{V}$  of  $V^*$  consisting of vectors which are stabilized by an open subgroup is invariant under  $\tilde{\pi}$  and  $\tilde{\pi}$  restricted to this subspace is admissible. This restriction, by abuse of notation, is also denoted by  $\tilde{\pi}$  and is called the contragradient of  $\pi$ . Given  $v \in V$  and  $\tilde{v} \in \tilde{V}$ , we can define a complex valued function  $f$  on  $GL(2, F)$  by  $f(g) = \langle \pi(g)(v), \tilde{v} \rangle$ . Such functions are called coefficients of  $\pi$  and  $\pi$  is said to be supercuspidal if its coefficients have compact support modulo the center  $Z$  of  $GL(2, F)$ . That is, given a coefficient  $f$  there exists a compact subset  $C$  of  $GL(2, F)$  such that the support of  $f$  is in  $CZ$ .

Let  $\mu_1, \mu_2$  be two characters of  $F^*$  and let  $\mathcal{B}(\mu_1, \mu_2)$  be the space of locally constant functions  $\phi$  on  $GL(2, F)$  which satisfy

a) for all  $g \in GL(2, F)$ ,  $a_1, a_2 \in F^*$ , and  $x \in F$

$$\phi \left( \begin{bmatrix} a_1 & x \\ 0 & a_2 \end{bmatrix} g \right) = \mu_1(a_1) \mu_2(a_2) \left| \frac{a_1}{a_2} \right|^{1/2} \phi(g)$$

b) there is an open subgroup  $U$  of  $GL(2, O_F)$  such that  $\phi(ug) = \phi(g)$  for all  $u \in U$ .

Let  $\rho$  be the right regular representation of  $GL(2, F)$  on  $\mathcal{B}(\mu_1, \mu_2)$ . That is,  $(\rho(g)f)(h) = f(hg)$ . If  $\mu_1 \mu_2^{-1} \neq |x|_F$  or  $|x|_F^{-1}$  then  $\rho$  is irreducible and is denoted by  $\pi(\mu_1, \mu_2)$ . If  $\mu_1 \mu_2^{-1} = |x|_F$  or  $|x|_F^{-1}$ , then  $\mathcal{B}(\mu_1, \mu_2)$  has an irreducible, invariant subspace of codimension (respectively dimension) 1 and we let  $\sigma(\mu_1, \mu_2)$  denote the restriction  $\rho$  to the invariant subspace (or the infinite dimensional quotient representation).

If  $\pi$  is an infinite dimensional irreducible representation of  $GL(2, F)$  which is not supercuspidal, then, for some choice of  $\mu_1, \mu_2 \in \widehat{F^*}$ ,  $\pi = \pi(\mu_1, \mu_2)$  or  $\sigma(\mu_1, \mu_2)$ . If  $\pi = \pi(\mu_1, \mu_2)$  then  $\pi$  is called a principal series representation and if  $\pi = \sigma(\mu_1, \mu_2)$  then  $\pi$  is called a special representation.

### 2.2.1 Base change lift

Let  $F$  be a local non archimedean field and  $F_{nr}$  be the maximal unramified extension of  $F$  and  $\overline{F}$  be the seperable closure of  $F$ . We have the following exact sequence:

$$1 \longrightarrow Gal(F_{nr}/F) \xrightarrow{i} Gal(\overline{F}/F) \xrightarrow{r} Gal(F_{nr}/F) \longrightarrow 1$$

Let  $\Phi$  be the Frobenious of  $Gal(F_{nr}/F)$  then the pull back of  $\langle \Phi \rangle$  with respect to  $r$  is called the Weil group of  $F$  and is denoted by  $W_F$ . If  $K$  is an extension of  $F$  in  $\overline{F}$  then  $W_K \subseteq W_F$ .

By the fundamental theorem of local classfield theory we have a canonical isomorphism between  $F^*$  and

$$W_F^{ab} = \frac{W_F}{W_F^c}$$

where  $W_F^c$  is the closure of the commutator subgroup of  $W_F$ . This gives rise to an isomorphism  $\tau_F : \widehat{F^*} \rightarrow \widehat{W_F}$  (recall that for a locally compact group  $G$ ,  $\widehat{G}$  denotes the group of its characters) called the reciprocity map. Similarly let  $\widehat{GL(2, F)}$  denote the set of all irreducible, admissible, supercuspidal representations of  $GL(2, F)$  and  $\widehat{W_F^2}$  the set of irreducible 2-dimensional representations of  $W_F$ . Then the Local Langlands' correspondence predicts the existence of a canonical bijection  $L_F : \widehat{W_F^2} \rightarrow \widehat{GL(2, F)}$ . Local class field theory tells us that the following diagram is commutative.

$$\begin{array}{ccc} \widehat{F^*} & \xrightarrow{\tau_F} & \widehat{W_F} \\ \downarrow BC_{K/F} & & \downarrow r_{K/F} \\ \widehat{K^*} & \xrightarrow{\tau_K} & \widehat{W_K} \end{array}$$

where  $r_{K/F}$  is the restriction taking  $\chi$  to  $\chi|_{W_K}$  and  $BC_{K/F}$  is the base change map taking  $\chi$  to  $\chi \circ N$  where  $N$  is the usual norm map from  $K$  to  $F$ . Likewise we have the following commutative diagram:

$$\begin{array}{ccc} \widehat{GL(2, F)} & \xrightarrow{L_F} & \widehat{W_F^2} \\ \downarrow BC_{K/F} & & \downarrow r_{K/F} \\ \widehat{GL(2, K)} & \xrightarrow{L_K} & \widehat{W_K^2} \end{array}$$

Then for  $\rho \in \widehat{GL(2, F)}$ ,  $BC_{K/F}\rho = \Pi$  is called the base change lift of  $\rho$ .

## 2.3 Epsilon factors

Now we will look at the most important terminology in this work - Epsilon factors.

Let  $F$  be a local field. Let  $M(W_F)$  denote the set of isomorphism classes of representations of  $W_F$  and  $R(W_F)$  the group of all virtual representations of  $W_F$ . For a representation  $V$  of  $W_F$ , let  $[V] \in R(W_F)$  denote the virtual representation determined by  $V$ . Let  $R^0(W_F)$  denote the group of all virtual representations of degree 0 of  $W_F$ , that is, those of the form  $[V] - [V']$ , with  $\dim V = \dim V'$ .

Let  $\lambda$  be a function which assigns to each finite separable extension  $K/F$  and each  $V \in M(W_K)$  an element  $\lambda(V)$  in an abelian group  $X$ . We say  $\lambda$  is additive over  $F$  if for each  $K$  and each exact sequence  $0 \rightarrow V' \rightarrow V \rightarrow V'' \rightarrow 0$  of representations of  $W_K$  we have  $\lambda(V) = \lambda(V')\lambda(V'')$ . When that is so we can define  $\lambda$  on virtual representations so that  $\lambda : R(K) \rightarrow X$  is a homomorphism for each  $K$ . We say  $\lambda$  is inductive over  $F$  if it is additive over  $F$  and the diagram

### 2.3 Epsilon factors

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$$\begin{array}{ccc}
 R(W_K) & & \\
 \downarrow \text{Ind}_{K/K'} & \searrow & \\
 R(W_{K'}) & \longrightarrow & X
 \end{array}$$

is commutative for finite separable extensions  $K/K'/F$ . We say  $\lambda$  is inductive in degree 0 over  $F$  if the same is true with  $R$  replaced by  $R^0$ . Langlands predicted and proved the existence and uniqueness of a local function - the epsilon function (theorem (3.4.1), [Ta]):

**Theorem 2.3.1.** *There is a unique function  $\epsilon$  which associates with each choice of a local field  $F$ , a nontrivial additive character  $\psi$  of  $F$ , an additive Haar measure  $dx$  of  $F$  and a representation  $V$  of  $W_F$  a non zero complex number  $\epsilon(V, \psi, dx)$  such that  $\epsilon(V, \psi, dx) = \epsilon(\chi, \psi, dx)$  if  $V$  is a representation of degree 1 corresponding to a character  $\chi$  and such that if  $F$  is a local field and we choose for each finite separable extension  $K$  of  $F$  an additive Haar measure  $\mu_K$  on  $K$ , then the function which associates with each such  $K$  and each  $V \in M(W_K)$  the number  $\epsilon(V, \psi \circ \text{tr}_{K/F}, \mu_K)$  is inductive in degree 0 over  $F$ .*

There is no explicit formula available for the epsilon function  $\epsilon(V, \psi, dx)$ . But in the 1-dimensional case, that is, when  $\chi$  is a character, we have the following: For a character  $\chi$  of  $F^*$  and a nontrivial additive character  $\psi$  of  $F$ , we have

$$\epsilon(\chi, \psi) = \chi(c) \frac{\int_{U_F} \chi^{-1}(y) \psi(y/c) dy}{|\int_{U_F} \chi^{-1}(y) \psi(y/c) dy|}$$

where the Haar measure  $dy$  is normalized such that measure of  $O_F$  is 1 and  $c$  is an element of  $F^*$  satisfying the property  $v_F(c) = a(\chi) + n(\psi)$ .



### 2.3.1 Properties of epsilon factors

We will be using many of the known properties of epsilon factors in this work. So it would be convenient to collect them together. Some theorems that are related to epsilon factors, that we will be using, but not listed here, will be explained in the due course.

1.  $\epsilon(\eta\mu, \psi) = \eta(\pi_F)^{a(\mu)+n(\psi)}\epsilon(\mu, \psi)$  if  $\eta$  is unramified.
2.  $\epsilon(\mu, \psi)\epsilon(\mu^{-1}, \psi) = \mu(-1)$
3.  $\epsilon(\lambda, \psi_1) = \epsilon(\lambda^\sigma, \psi_1^\sigma)$  where  $\lambda \in \widehat{K^*}$  and  $\psi_1$  is a nontrivial additive character of  $K$ .
4.  $\epsilon(\pi, \psi_a) = \omega_\pi(a)\epsilon(\pi, \psi)$  where  $\psi_a(x) = \psi(ax)$ .
5.  $\epsilon(\chi, \psi) = 1$  if  $\chi$  is an unramified character of  $F^*$  and  $\psi$  a nontrivial additive character of  $F$  with  $n(\psi) = 0$ .

## Chapter 3

# Completing an extension of Tunnell's theorem

Let  $F$  be a non archimedean local field and  $K$  a separable quadratic extension of  $F$ . Let  $S = \{\chi \in \widehat{K^*} : \chi|_{F^*} = \omega, \epsilon(\chi, \psi_0) = 1\}$  and  $S(l) = \{\chi \in S : a(\chi) = l\}$ . Analogously, we define  $S'$  and  $S'(l)$  with the property that  $\epsilon(\chi, \psi_0) = -1$ . Also, define  $S_l = S(l) \cup S'(l)$ . In this chapter, we will be proving certain results which will help us to prove the following *main identity*

$$\epsilon(\omega, \psi) \frac{\omega\left(\frac{x-\bar{x}}{x_0-\bar{x}_0}\right)}{\left|\frac{(x-\bar{x})^2}{x\bar{x}}\right|_{F^*}^{\frac{1}{2}}} = \sum_{\chi \in S} \chi(x)$$

using basically the definition of epsilon factors and some existing results on these local factors. This identity plays a key role in this thesis work. After proving the main identity, we will show how this identity implies the extension of Tunnell's theorem. Our proof of this identity is divided into mainly two cases, viz.  $K/F$  unramified and  $K/F$  ramified covering both residue characteristic odd and residue characteristic even cases. Note that this identity firstly appeared in [P1], but there the proof was provided only in the odd residue characteristic case.

### 3.1 Two theorems on epsilon factors

We will be using the following two theorems from [F-Q] and [D] in our work. So it will be convenient to state them here. The following theorem was proved by Frohlich and Queyrut[F-Q] in characteristic zero and by Deligne more generally.

**Theorem 3.1.1.** *Let  $F$  be a local field of characteristic not two and  $K$  a quadratic extension. Let  $\psi$  be a nontrivial additive character of  $F$  and  $\psi_K = \psi \circ \text{tr}$ . Then for any character  $\chi$  of  $K^*$  whose restriction to  $F^*$  is trivial we have  $\epsilon(\chi, \psi_K) = \chi(x_0)$  where  $x_0$  is any element of trace zero.*

Since  $\psi_0(x) = \psi_K(\frac{-x_0}{2}x)$ , we have  $\epsilon(\chi, \psi_0) = \chi(\frac{-x_0}{2})\epsilon(\chi, \psi_K) = \chi(\frac{-x_0}{2})\chi(x_0)$ . But  $\frac{-x_0^2}{2} \in F^*$  and  $\chi$  is trivial on  $F^*$ . So this theorem is equivalent to saying that  $\epsilon(\chi, \psi_0) = 1$ .

Deligne[D] described how the epsilon factor changes under twisting by a character of small conductor in the theorem:

**Theorem 3.1.2.** *Let  $\alpha, \beta$  be two characters of a local field  $F$  such that  $a(\alpha) \geq 2a(\beta)$ . Let  $y_\alpha$  be an element of  $F^*$  such that  $\alpha(1+x) = \psi(y_\alpha x)$  for  $v_F(x) \geq \frac{a(\alpha)}{2}$  (if  $a(\alpha) = 0$  let  $y_\alpha = \pi_F^{-n(\psi)}$ ). Then  $\epsilon(\alpha\beta, \psi) = \beta^{-1}(y_\alpha)\epsilon(\alpha, \psi)$ .*

We remark that  $v_F(y_\alpha) = -a(\alpha) - n(\psi)$ .

### 3.2 The Main Theorem

We state our main theorem of this part.

**Theorem 3.2.1.** *Let  $K$  be a separable quadratic extension of a local field  $F$  of characteristic not two. Let  $\psi$  be a nontrivial additive character of  $F$ , and  $x_0 \in K^*$  such that  $\text{tr}(x_0) = 0$ . Define an additive character  $\psi_0$  of  $K$  by*

### 3.2 The Main Theorem

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$\psi_0(x) = \psi(\text{tr}[-xx_0/2])$ . Then

$$\epsilon(\omega, \psi) \frac{\omega\left(\frac{x-\bar{x}}{x_0-\bar{x}_0}\right)}{\left|\frac{(x-\bar{x})^2}{x\bar{x}}\right|_{F^*}^{\frac{1}{2}}} = \sum_{\chi \in S} \chi(x) \quad (3.1)$$

$x \in K^* - F^*$  where as is usual, the summation on the right is by partial sums over all characters of  $K^*$  of conductor  $\leq n$ .

Note: From now onwards, in this and next chapters by LHS and RHS we will mean the left hand side and right hand side of the above equation. We refer to the equation in this theorem as the *main identity*.

We quote a result from [P1] which is going to be quite important in our computations.

**Lemma 3.2.2.** *Let  $\chi \in \widehat{K^*}$  such that  $\chi|_{F^*} = \omega$ . Then  $\epsilon(\chi, \psi_0) = \pm 1$ .*

*Proof.* Note that  $\omega$  is trivial on  $N(K^*)$ . Now  $\chi\bar{\chi}(x) = \chi(x\bar{x}) = \omega(x\bar{x}) = 1$ . So  $\chi^{-1} = \bar{\chi}$ . Therefore,

$$\begin{aligned} \epsilon(\chi^{-1}, \psi_0) &= \epsilon(\bar{\chi}, \psi_0) \\ &= \bar{\chi}\left(\frac{-x_0}{2}\right) \epsilon(\bar{\chi}, \psi_K) \\ &= \bar{\chi}\left(\frac{-x_0}{2}\right) \epsilon(\chi, \overline{\psi_K}) \text{ (by Galois invariance of epsilon factors)} \\ &= \bar{\chi}\left(\frac{-x_0}{2}\right) \epsilon(\chi, \psi_K) \\ &= \omega(-1) \chi\left(\frac{-x_0}{2}\right) \epsilon(\chi, \psi_K) \\ &= \omega(-1) \epsilon(\chi, \psi_0) \end{aligned}$$

Therefore,  $\omega(-1) \epsilon(\chi, \psi_0)^2 = \epsilon(\chi^{-1}, \psi_0) \epsilon(\chi, \psi_0) = \chi(-1) = \omega(-1) \implies \epsilon(\chi, \psi_0)^2 =$

1. This proves the lemma.  $\square$

For an element  $r$  of  $F^*$ , and  $\chi \in S$ , we have  $\epsilon(\chi, (\psi_0)_r) = \chi(r) \epsilon(\chi, \psi_0) = \omega(r) \epsilon(\chi, \psi_0)$  where  $(\psi_0)_r(x) = \psi_0(rx)$ . So if  $\omega(r) = 1$ , then  $\epsilon(\chi, (\psi_0)_r) =$

### 3.2 The Main Theorem

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$\epsilon(\chi, \psi_0)$  and so

$$\sum_{\chi \in S} \chi(x) = \sum_{\epsilon(\chi, (\psi_0)_r)=1, \chi|_{F^*}=\omega} \chi(x).$$

On the other hand, if  $\omega(r) = -1$ , since  $\sum_{\chi \in S \cup S'} \chi(x) = 0$ , we have

$$\sum_{\chi \in S} \chi(x) = - \sum_{\chi \in S'} \chi(x) = \omega(r) \sum_{\epsilon(\chi, (\psi_0)_r)=1, \chi|_{F^*}=\omega} \chi(x).$$

But  $\omega(\frac{x-\bar{x}}{rx_0-\bar{r}x_0}) = \omega(r)\omega(\frac{x-\bar{x}}{x_0-\bar{x}_0})$  for  $r \in F^*$ . Now if we fix a nontrivial additive character  $\psi$  of  $F$ , then every other nontrivial additive character  $\psi'$  of  $F$  is of the form  $\psi'(x) = \psi(ax)$  for some  $a \in F^*$  and if  $x_0$  is an element of trace 0 in  $K^*$ , then any other trace 0 element in  $K^*$  will be of the form  $rx_0$  for some  $r$  in  $F^*$ . From this it follows easily that once the theorem is proved for one choice of  $x_0$  and  $\psi$ , it is true for any other choice of these parameters. Therefore we will choose an additive character  $\psi$  of  $F$  with conductor 0. Let  $x_0$  a unit if  $K$  is unramified. If  $K$  is ramified and  $d$  is odd we take  $x_0$  to be a uniformizer  $\pi_K$  of  $K$  and when  $d$  even it will be a unit in  $K$ . It is also clear that once the theorem is true for an  $x \in K^*$ , then it is so for any  $rx$  for  $r \in F^*$ . Hence it suffices to prove theorem (3.2.1) either when  $x$  is a unit or when  $x$  has valuation 1.

Let  $\tilde{\omega}_{K/F} \in \widehat{K^*}$ , or simply  $\tilde{\omega}$ , be an extension of  $\omega$  of conductor  $2d-1$  if  $d \geq 1$  and if  $d=0$  (that is, when  $K/F$  unramified) we assume  $\tilde{\omega}$  to be trivial on  $U_K$  and  $-1$  on any uniformizer of  $K$ . Then if  $a(\chi) \geq 2a(\tilde{\omega})$  we have

$$\begin{aligned} \epsilon(\chi, \psi_0) &= \chi(-x_0/2) \epsilon(\chi, \psi_K) \\ &= \chi(-x_0/2) \epsilon(\chi, \tilde{\omega}^{-1} \cdot \tilde{\omega}, \psi_K) \\ &= \chi(-x_0/2) \epsilon(\chi, \tilde{\omega}^{-1}, \psi_K) \tilde{\omega}^{-1}(y_\chi) \\ &= \chi(-x_0/2) \cdot (\chi \cdot \tilde{\omega}^{-1})(x_0) \tilde{\omega}^{-1}(y_\chi) \\ &= \chi(-x_0^2/2) \tilde{\omega}^{-1}(x_0) \tilde{\omega}^{-1}(y_\chi) \\ &= \tilde{\omega}(-x_0/2) \tilde{\omega}^{-1}(y_\chi) \end{aligned} \tag{3.2}$$

where  $\chi \cdot \tilde{\omega}^{-1}(1+x) = \psi_K(y_{\chi \tilde{\omega}^{-1}} x)$ . Since  $a(\chi) \geq 2a(\tilde{\omega})$ ,  $y_\chi = y_{\chi \tilde{\omega}^{-1}}$ . Here  $y_\chi$  is as in theorem (3.1.2). Also,  $v_k(y_\chi) = -a(\chi) - n(\psi_K)$ .

### 3.3 Proof of the main identity in the unramified case

Consider first the relatively simple unramified case, i.e.,  $K/F$  is unramified. The proof is as in the odd residue characteristic case as given in [P1]. However since no details are given in [P1], we give a detailed proof.

We have  $\pi_K = \pi_F \in F$ . Take  $y_\chi = \pi_F^{-a(\chi) - n(\psi_K)}$  in equation (3.2). Hence  $\epsilon(\chi, \psi_0) = (-1)^{a(\chi) + n(\psi_K)}$ . But  $n(\psi_K) = 0$  and  $\tilde{\omega}^{-1} = (-1)^{v_K}$ . So  $\epsilon(\chi, \psi_0) = (-1)^{a(\chi) + t}$ . We need only consider the case when  $x \in K^* - F^*$  is a unit. Since  $\omega$  unramified and  $n(\psi) = 0$ , we have  $\epsilon(\omega, \psi) = 1$ . Now if  $x = a_0 + \dots + a_r \pi_F^r + \dots$  where  $a_i \in O_K$  and  $r$  is the largest positive integer such that  $a_i \in O_F$  for all  $i < r$  then our LHS becomes

$$\begin{aligned} \frac{\omega\left(\frac{x-\bar{x}}{x_0-\bar{x}_0}\right)}{\left|\frac{(x-\bar{x})^2}{x\bar{x}}\right|_{F^*}^{\frac{1}{2}}} &= \frac{\omega\left(\frac{\pi_F^r}{2}\right)}{|\pi_F^{2r}|_{F^*}^{\frac{1}{2}}} \\ &= (-1)^{r+t} q^r \end{aligned}$$

#### Case 1: $t$ even

Then  $\epsilon(\chi, \psi_0) = 1$  if and only if  $a(\chi)$  is even, say  $2m$ , for some  $m \geq 0$ . Let  $r$  be even. To prove the theorem we have to show that

$$q^r = \sum_{\chi \in S(2m), m \geq 0} \chi(x)$$

With conductor 0, note that there is only one nontrivial character. For  $\chi$  to be an extension of  $\omega$  it has to be nontrivial. We have  $\epsilon(\chi, \psi_0) = \chi\left(\frac{-x_0}{2}\right) \epsilon(\chi, \psi_K)$ . But  $a(\chi) = 0$  and  $n(\psi_K) = 0$  gives  $\epsilon(\chi, \psi_K) = 1$ . Therefore  $\epsilon(\chi, \psi_0) =$

### 3.3 Proof of the main identity in the unramified case

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$\chi(\frac{-x_0}{2}) = (-1)^{v_K(2)} = (-1)^t = 1$ . Since this  $\chi$  is trivial on units, we have

$$\sum_{\chi \in S(0)} \chi(x) = 1$$

If  $0 < m$  and  $2m \leq r$ ,

$$\begin{aligned} \sum_{\chi \in S(2m)} \chi(x) &= |S(2m)| \\ &= \left| \frac{U_K}{U_F U_K^{2m}} \right| - \left| \frac{U_K}{U_F U_K^{2m-1}} \right| \\ &= (q+1)q^{2m-1} - (q+1)q^{2m-2} \\ &= q^{2m} - q^{2m-2} \end{aligned}$$

If  $a(\chi) = 2m \geq r+2$ , let  $\mu \in \widehat{\frac{U_K}{U_F U_K^{r+1}}}$ . Then

$$\begin{aligned} \sum_{\chi \in S(2m)} \chi(x) &= \sum_{\chi_i} \sum_{\mu} (\chi_i \mu)(x) \\ &= \sum_{\chi_i} \chi_i(x) \sum_{\mu} \mu(x) \\ &= \sum_{\chi_i} \chi_i(x) \cdot 0 \\ &= 0 \end{aligned}$$

where  $\chi_i$ 's are distinct nontrivial characters of  $\frac{U_K^{2m-1}}{U_K^{2m}}$  extended arbitrarily to  $K^*$ . On adding all these sums for all values of  $m \geq 0$  we get  $q^r$  which is what is required.

Suppose  $r$  odd. Then our LHS is  $-q^r$ . Again

$$\sum_{\chi \in S(0)} \chi(x) = 1$$

For  $0 < 2m \leq r-1$ ,

$$\sum_{\chi \in S(2m)} \chi(x) = q^{2m} - q^{2m-2}$$

### 3.3 Proof of the main identity in the unramified case

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as in the previous case. If  $a(\chi) = 2m \geq r + 3$ , let  $\mu \in \widehat{\frac{U_K}{U_F U_K^{r+2}}}$ . Then

$$\begin{aligned} \sum_{\chi \in S(2m)} \chi(x) &= \sum_{\chi_i} \sum_{\mu} (\chi_i \mu)(x) \\ &= 0. \end{aligned}$$

Note that here  $r + 1$  is even. So  $a(\chi) = r + 1$  is possible.

$$\begin{aligned} \sum_{\chi \in S(r+1)} \chi(x) &= \sum_{\mu \in \widehat{\frac{U_K}{U_F U_K^r}}} \sum_{\chi_i} \chi_i \mu(x) \\ &= \sum_{\mu \in \widehat{\frac{U_K}{U_F U_K^r}}} \mu(x) \sum_{\chi_i} \chi_i(x) \\ &= \left| \frac{U_K}{U_F U_K^r} \right| \times -1 \\ &= (q + 1)q^{r-1} - 1 \\ &= -q^r - q^{r-1} \end{aligned}$$

where  $\chi_i$ 's are distinct nontrivial characters of  $\frac{U_K^r}{U_K^{r+1}}$  extended arbitrarily to  $K^*$ . So the total sum becomes  $-q^r$  which is equal to the LHS.

**Case 2:**  $t$  odd

Then  $\epsilon(\chi, \psi_0) = 1$  if and only if  $a(\chi)$  is odd. Let  $r$  be odd. Then our LHS is  $q^r$ .

If  $a(\chi) = 1$  then

$$\sum_{\chi \in S(1)} \chi(x) = \left| \frac{U_K}{U_F U_K} \right| - \left| \frac{U_K}{U_F U_K^1} \right| = (q + 1) - 1 = q.$$

Now if  $1 \neq a(\chi) = 2m + 1 \leq r$  then

$$\sum_{\chi \in S(2m+1)} \chi(x) = |S(2m+1)| = \left| \frac{U_K}{U_F U_K^{2m+1}} \right| - \left| \frac{U_K}{U_F U_K^{2m}} \right| = (q + 1)(q^{2m} - q^{2m-1}).$$

When  $a(\chi) = 2m + 1 > r + 1$ , we have  $\sum_{\chi \in S(2m+1)} \chi(x) = 0$  as in the  $t$  even case. Hence

$$\sum_{m=0}^{\infty} \sum_{\chi \in S(2m+1)} \chi(x) = q^r = \text{LHS}.$$



### 3.4 The ramified case

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If  $r$  is even, our LHS is  $-q^r$ . As above, we have

$$\sum_{\chi \in S(1)} \chi(x) = 1,$$

if  $1 \neq a(\chi) = 2m + 1 < r$  then

$$\sum_{\chi \in S(2m+1)} \chi(x) = (q + 1)(q^{2m} - q^{2m-1})$$

$$\sum_{\chi \in S(r+1)} \chi(x) = -q^r - q^{r-1} \text{ as in t even case.}$$

If  $a(\chi) = 2m + 1 > r + 1$  then  $\sum_{S(2m+1)} \chi(x) = 0$ . So the total sum becomes  $-q^r$  which is the LHS. Thus the identity is true when  $K/F$  is unramified, residue characteristic even or odd.

## 3.4 The ramified case

We will now assume that  $K$  is ramified over  $F$ . It is known ( see, for instance, [K-T], section 3) that if  $d$  is odd then  $d = 2t + 1$  and there exists a uniformizer, denoted by  $\pi_K$  such that  $tr \pi_K = 0$ . Let  $x_0 = \pi_K$ . In this case  $\pi_K^2$  is a uniformizer of  $F$  which we denote by  $\pi_F$  and  $N\pi_K = -\pi_F$ . If  $d$  is even (which can only happen if the residue characteristic is 2) then  $O_K = O_F[\pi_K]$  where  $\pi_K$  is a uniformizer of  $K$  which satisfies the Eisenstein polynomial  $X^2 - u'\pi_F^s X - \pi_F$  with  $s \leq t$  and  $u' \in U_F$ . Again  $N\pi_K = -\pi_F$ . In this case  $d = 2s$  and  $\pi_K = \frac{\pi_F^s u'}{2}(1 + x_0)$  where  $x_0$  is a unit of trace 0. We note that  $n(\psi_0)$  is equal to 2 if  $d$  is odd and  $2(s - t)$  if  $d$  is even. So  $n(\psi_0)$  is always even.

**Lemma 3.4.1.** *If  $\chi|_{F^*} = \omega$  and  $a(\chi) \neq 2d - 1$  then  $a(\chi) = 2f \geq 2d$ .*

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*Proof.* Suppose  $a(\chi) \leq 2d - 2$  then  $\chi|_{\frac{U_F^{d-1}}{U_F^d}} = 1$  so that  $\chi$  cannot be an extension of  $\omega$ . On the other hand, suppose that  $a(\chi) = 2f + 1 > 2d$ . Then  $\chi|_{\frac{U_F^{2f}}{U_F^{2f+1}}}$  has to be nontrivial. But if  $\chi|_{F^*} = \omega$  then  $\chi(1 + \pi_F^f a) = \omega((+\pi_F^f a) = 1$  where  $a \in \mathbb{F}_q$  since  $a(\omega) = d < f$ . So  $a(\chi)$  cannot be  $2f + 1 > 2d$ .  $\square$

Recall that For a character  $\chi$  of  $F^*$  and a nontrivial additive character  $\psi$  of  $F$  we have

$$\epsilon(\chi, \psi) = \chi(c) \frac{\int_{U_F} \chi^{-1}(y) \psi(y/c) dy}{|\int_{U_F} \chi^{-1}(y) \psi(y/c) dy|}$$

where the Haar measure  $dy$  is normalized such that measure of  $O_F$  is 1 and  $c$  is an element of  $F^*$  satisfying the property  $v_F(c) = a(\chi) + n(\psi)$ . We quote a result from [G-G-S], section (2.2.6).

**Lemma 3.4.2.** *If  $a(\chi) \neq 0$  then*

$$|\int_{U_F} \chi^{-1}(y) \psi(\pi_F^{-n} y) dy| = \begin{cases} q^{-a(\chi)/2} & \text{if } n = a(\chi) + n(\psi) \\ 0 & \text{otherwise.} \end{cases}$$

where  $\chi \in \widehat{F^*}$  and  $\psi$  is a nontrivial character of  $(F, +)$ .

Hence the formula above reduces to

$$\epsilon(\chi, \psi) = \chi(c) q^{a(\chi)/2} \sum_{y \in \frac{U_F}{U_F^{a(\chi)}}} \chi^{-1}(y) \psi(y/c) m'(U_F^{a(\chi)})$$

The Haar measure  $m'$  is normalized so that  $m'(O_F) = 1$ . But  $O_F = \bigcup_{a_i \in \mathbb{F}_q} (a_i + \pi_F^n U_F : n = 1, 2, \dots)$ . Since  $|\mathbb{F}_q| = q$ , each element of this union has measure  $\frac{1}{q}$ . So  $m'(U_F) = 1 - \frac{1}{q} = \frac{q-1}{q}$ . Since  $U_F = \frac{U_F}{U_F^{a(\chi)}} U_F^{a(\chi)}$ , it follows that  $m'(U_F^{a(\chi)}) = \frac{m'(U_F)}{|\frac{U_F}{U_F^{a(\chi)}}|}$ . Now  $|\frac{U_F}{U_F^{a(\chi)}}| = (q-1)q^{a(\chi)-1}$ . So we can modify the formula as

$$\epsilon(\chi, \psi) = \chi(c) q^{-a(\chi)/2} \sum_{y \in \frac{U_F}{U_F^{a(\chi)}}} \chi^{-1}(y) \psi(y/c) \quad (3.3)$$

### 3.4 The ramified case

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where  $c = \pi_F^{a(\chi)+n(\psi)}$ . In particular since  $a(\omega) = d$  and we have chosen  $\psi$  such that  $n(\psi) = 0$  we have

$$\epsilon(\omega, \psi) = \omega(\pi_F^d) q^{-d/2} \sum_{y \in \frac{U_F}{U_F^d}} \omega(y) \psi(\pi_F^{-d} y) \quad (3.4)$$

The results following help us very much in our computations.

**Lemma 3.4.3.** *Let  $\chi \in \widehat{F^*}$  and  $\psi$  a nontrivial character of  $(F, +)$ .*

1. *If  $n < a(\chi) + n(\psi)$  then*

$$\sum_{u \in \frac{U_F}{U_F^{a(\chi)}}} \chi^{-1}(u) \psi(\pi_F^{-n} u) = 0$$

2. *If  $n > a(\chi) + n(\psi)$  then*

$$\sum_{u \in \frac{U_F}{U_F^n}} \chi^{-1}(u) \psi(\pi_F^{-n} u) = 0$$

*Proof.* 1. Let  $n < a(\chi) + n(\psi)$ . Every  $y \in U_F$  is of the form  $y_1(1 + \pi_F^m x)$

for some  $x \in U_F$ ,  $y_1 \in \frac{U_F}{U_F^{a(\chi)}}$ ,  $m \geq a(\chi)$ . Then  $1 + \pi_F^m x \in U_F^{a(\chi)}$ . Now

$\psi(\pi_F^{-n} y) = \psi(\pi_F^{-n} y_1) \psi(\pi_F^{m-n} y_1 x)$ . But  $n < a(\chi) + n(\psi) \implies -n(\psi) <$

$m - n \implies \psi(\pi_F^{m-n} y_1 x) = 1$ . Therefore

$$\begin{aligned} 0 &= \int_{U_F} \chi^{-1}(y) \psi(\pi_F^{-n} y) dy \\ &= \sum_{u \in \frac{U_F}{U_F^{a(\chi)}}} \chi^{-1}(u) \psi(\pi_F^{-n} u) m'(U_F^{a(\chi)}) \text{ since } \psi(\pi_F^{-n}) \text{ and } \chi \text{ trivial on } U_F^{a(\chi)} \end{aligned}$$

$$\implies \sum_{u \in \frac{U_F}{U_F^{a(\chi)}}} \chi^{-1}(u) \psi(\pi_F^{-n} u) = 0 \text{ since } m'(U_F^{a(\chi)}) \neq 0$$

2. If  $n > a(\chi) + n(\psi)$  then

$$\begin{aligned} \sum_{u \in \frac{U_F}{U_F^n}} \chi^{-1}(u) \psi(\pi_F^{-n} u) &= \sum_{y_1 \in \frac{U_F}{U_F^{a(\chi)}}} \chi^{-1}(y_1) \sum_{y_2 \in \frac{U_F^{a(\chi)}}{U_F^n}} \psi(\pi_F^{-n} y_1 y_2) \\ &= \sum_{y_1 \in \frac{U_F}{U_F^{a(\chi)}}} \chi^{-1}(y_1) \times 0 = 0 \end{aligned}$$

### 3.4 The ramified case

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since  $-n + a(\chi) < -n(\psi)$ .

□

**Lemma 3.4.4.**

$$\sum_{\chi \in S(2f) \cup S'(2f)} \chi(y) = 0 \text{ if } y \notin F^*U_K^{2f-1}.$$

*Proof.* If  $v_K(y) \neq 0, y \notin F^*U_K^{2f-1}$ , then we can take  $y$  to be  $\pi_K^{2m+1}y_1$  where  $y_1 \in U_K$  and  $m$  an integer. If  $\chi \in S(2f) \cup S'(2f)$  then  $\chi\mu$  is also in  $S(2f) \cup S'(2f)$  where  $\mu = (-1)^{v_K}$  and  $\chi(y) + \chi\mu(y) = 0$ . If  $y = \pi_F^m y_1$  where  $y_1 \in U_K - U_K^{2f-1}$  and  $y_1 \notin U_F$ , then

$$\sum_{\chi \in S(2f) \cup S'(2f)} \chi(y) = \sum_{\mu} \mu(y) \sum_{\chi_i} \tilde{\omega}(y) \chi_i(y) = 0$$

since the first sum is 0. Here  $\chi_i$ 's are distinct characters of  $\frac{U_K^{2f-1}}{U_K^{2f}}$  extended arbitrarily to  $\frac{K^*}{F^*U_K^{2f}}$ ,  $\tilde{\omega} \in S(2d-1)$  and  $\mu \in \widehat{\frac{U_K}{U_F U_K^{2f-1}}}$  extended to  $K^*$  by taking  $\mu(\pi_K) = 1$ . □

We again fix an additive character  $\psi$  of  $F$  with  $n(\psi) = 0$ .

**Theorem 3.4.5.**  $|S(l)| = |S'(l)|$  for each feasible  $l$ , that is when  $l = 2d - 1$  or  $l = 2f$  with  $f \geq d$ .

*Proof.* If  $l$  is odd, i.e.  $2d - 1$ , and  $\mu$  is the unramified character such that  $\mu(\pi_K) = -1$  then  $\epsilon(\chi\mu, \psi_0) = \mu(\pi_K)^{a(\chi) + n(\psi_0)} \epsilon(\chi, \psi_0) = -\epsilon(\chi, \psi_0)$ . Hence clearly  $|S(l)| = |S'(l)|$ . Now if the conductor is even, say  $2f$ , we can take  $c = \pi_F^{f + \frac{n(\psi_0)}{2}}$  in equation (3.3) so that

$$\epsilon(\chi, \psi_0) = q^{-f} \omega(\pi_F^{f + \frac{n(\psi_0)}{2}}) \sum_{y \in \frac{U_K}{U_K^{2f}}} \chi^{-1}(y) \psi_0(\pi_F^{-f - \frac{n(\psi_0)}{2}} y).$$

### 3.4 The ramified case

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Let  $e = -1$  if  $d$  is odd and  $e = -\frac{u'}{u}x_0^2$  if  $d$  is even. Note that (identifying  $\frac{U_F U_K^{2f}}{U_K^{2f}}$  with  $\frac{U_F}{U_F^f}$ )

$$\begin{aligned} \sum_{y \in \frac{U_F U_K^{2f-1}}{U_K^{2f}}} \chi^{-1}(y) \psi_0(\pi_F^{-f-\frac{n(\psi_0)}{2}} y) &= \sum_{y' \in \frac{U_F}{U_F^f}} \sum_{a \in \mathbb{F}_q} \chi^{-1}(y'(1 + \pi_F^{f-1} \pi_K a)) \times \\ &\quad \psi_0(\pi_F^{-f-\frac{n(\psi_0)}{2}} y'(1 + \pi_F^{f-1} \pi_K a)) \\ &= \sum_{a \in \mathbb{F}_q^*} \chi^{-1}(1 + \pi_F^{f-1} \pi_K a) S_a \end{aligned}$$

where  $S_a = \sum_{y_1 \in \frac{U_F}{U_F^f}} \omega(y_1) \psi(\pi_F^{-1} a y_1 e)$ . In view of lemma (3.4.3),  $S_a$  is in fact 0 when  $d \neq 1$ . Since

$$\sum_{\chi \in S(2f) \cup S'(2f)} \chi(y) = 0 \text{ if } y \notin F^* U_K^{2f-1}.$$

by lemma (3.4.4) we now have

$$\sum_{\chi \in S(2f) \cup S'(2f)} \epsilon(\chi, \psi_0) = q^{-f} \omega(\pi_F^{f+\frac{n(\psi_0)}{2}}) \sum_{a \in F_q^*} \sum_{\chi \in S(2f) \cup S'(2f)} \chi^{-1}(1 + \pi_F^{f-1} \pi_K a) S_a.$$

As before  $S(2f) \cup S'(2f) = \bigcup_i^{q-1} \tilde{\omega} \chi_i \widehat{\frac{K^*}{F^* U_K^{2f-1}}}$ . Here  $\chi_i$ 's are distinct characters of  $\frac{U_K^{2f-1}}{U_K^{2f}}$  extended arbitrarily to  $\frac{K^*}{F^* U_K^{2f}}$  and  $\tilde{\omega} \in S(2d-1)$ . Hence

$$\begin{aligned} \sum_{\chi \in S(2f) \cup S'(2f)} \chi^{-1}(1 + a \pi_F^{f-1} \pi_K) &= \sum_i \sum_{\mu \in \widehat{\frac{K^*}{F^* U_K^{2f-1}}}} \tilde{\omega}^{-1} \chi_i^{-1} \mu^{-1} (1 + a \pi_F^{f-1} \pi_K) \\ &= \left| \frac{K^*}{F^* U_K^{2f-1}} \right| \sum_i \tilde{\omega}^{-1} \chi_i^{-1} (1 + a \pi_F^{f-1} \pi_K) \\ &= \left| \frac{K^*}{F^* U_K^{2f-1}} \right| \sum_i \chi_i^{-1} (1 + a \pi_F^{f-1} \pi_K) \text{ since } a(\tilde{\omega}) < 2f \\ &= - \left| \frac{K^*}{F^* U_K^{2f-1}} \right| \end{aligned}$$

### 3.4 The ramified case

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Hence

$$\begin{aligned}
\sum_{\chi \in S(2f) \cup S'(2f)} \epsilon(\chi, \psi_0) &= - \left| \frac{K^*}{F^* U_K^{2f-1}} \right| q^{-f} \omega(\pi_F^{f + \frac{n(\psi_0)}{2}}) \sum_{a \in F_q^*} \sum_{y' \in \frac{U_F}{U_F^f}} \omega(y') \psi(-\pi_F^{-1} a e y') \\
&= - \left| \frac{K^*}{F^* U_K^{2f-1}} \right| q^{-f} \omega(\pi_F^{f + \frac{n(\psi_0)}{2}}) \sum_{y' \in \frac{U_F}{U_F^f}} \omega(y') \sum_{a \in F_q^*} \psi(-\pi_F^{-1} a e y') \\
&= \left| \frac{K^*}{F^* U_K^{2f-1}} \right| q^{-f} \omega(\pi_F^{f + \frac{n(\psi_0)}{2}}) \sum_{y' \in \frac{U_F}{U_F^f}} \omega(y') \\
&= 0
\end{aligned}$$

This completes our theorem.  $\square$

**Remark:** The beginning of the above proof shows that if  $\chi \in S(2d-1)$  and if  $\mu \in \widehat{\frac{U_K}{U_F U_K^{2d-2}}}$  then  $\mu$  may be extended to  $K^*$  such that  $\chi\mu \in S(2d-1)$ .

We can in fact make a stronger statement which is a corollary to the proof of our previous theorem.

**Corollary 3.4.6.** *If  $\chi \in S(2f) \cup S'(2f)$  and  $d \neq 1$  then*

$$\sum_{\mu \in \widehat{\frac{K^*}{F^* U_K^{2f-1}}}} \epsilon(\chi\mu, \psi_0) = 0.$$

*Proof.*

$$\begin{aligned}
\sum_{\mu \in \widehat{\frac{K^*}{F^* U_K^{2f-1}}}} \epsilon(\chi\mu, \psi_0) &= q^{-f} \omega(\pi_F^{f + \frac{n(\psi_0)}{2}}) \sum_{y \in \frac{U_K}{U_K^{2f}}} \sum_{\mu \in \widehat{\frac{K^*}{F^* U_K^{2f-1}}}} \mu^{-1}(y) \chi^{-1}(y) \psi_0(\pi_F^{-f - \frac{n(\psi_0)}{2}} y) \\
&= q^{-f} \omega(\pi_F^{f + \frac{n(\psi_0)}{2}}) \left| \frac{K^*}{F^* U_K^{2f-1}} \right| \sum_{y \in \frac{U_F U_K^{2f-1}}{U_K^{2f}}} \chi^{-1}(y) \psi_0(\pi_F^{-f - \frac{n(\psi_0)}{2}} y) \\
&= q^{-f} \omega(\pi_F^{f + \frac{n(\psi_0)}{2}}) \left| \frac{K^*}{F^* U_K^{2f-1}} \right| \sum_{a \in F_q^*} \chi^{-1}(1 + \pi_F^{f-1} \pi_K a) S_a = 0
\end{aligned}$$

by the proof of the last theorem.  $\square$

### 3.4 The ramified case

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**Remark:** When  $d = 1$  and  $a(\chi) = 2f \geq 2$  then the calculation in section (3.2) tells us that  $\epsilon(\chi, \psi_0) = \omega((-1)^f a_0(\chi)/2)$  where  $y_\chi = \pi_F^{-f} \pi_K^{-1} a_0(\chi)(1 + a_1(\chi)\pi_K)(1 + a_2(\chi)\pi_F)\dots$  so that the epsilon factor depends only on  $\chi|_{U_K^{2f-1}}$ . This fact together with the above corollary shows the essential difference in the  $d \neq 1$  (residue characteristic even) and the  $d = 1$  (residue characteristic odd) cases. When  $d \neq 1$  then any character  $\chi$  of  $\frac{U_K^{2f-1}}{U_K^{2f}}$  ( $f \geq d$ ) will extend to  $K^*$  such that  $\epsilon(\chi, \psi_0) = 1$  and in fact of all the possible extensions exactly half will have epsilon factor 1. But if  $d = 1$  then of the  $q - 1$  nontrivial characters  $\chi$  of  $\frac{U_K^{2f-1}}{U_K^{2f}}$  exactly half will extend to  $K^*$  such that  $\epsilon(\chi, \psi_0) = 1$  and if we take one such character then all its possible extensions will have epsilon factor 1.

# Chapter 4

## Proof of the extension theorem

In this chapter, we will be proving our main identity. The idea behind the proof is simple: we have a formula for finding epsilon factor of a character (equation (3.3)), we know that if  $\chi \in S$ , then the left hand side of this formula is 1, multiply left and right hand sides by  $\chi(x)$  and find the sum over  $S$ , using the results obtained in the previous chapter, try to deduce that the right hand side of our summation is actually equal to the LHS of our main identity. We will also prove the extension theorem using this identity.

### 4.1 Proof of the main identity when $x$ has valuation 1

Every element of valuation 1 in  $K$  can be written in the form  $u_1 u'' \pi_K$  where  $u_1$  is a unit in  $F$ ,  $u''$  is of the form  $1 + x' \pi_F^{r-1} \pi_K$ ,  $r \geq 1$ ,  $x' \in U_F$  or  $x' = 0$ . In view of the fact that identity (3.1) is true for  $x$  if and only if it is true for  $ax$  for any  $a \in F$  we may without loss of generality assume that  $x = u'' \pi_K$  where  $u''$  is as above. We have either (1)  $d = 2t + 1$ ,  $x_0 = \pi_K$  and  $\pi_F = \pi_K^2$



#### 4.1 Proof of the main identity when $x$ has valuation 1

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in which case

$$\text{LHS} = \epsilon(\omega, \psi) q^t \quad (4.1)$$

or (2)  $d = 2s$ ,  $x_0 = \text{a unit}$ , and  $\pi_K = \frac{\pi_F^s u'}{2}(1 + x_0)$  so that

$$\text{LHS} = \epsilon(\omega, \psi) q^{s-\frac{1}{2}} \omega(\pi_F^{s-t} u u' (1 + x' u' \pi_F^{s+r-1})) \quad (4.2)$$

To compute the sum in the RHS, we first consider the case when  $\chi \in S(2d-1)$ . Taking  $c = \pi_F^{d+\frac{n(\psi_0)}{2}} (u'')^{-1} \pi_K^{-1}$  in equation (3.3), multiplying both sides of the equation by  $\chi(u'' \pi_K)$ , and by applying the transformation  $y \longrightarrow u'' y$ , we get

$$\chi(u'' \pi_K) = \omega(\pi_F^{d+\frac{n(\psi_0)}{2}}) q^{-(d-\frac{1}{2})} \sum_{y \in \frac{U_K}{U_F^{2d-1}}} \chi^{-1}(y) \psi_0(\pi_F^{-d-\frac{n(\psi_0)}{2}} \pi_K u'' y)$$

Now if  $y \notin U_F U_K^{2d-1} = U_F U_K^{2d-2}$  then

$$\sum_{\chi \in S(2d-1)} \chi(y) = \sum_{\chi_i} \sum_{\mu \in \frac{U_K}{U_F U_K^{2d-2}}} (\chi_i \mu)(y) = 0$$

where the  $\chi_i$ 's are some characters in  $S(2d-1)$  such that  $\chi_i \mu$  form all distinct characters in  $S(2d-1)$ . Note that if  $\chi \in S(2d-1)$  and  $\chi \mu \notin S(2d-1)$ , then we can extend  $\mu$  to  $K^*$  taking  $\mu(\pi_K) = -1$  so that  $\chi \mu \in S(2d-1)$ . Otherwise, take  $\mu(\pi_K) = 1$ . So in the summation, we need to consider only those  $y$ 's which are in  $U_F U_K^{2d-2}$ . But on these  $y$ 's,  $\chi$  is just  $\omega$ . So we get

$$\begin{aligned} \sum_{\chi \in S(2d-1)} \chi(u'' \pi_K) &= |S(2d-1)| \omega(\pi_F^{d+\frac{n(\psi_0)}{2}}) q^{-d+\frac{1}{2}} \sum_{y \in \frac{U_F}{U_F^d}} \omega(y) \psi_0(\pi_F^{-d-\frac{n(\psi_0)}{2}} \pi_K u'' y) \\ &= q^{-\frac{1}{2}} \omega(\pi_F^{d+\frac{n(\psi_0)}{2}}) \sum_{y \in \frac{U_F}{U_F^d}} \omega(y) \psi\left(-\frac{\pi_F^{-d-\frac{n(\psi_0)}{2}}}{2} y \text{tr}(\pi_K u'' x_0)\right) \end{aligned}$$

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since  $|S(2d-1)| = q^{d-1}$ .

**Case 1:**  $d = 2t + 1$

Here  $n(\psi_0) = 2$ ,  $\pi_K^2 = \pi_F$ , and  $x_0 = \pi_K$  has trace 0. So  $\text{tr}(\pi_K u'' x_0) = 2\pi_F$ .

Then

$$\sum_{\chi \in S(2d-1)} \chi(u'' \pi_K) = q^{-\frac{1}{2}} \omega(\pi_F^{d + \frac{n(\psi_0)}{2}}) \sum_{y \in \frac{U_F}{U_F^d}} \omega(y) \psi(-\pi_F^{-d} y)$$

which is equal to LHS by equation (3.4) since  $\omega(\pi_F) = \omega(-1)$ .

**Case 2:**  $d = 2s \leq 2t$

Here  $n(\psi_0) = 2s - 2t$  and  $\text{tr}(\pi_K u'' x_0) = \pi_F^s u' x_0^2 (1 + x' u' \pi_F^{r+s-1})$ . Then

$$\begin{aligned} \sum_{\chi \in S(2d-1)} \chi(u'' \pi_K) &= q^{-\frac{1}{2}} \omega(\pi_F^{s-t}) \sum_{y \in \frac{U_F}{U_F^d}} \omega(y) \psi(-\pi_F^{-d} u^{-1} u' x_0^2 y (1 + x' u' \pi_F^{r+s-1})) \\ &= q^{-\frac{1}{2}} \omega(\pi_F^{s-t} u u' (1 + x' u' \pi_F^{r+s-1})) \sum_{y \in \frac{U_F}{U_F^d}} \omega(y) \psi(\pi_F^{-d} y) \end{aligned}$$

since  $\omega(-x_0^2) = \omega(N(x_0)) = 1$  this is equal to LHS again by equation (3.4).

If  $\chi \in S(2f)$ , then as already noted,  $\chi\mu$  is also in  $S(2f)$  where  $\mu = (-1)^{v_K}$ .

So  $\sum_{\chi \in S(2f)} \chi(u'' \pi_K) = 0$ . Therefore the RHS is just  $\sum_{\chi \in S(2d-1)} \chi(u'' \pi_K)$ . This completes the proof when  $x$  has valuation 1.

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If  $x \in U_K - U_F$  then  $x$  is of the form  $a_0 + a_1 \pi_K + a_2 \pi_F \pi_K + \dots$  where  $a_i \in \mathbb{F}_q$ ,  $a_0 \neq 0$  and not all  $a_{2i-1}$  equal to 0,  $i = 1, 2, \dots$ . So  $x = (a_0 + a_1 \pi_F + \dots)(1 + a'_1 \pi_K + a'_3 \pi_F \pi_K + \dots) = u(1 + \pi_F^{r-1} \pi_K x')$  where  $u, x' \in U_F$ . So without loss of generality we can take  $x = 1 + \pi_F^{r-1} \pi_K x'$  where  $x' \in U_F$ .

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When  $d = 2t + 1$ ,

$$\text{LHS} = \epsilon(\omega, \psi) \omega(\pi_F^{r-1} x') q^{r+t-\frac{1}{2}} \quad (4.3)$$

and when  $d = 2s \leq 2t$ , we have

$$\text{LHS} = \epsilon(\omega, \psi) \omega(\pi_F^{r+s-t-1} u u' x') q^{r+s-1} \quad (4.4)$$

We have

$$\begin{aligned} \sum_{\chi \in S} \chi(x) &= \sum_{\chi \in S(2d-1)} \chi(x) + \sum_{\chi \in S(2f), d \leq f \leq r-1} \chi(x) + \\ &\quad \sum_{\chi \in S(2r)} \chi(x) + \sum_{\chi \in S(2f), r+1 \leq f \leq r+d-2} \chi(x) + \\ &\quad \sum_{\chi \in S(2r+2d-2)} \chi(x) + \sum_{\chi \in S(2f), f \geq r+d} \chi(x). \end{aligned} \quad (4.5)$$

keeping in mind that if  $d = 1$  the fourth term does not exist and the third and fifth terms coincide. If  $r < d$  the first three sums do not exist. Now

$\sum_{\chi \in S(2d-1)} \chi(x) + \sum_{\chi \in S(2f), d \leq f \leq r-1} \chi(x)$  is equal to the number of such  $\chi$ 's which

is  $q^{r-1}$ . If  $r \geq d > 1$  every non trivial character of  $\frac{U_K^{2r-1}}{U_K^{2r}}$  can be extended

in  $2|\frac{U_K}{U_F U_K^{2r-1}}|$  different ways to get a character of  $K^*$  whose restriction to  $F^*$

is  $\omega$ . Of these  $|\frac{U_K}{U_F U_K^{2r-1}}|$  will have  $\epsilon(\chi, \psi_0) = 1$  in view of theorem (3.4.5).

Hence

$$\begin{aligned} \sum_{\chi \in S(2r)} \chi(x) &= |\frac{U_K}{U_F U_K^{2r-1}}| \sum_{\chi \in \widehat{\frac{U_K^{2r-1}}{U_K^{2r}}}, \chi \text{ nontrivial}} \chi(x) \\ &= -|\frac{U_K}{U_F U_K^{2r-1}}| \text{ since } \sum_{\chi \in \widehat{\frac{U_K^{2r-1}}{U_K^{2r}}}} \chi(x) = 0 \\ &= -q^{r-1} \end{aligned}$$

making the first three sums in equation (4.5) vanish.

**Lemma 4.2.1.** *Let  $f \geq r + d$ . Then for any  $\nu \in \widehat{\frac{K^*}{F^* U_K^{2r}}}$  we have  $\chi\nu \in S(2f)$  whenever  $\chi \in S(2f)$ .*

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*Proof.* **Case 1:** ( $r \geq d-1$ ). Then  $a(\chi) = 2f \geq 2(r+d) \geq 2(2d-1)$ . Therefore

$\epsilon(\chi, \psi_0) = \tilde{\omega}(-x_0/2)\tilde{\omega}^{-1}(y_\chi)$ . We write

$$y_\chi = \begin{cases} \pi_F^{-f-\frac{d-1}{2}} \pi_K a_0(\chi)(1 + a_1(\chi)\pi_K)(1 + a_2(\chi)\pi_F)\dots & \text{if } d \text{ is odd} \\ \pi_F^{-f-\frac{d}{2}} x_0 a_0(\chi)(1 + a_1(\chi)\pi_K)(1 + a_2(\chi)\pi_F)\dots & \text{if } d \text{ is even} \end{cases}$$

If  $\nu \in \widehat{\frac{K^*}{F^*U_K^{2r}}}$  then  $a(\chi\nu) = 2f$  so  $\epsilon(\chi, \psi_0)$  is given by the same formula with  $y_\chi$  replaced by  $y_{\chi\nu}$ . We have  $\chi\nu = \chi$  on  $U_K^{2r} \supseteq U_K^{2f-(2d-1)}$  and  $a_i(\chi)$  is completely determined by  $\chi|_{U_K^{2f-(i+1)}}$ . Hence  $a_i(\chi) = a_i(\chi\nu)$  for  $i = 0, 1, 2, \dots, 2d-2$ . Since

$$\epsilon(\chi, \psi_0) = \tilde{\omega}(-x_0/2)\tilde{\omega}^{-1}(\pi_F^{-f-\frac{d-1}{2}} \pi_K a_0(\chi)(1 + a_1(\chi)\pi_K)\dots(1 + a_{2d-2}(\chi)\pi_F^{d-1}))$$

if  $d$  is odd with a similar expression if  $d$  is even we have  $\epsilon(\chi\nu, \psi_0) = \epsilon(\chi, \psi_0)$

whenever  $\nu \in \widehat{\frac{K^*}{F^*U_K^{2r}}}$ . Hence if  $\chi \in S(2f)$  then  $\chi\nu \in S(2f)$ .

**Case 2:** ( $r < d-1$ ). Again if  $\nu \in \widehat{\frac{K^*}{F^*U_K^{2r}}}$  with  $\nu(\pi_K) = 1$  since  $a(\chi) = 2f \geq 2(r+d) > 2.2r \geq a(\nu)$  we have by theorem (3.1.2)

$$\begin{aligned} \epsilon(\chi\nu, \psi_0) &= \chi\nu(-x_0/2)\epsilon(\chi\nu, \psi_K) \\ &= \chi\nu(-x_0/2)\nu^{-1}(y_\chi)\epsilon(\chi, \psi_K) \\ &= \nu(x_0)\nu^{-1}(y_\chi)\epsilon(\chi, \psi_0) \\ &= \begin{cases} \nu(x_0)\nu^{-1}(\pi_F^{-f-\frac{d-1}{2}} \pi_K a_0(\chi)(1 + a_1(\chi)\pi_K)\dots)\epsilon(\chi, \psi_0) & \text{if } d \text{ is odd} \\ \nu(x_0)\nu^{-1}(\pi_F^{-f-\frac{d}{2}} x_0 a_0(\chi)(1 + a_1(\chi)\pi_K)\dots)\epsilon(\chi, \psi_0) & \text{if } d \text{ is even} \end{cases} \\ &= \nu^{-1}((1 + a_1(\chi)\pi_K)(1 + a_3(\chi)\pi_F\pi_K)\dots(1 + a_{2r-1}(\chi)\pi_F^{r-1}\pi_K))\epsilon(\chi, \psi_0) \end{aligned}$$

since  $\nu$  is trivial on  $F^*$ . If  $d$  is odd, then note that  $x_0 = \pi_K$  and that  $\pi_K^2 = \pi_F$ . So, since  $f > r+d$  and  $a(\omega) = d$ , we have  $1 = \omega(1 + a\pi_F^{f-1}) = \chi(1 + a\pi_F^{f-1}) = \psi_K(\pi_F^{f-1}\pi_F^{-f-\frac{d-1}{2}}\pi_K^2 a a_0(\chi)a_1(\chi)) = \psi(\pi_F^{-1}a a_0(\chi)a_1(\chi))$  where  $a \in \mathbb{F}_q$ . But  $\psi$  is nontrivial on  $\pi_F^{-1}U_F$ . So  $a_1(\chi)$  has to be 0. If, on the other hand,  $d$  is even and  $a \in F_q^*$  then  $1 = \omega(1 + a\pi_F^{f-1}) = \chi(1 + a\pi_F^{f-1}) =$

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$\psi_K(a\pi_F^{f-1}\pi_F^{-f-\frac{d}{2}}x_0a_0(\chi)(1+a_1(\chi)\pi_K)) = \psi(\pi_F^{-1}aa_0(\chi)a_1(\chi)u'x_0^2)$ . This implies that  $a_1(\chi) = 0$  in this case also. Similarly  $a_i(\chi) = 0$  for  $i = 1, 3, \dots, 2r-1$  since  $f - r \geq d$  implies  $\omega(1 + a\pi_F^{f-i}) = 1$  for all  $1 \leq i \leq r$ . Therefore  $\epsilon(\chi\nu, \psi_0) = \epsilon(\chi, \psi_0)$  and if  $\chi \in S(2f)$  then  $\chi\nu \in S(2f)$ .  $\square$

**Corollary 4.2.2.** *Let  $x = 1 + \pi_F^{r-1}\pi_Kx'$  where  $x' \in U_F$  and let  $f \geq r + d$ . Then*

$$\sum_{\chi \in S(2f)} \chi(x) = 0. \quad (4.6)$$

*Proof.* Clear.  $\square$

We now proceed further with the proof of theorem (3.2.1). By the above corollary, the right hand side of equation (4.5) is  $q^{r-1} + \sum_{\chi \in S(2r)} \chi(x)$  if  $d = 1$

and  $\sum_{\chi \in S(2f), r+1 \leq f \leq r+d-1} \chi(x)$  if  $d > 1$ .

If  $\chi \in S(2r+2m)$ ,  $1 \leq m \leq d-1$  or  $m = 0$  and  $d = 1$  then  $\epsilon(\chi, \psi_0) = 1$ . In equation (3.3), if we take  $c = \pi_F^{r+m+\frac{n(\psi_0)}{2}}$ , multiply both sides of the equation with  $\chi(x)$  and apply the transformation  $y \longrightarrow yx$ , we will get

$$\chi(x) = q^{-r-m}\omega(\pi_F^{r+m+\frac{n(\psi_0)}{2}}) \sum_{y \in \frac{U_K}{U_K^{2r+2m}}} \chi^{-1}(y)\psi_0(\pi_F^{-r-m-\frac{n(\psi_0)}{2}}xy)$$

Let  $e_1 = q^{-r-m}\omega(\pi_F^{r+m+\frac{n(\psi_0)}{2}})$ . Then

$$\begin{aligned} \sum_{\chi \in S(2r+2m)} \chi(x) &= e_1 \sum_{\chi \in S(2r+2m)} \sum_{y \in \frac{U_F U_K^{2r+2m-1}}{U_K^{2r+2m}}} \chi^{-1}(y)\psi_0(\pi_F^{-r-m-\frac{n(\psi_0)}{2}}xy) \\ &+ e_1 \sum_{\chi \in S(2r+2m)} \sum_{y \in \frac{U_K}{U_K^{2r+2m}} - \frac{U_F U_K^{2r+2m-1}}{U_K^{2r+2m}}} \chi^{-1}(y)\psi_0(\pi_F^{-r-m-\frac{n(\psi_0)}{2}}xy) \end{aligned}$$

where as usual we identify  $\frac{U_F U_K^{2f+2m}}{U_K^{2f+2m}}$  with  $\frac{U_F}{U_F^{r+m}}$ .

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Let us call the first term on the right hand side of the above equation  $M_m$  and the second term  $T_m$ . We will show that  $M_m$  is 0 if  $1 \leq m \leq d-2$  and LHS (of equation (3.1)) if  $m = d-1$  (even if  $d = 1$ ).

$$\begin{aligned}
M_m &= e_1 \sum_{\chi \in S(2r+2m)} \sum_{y \in \frac{U_F U_K^{2r+2m-1}}{U_K^{2r+2m}}} \chi^{-1}(y) \psi_0(\pi_F^{-r-m-\frac{n(\psi_0)}{2}} xy) \\
&= e_1 \sum_{\chi \in S(2r+2m)} \sum_{y' \in \frac{U_F}{U_F^{r+m}}} \sum_{a \in \mathbb{F}_q} \chi^{-1}(y') \chi^{-1}(1 + \pi_F^{r+m-1} \pi_K a) \times \\
&\quad \psi_0(\pi_F^{-r-m-\frac{n(\psi_0)}{2}} (1 + \pi_F^{r-1} \pi_K x') y' (1 + \pi_F^{r+m-1} \pi_K a)) \\
&= e_1 \sum_{\chi \in S(2r+2m)} \sum_{y' \in \frac{U_F}{U_F^{r+m}}} \sum_{a \in \mathbb{F}_q} \omega(y') \psi_K^{-1}(y_\chi \pi_F^{r+m-1} \pi_K a) \times \\
&\quad \psi_0(\pi_F^{-r-m-\frac{n(\psi_0)}{2}} (\pi_F^{r-1} \pi_K x' + \pi_F^{r+m-1} \pi_K a + \pi_F^{2r+m-2} \pi_K^2 x' a) y') \quad (\text{since } \psi_0 \text{ trivial on } F)
\end{aligned}$$

where  $y_\chi$  is as in theorem (3.1.2). If  $d = 2t + 1$  then we can take  $y_\chi = \pi_F^{-r-m-t-1} \pi_K a_0(\chi) (1 + a_1(\chi) \pi_K) \dots$  where  $a_i(\chi) \in \mathbb{F}_q$ ,  $a_0(\chi) \neq 0$ .

Therefore the value of  $M_m$  is

$$\begin{aligned}
&= e_1 \sum_{y' \in \frac{U_F}{U_F^{r+m}}} \omega(y') \sum_{\chi \in S(2r+2m)} \sum_{a \in \mathbb{F}_q} \psi(-\pi_F^{-1} u a a_0(\chi)) \psi(-\pi_F^{-m-1} y' (x' + \pi_F^m a)) \\
&= e_1 \sum_{y' \in \frac{U_F}{U_F^{r+m}}} \omega(y') \psi(-\pi_F^{-m-1} y' x') \sum_{\chi \in S(2r+2m)} \sum_{a \in \mathbb{F}_q} \psi(-\pi_F^{-1} a (u a_0(\chi) + y'))
\end{aligned}$$

For a fixed  $y' \in \frac{U_F}{U_F^{r+m}}$ ,

$$\sum_{a \in \mathbb{F}_q} \psi(-\pi_F^{-1} a (u a_0(\chi) + y')) \neq 0$$

if and only if  $u a_0(\chi) + y'$  is not a unit. But  $\chi|_{U_K^{2r+2m-1}}$  determines  $a_0(\chi)$ . Corresponding to this  $a_0(\chi)$ , there are  $|\frac{U_K}{U_F U_K^{2r+2m-1}}| = q^{r+m-1}$   $\chi$ 's in  $S(2r + 2m)$ . For this  $a_0(\chi)$ ,

$$\sum_{a \in \mathbb{F}_q} \psi(-\pi_F^{-1} a (u a_0(\chi) + y')) = |\mathbb{F}_q| = q.$$

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So

$$\begin{aligned}
 M_m &= q^{-r-m+r+m} \omega(\pi_F^{r+m+1}) \omega(-x') \sum_{y' \in \frac{U_F}{U_F^{r+m}}} \omega(y') \psi(\pi_F^{-m-1} y') \\
 &= \omega(\pi_F^{r+m} x') \sum_{y' \in \frac{U_F}{U_F^{r+m}}} \omega(y') \psi(\pi_F^{-m-1} y')
 \end{aligned}$$

which is equal to LHS if  $m = d - 1$  (even when  $d = 1$ ) and 0 if  $m < d - 1$  by lemma (3.4.3) and equation (3.4). Similarly, if  $d = 2s$  take  $y_\chi = \pi_F^{-r-m-s} x_0 \pi_K a_0(\chi)(1 + a_1(\chi) \pi_K) \dots$ . Note that here  $n(\psi_0) = 2s - 2t$ ,  $2 = \pi_F^t u$ , and  $\pi_K = \frac{\pi_F^s u'}{2}(1 + x_0)$ . So  $\text{tr } x_0 \pi_K = \pi_F^s u' x_0^2$  and we get

$$\begin{aligned}
 M_m &= e_1 \sum_{y' \in \frac{U_F}{U_F^{r+m}}} \omega(y') \sum_{\chi \in S(2r+2m)} \sum_{a \in F_q} \psi(-\pi_F^{-1} u' a a_0(\chi) x_0^2) \\
 &\quad \psi(-\pi_F^{-m-1} y' (u^{-1} u' x' x_0^2 + \pi_F^m u^{-1} a u' y')) \\
 &= e_1 \sum_{y' \in \frac{U_F}{U_F^{r+m}}} \omega(y') \psi(-\pi_F^{-m-1} y' (u^{-1} u' x' x_0^2)) \times \\
 &\quad \sum_{\chi \in S(2r+2m)} \sum_{a \in \mathbb{F}_q} \psi(-\pi_F^{-1} u' a (a_0(\chi) x_0^2 + u^{-1} y')) \\
 &= q^{-r-m+r+m} \omega(\pi_F^{r+m+s-t}) \omega(-u^{-1} u' x' x_0^2) \sum_{y' \in \frac{U_F}{U_F^{r+m}}} \omega(y') \psi(\pi_F^{-m-1} y')
 \end{aligned}$$

Since  $\omega(-x_0^2) = 1$ , this gives the LHS if  $m = d - 1$  and 0 if  $m < d - 1$  in  $d = 2s$  case also.

Recall  $\sum_{\chi \in S(2r+2m)} \chi(x) = M_m + T_m$  where

$$T_m = e_1 \sum_{\chi \in S(2r+2m)} \sum_{y \in \frac{U_K}{U_K^{2r+2m}} - \frac{U_F U_K^{2r+2m-1}}{U_K^{2r+2m}}} \chi^{-1}(y) \psi_0(\pi_F^{-r-m-\frac{n(\psi_0)}{2}} xy).$$

Doing the same calculations for  $\chi' \in S'(2r + 2m)$ , we have

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$$- \sum_{\chi' \in S'(2r+2m)} \chi'(x) = M_m + T'_m$$

where

$$T'_m = e_1 \sum_{\chi' \in S'(2r+2m)} \sum_{y \in \frac{U_K}{U_K^{2r+2m}} - \frac{U_F U_K^{2r+2m-1}}{U_K^{2r+2m}}} \chi'^{-1}(y) \psi_0(\pi_F^{-r-m-\frac{n(\psi_0)}{2}} xy).$$

Adding we get

$$\sum_{\chi \in S(2r+2m)} \chi(x) - \sum_{\chi' \in S'(2r+2m)} \chi'(x) = 2M_m + T_m + T'_m.$$

On the other hand by lemma (3.4.4) and the computations before lemma (4.2.1)

$$\sum_{\chi \in S(2r+2m)} \chi(x) + \sum_{\chi' \in S'(2r+2m)} \chi'(x) = \begin{cases} 0 & \text{if } m \geq 1 \\ -2q^{r-1} & \text{if } m = 0, d = 1 \end{cases}.$$

By the same lemma,  $T_m + T'_m = 0$ . Hence

$$\sum_{\chi \in S(2r+2m)} \chi(x) = \begin{cases} M_m & \text{for } m = 1, 2, \dots, d-1 \\ M_0 - q^{r-1} & \text{for } m = 0. \end{cases}$$

We have already shown that  $M_m = 0$  except when  $m = d-1$  in which case it is LHS. This completes the proof of the theorem (3.2.1) when  $x$  is a unit. As we have already proved theorem (3.2.1) when  $x$  has valuation 1 this then completes the proof of theorem (3.2.1) in all cases.

We have an interesting theorem as a result of these tedious computations. This is in fact stronger than theorem (3.2.1) when  $x$  is a unit.

**Theorem 4.2.3.** *Let  $x = 1 + \pi_F^{r-1} \pi_K x'$  where  $x' \in U_F$ , then*

$$\sum_{\chi \in S(2r+2m)} \chi(x) = \begin{cases} -q^{r-1} & \text{if } m = 0 \\ 0 & \text{if } m = 1, 2, \dots \text{ and } m \neq d-1 \\ \epsilon(\omega, \psi) \frac{\omega(\frac{x-\bar{x}}{x_0-\bar{x}_0})}{\left| \frac{(x-\bar{x})^2}{x\bar{x}} \right|_{F^*}^{\frac{1}{2}}} & \text{if } m = d-1 \end{cases}$$



### 4.3 Proof of the extension of Tunnell's theorem

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and

$$\sum_{\chi' \in S'(2r+2m)} \chi'(x) = \begin{cases} -q^{r-1} & \text{if } m = 0 \\ 0 & m = 1, 2, \dots \text{ and } m \neq d-1 \\ -\epsilon(\omega, \psi) \frac{\omega\left(\frac{x-\bar{x}}{x_0-\bar{x}_0}\right)}{\left|\frac{(x-\bar{x})^2}{x\bar{x}}\right|_{F^*}^{\frac{1}{2}}} & \text{if } m = d-1 \end{cases}$$

This theorem is obtained by combining corollary (4.2.2) and the expression given above.

## 4.3 Proof of the extension of Tunnell's theorem

Once we use the main theorem proved in last section and a lemma of Langlands([L], lemma 7.19, *lemma with an embarrassing proof*) the proof of the extension theorem is straight forward. We will follow the lines of Prasad[P1] here. We state Langlands' lemma first:

**Lemma 4.3.1.** *Let  $r_\theta$  (resp.  $r'_\theta$ ) be the representation of  $GL(2, F)$  (resp.  $D_F^*$ ) associated to a character  $\theta$  of  $K^*$ . Then  $r_\theta$  restricted to  $GL(2, F)^+ = \{x \in GL(2, F) : \det(x) \in N(K^*)\}$  and  $r'_\theta$  restricted to  $D_F^{*+} = \{x \in D_F^* : \det(x) \in N(K^*)\}$  decomposes into two irreducible representations. If we fix a nontrivial additive character  $\psi$  of  $F$ , an element  $x_0 \in K^*$  with  $\text{tr } x_0 = 0$ , and embeddings of  $K^*$  in  $GL(2, F)^+$  and  $D_F^{*+}$ , then we can write the two irreducible components of  $r_\theta$  as  $r_{\theta+}$  and  $r_{\theta-}$  with characters  $\chi_+$  and  $\chi_-$ , and of  $r'_\theta$  as  $r'_{\theta+}$  and  $r'_{\theta-}$  with characters  $\chi'_+$  and  $\chi'_-$  such that on  $K^*$*

$$\chi_+ - \chi_- = \epsilon(\omega, \psi) \frac{\omega\left(\frac{x-\bar{x}}{x_0-\bar{x}_0}\right)}{\left|\frac{(x-\bar{x})^2}{x\bar{x}}\right|_{F^*}^{\frac{1}{2}}} [\theta(x) + \theta(\bar{x})],$$

### 4.3 Proof of the extension of Tunnell's theorem

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and

$$\chi'_+ - \chi'_- = \epsilon(\omega, \psi) \frac{\omega\left(\frac{x-\bar{x}}{x_0-\bar{x}_0}\right)}{\left|\frac{(x-\bar{x})^2}{x\bar{x}}\right|_{F^*}^{\frac{1}{2}}} [\theta(x) - \theta(\bar{x})],$$

We will firstly state the Tunnel's theorem[Tu], but in a modified form, as appeared in [P1]. For a discrete series representation  $\pi$  of  $GL(2, F)$ , we let  $\pi'$  denote the representation of  $D_F^*$  associated by Jacquet-Langlands to  $\pi$ .

**Theorem 4.3.2.** *Let  $\pi$  be an irreducible admissible infinite dimensional representation of  $GL(2, F)$  with central character  $\omega_\pi$  and let  $\sigma_\pi$  be the associated two-dimensional representation of the Weil-Deligne group of  $F$ . Let  $\chi$  be a character of  $K^*$  such that  $\chi|_{F^*} = \omega_\pi$ . Let  $\psi$  be a nontrivial additive character of  $F$  and  $x_0$  an element of  $K$  such that  $\text{tr}(x_0) = 0$ . Define an additive character  $\psi_0$  of  $K$  by  $\psi_0(x) = \psi(\text{tr}[-xx_0/2])$ . Then the epsilon factor  $\epsilon(\sigma_\pi|_K \otimes \chi^{-1}, \psi_0)$  is independent of the choice of  $\psi$  and  $x_0$ , and takes the value 1 if and only if  $\chi$  appears in  $\pi$  and takes the value  $-1$  if and only if  $\chi$  appears in  $\pi'$ .*

Prasad[P1] introduced the character  $\psi_0$  in place of the character  $\psi_K$  present in Tunnel's original theorem[Tu] for computational convenience. If the representation  $\pi$  of  $GL(2, F)$  comes from a regular character  $\theta$  of  $K^*$ , the representation  $\sigma_\pi$  of the Weil group is induced from the character  $\theta$  of  $K^*$  (cf. [J-L], p.396). Therefore  $\sigma_\pi|_{K^*} = \theta + \bar{\theta}$ . Therefore  $\epsilon(\sigma_\pi|_K \otimes \chi^{-1}, \psi_0) = \epsilon(\theta\chi^{-1}, \psi_0) \cdot \epsilon(\bar{\theta}\chi^{-1}, \psi_0)$  in Tunnell's theorem. But since  $(\theta\chi^{-1})|_{F^*} = \omega$  by the condition on central characters, we have  $\epsilon(\theta\chi^{-1}, \psi_0)$  and  $\epsilon(\bar{\theta}\chi^{-1}, \psi_0)$  both take values in  $\{\pm 1\}$ . So the set of characters  $\chi \in \widehat{K^*}$  with  $(\theta\chi^{-1})|_{F^*} = \omega$  and  $\epsilon(\sigma_\pi|_K \otimes \chi^{-1}, \psi_0) = 1$  is exactly the set of characters  $\chi$  of  $K^*$  such that  $\epsilon(\theta\chi^{-1}, \psi_0)$  and  $\epsilon(\bar{\theta}\chi^{-1}, \psi_0)$  are either both 1 or both  $-1$ .

Now we will prove the extension theorem. We recall the statement here.

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**Theorem 4.3.3.** *Let  $r_\theta$  be an irreducible admissible representation of  $GL(2, F)$  associated to a regular character  $\theta$  of  $K^*$ . Fix embeddings of  $K^*$  in  $GL(2, F)^+$  and in  $D^{*+}_F$  (there are two conjugacy classes of such embeddings in general), and choose a nontrivial additive character  $\psi$  of  $F$ , and an element  $x_0$  of  $K^*$  with  $\text{tr}(x_0) = 0$ . Then the representation  $r_\theta$  of  $GL(2, F)$  decomposes as  $r_\theta = r_{\theta+} \oplus r_{\theta-}$  when restricted to  $GL(2, F)^+$  and the representation  $r_{\theta'}$  of  $D^*_F$  decomposes as  $r_{\theta'} = r_{\theta'_+} \oplus r_{\theta'_-}$  when restricted to  $D^{*+}_F$ , such that for a character  $\chi$  of  $K^*$  with  $(\chi \cdot \theta^{-1})|_{F^*} = \omega_{K/F}$ ,  $\chi$  appears in  $r_{\theta+}$  if and only if  $\epsilon(\theta\chi^{-1}, \psi_0) = \epsilon(\bar{\theta}\chi^{-1}, \psi_0) = 1$ ,  $\chi$  appears in  $r_{\theta-}$  if and only if  $\epsilon(\theta\chi^{-1}, \psi_0) = \epsilon(\bar{\theta}\chi^{-1}, \psi_0) = -1$ ,  $\chi$  appears in  $r_{\theta'_+}$  if and only if  $\epsilon(\theta\chi^{-1}, \psi_0) = 1$  and  $\epsilon(\bar{\theta}\chi^{-1}, \psi_0) = -1$ , and  $\chi$  appears in  $r_{\theta'_-}$  if and only if  $\epsilon(\theta\chi^{-1}, \psi_0) = -1$  and  $\epsilon(\bar{\theta}\chi^{-1}, \psi_0) = 1$ .*

Note: instead of writing “a character  $\chi$  appears in  $r_\theta|_{K^*}$ ” we write “ $\chi$  appears in  $r_\theta$ ” which need not of course cause any confusion.

*Proof.* As the proofs for  $GL(2, F)$  and  $D^*_F$  are completely analogous, we will be proving only the  $GL(2, F)$  case here. By theorem (3.2.1),

$$\begin{aligned} \epsilon(\omega, \psi) \frac{\omega\left(\frac{x-\bar{x}}{x_0-\bar{x}_0}\right)}{\left|\frac{(x-\bar{x})^2}{x\bar{x}}\right|_{F^*}^{\frac{1}{2}}} \theta(x) &= \sum_{\chi\theta^{-1} \in S} (\chi\theta^{-1})(x) \theta(x) \\ &= \sum_{\chi\theta^{-1} \in S} \chi(x) \end{aligned}$$

So by lemma (4.3.1)

$$\begin{aligned} \chi_+ - \chi_- &= \sum_{\chi\theta^{-1} \in S} \chi(x) + \sum_{\chi\bar{\theta}^{-1} \in S} \chi(x) \\ &= \sum_{\substack{\chi\theta^{-1} \in S \\ \chi\bar{\theta}^{-1} \in S}} \chi(x) + \sum_{\substack{\chi\theta^{-1} \in S \\ \chi\bar{\theta}^{-1} \in S'}} \chi(x) + \sum_{\substack{\chi\bar{\theta}^{-1} \in S \\ \chi\theta^{-1} \in S}} \chi(x) + \sum_{\substack{\chi\bar{\theta}^{-1} \in S \\ \chi\theta^{-1} \in S'}} \chi(x) \\ &= 2 \sum_{\substack{\chi\theta^{-1} \in S \\ \chi\bar{\theta}^{-1} \in S}} \chi(x) + \sum_{\substack{\chi\theta^{-1} \in S \\ \chi\bar{\theta}^{-1} \in S'}} \chi(x) + \sum_{\substack{\chi\bar{\theta}^{-1} \in S \\ \chi\theta^{-1} \in S'}} \chi(x) + \sum_{\substack{\chi\bar{\theta}^{-1} \in S' \\ \chi\theta^{-1} \in S'}} \chi(x) - \sum_{\substack{\chi\bar{\theta}^{-1} \in S' \\ \chi\theta^{-1} \in S'}} \chi(x) \end{aligned}$$

### 4.3 Proof of the extension of Tunnell's theorem

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Note that the sum of all characters  $\chi$  with  $(\chi^{\theta^{-1}})|_F^* = \omega$  is zero. So the above sum becomes

$$\chi_+ - \chi_- = \sum_{\substack{\chi^{\theta^{-1}} \in S \\ \chi^{\bar{\theta}^{-1}} \in S}} \chi(x) - \sum_{\substack{\chi^{\bar{\theta}^{-1}} \in S' \\ \chi^{\theta^{-1}} \in S'}} \chi(x)$$

By Tunnell's theorem,

$$\chi_+ + \chi_- = \sum_{\substack{\chi^{\theta^{-1}} \in S \\ \chi^{\bar{\theta}^{-1}} \in S}} \chi(x) + \sum_{\substack{\chi^{\bar{\theta}^{-1}} \in S' \\ \chi^{\theta^{-1}} \in S'}} \chi(x)$$

Adding the above two equations give

$$\chi_+ = \sum_{\substack{\chi^{\theta^{-1}} \in S \\ \chi^{\bar{\theta}^{-1}} \in S}} \chi(x)$$

and subtracting them gives

$$\chi_- = \sum_{\substack{\chi^{\bar{\theta}^{-1}} \in S' \\ \chi^{\theta^{-1}} \in S'}} \chi(x)$$

This complete the proof of our extension theorem.

□

## Chapter 5

# Counting twists of a character appearing in its associated Weil representation

We use theorem (4.3.3) to determine whether a  $\chi \in S$  is such that  $\chi\theta$  occurs in  $r_{\theta+}$  or  $r_{\theta-}$ . By this theorem,  $\chi\theta$  occurs in  $r_{\theta+}$  if and only if  $\epsilon(\theta(\chi\theta)^{-1}, \psi_0) = \epsilon(\chi^{-1}, \psi_0) = 1 = \epsilon(\bar{\theta}(\chi\theta)^{-1}, \psi_0) = \epsilon(\chi^{-1}\bar{\theta}, \psi_0)$  and  $\chi\theta$  occurs in  $r_{\theta-}$  if and only if  $\epsilon(\chi^{-1}, \psi_0) = -1 = \epsilon(\chi^{-1}\bar{\theta}, \psi_0)$ . Note that a character  $\chi\theta$  can occur in  $r_{\theta}$  if and only if it occurs in either  $r_{\theta+}$  or in  $r_{\theta-}$ . Also, if  $\chi \in S(l)$  for some  $l$  then  $\chi\theta$  can occur in  $r_{\theta}$  if and only if it occurs in  $r_{\theta+}$ . Furthermore if  $\chi \in S(l)$ , then  $\chi\theta$  cannot occur in  $r_{\theta-}$  since for that  $\chi$ ,  $\epsilon(\chi^{-1}, \psi_0) = +1$ . As in previous chapters, we fix  $\psi$  to be a nontrivial additive character of  $F$  with  $n(\psi) = 0$ .

## 5.1 Counting the twists when $K/F$ is ramified

Theorem (4.2.3) finds an important place in our computations. But for computational convenience, we would like to slightly change our notation  $S$  from this point onwards. We define  $S = \{\chi \in \widehat{K^*} : \chi|_{F^*} = \omega, \epsilon(\chi^{-1}, \psi_0) = 1\}$  and  $S(l) = \{\chi \in S : a(\chi) = l\}$ . Analogously, we redefine  $S'$ ,  $S'(l)$  and  $S_l$ . So we are forced to create a changed version of theorem (4.2.3) for our computations. With this redefined notations, we have

**Theorem 5.1.1.** *Let  $x = 1 + \pi_F^{r-1}\pi_K x'$  where  $x' \in U_F$ , then*

$$\sum_{\chi \in S(2r+2m)} \chi(x) = \begin{cases} -q^{r-1} & \text{if } m = 0 \\ 0 & m = 1, 2, \dots \text{ and } m \neq d-1 \\ \omega(-1)\epsilon(\omega, \psi) \frac{\omega(\frac{x-\bar{x}}{x_0-\bar{x}_0})}{\left|\frac{(x-\bar{x})^2}{x\bar{x}}\right|_{F^*}^{\frac{1}{2}}} & \text{if } m = d-1 \end{cases}$$

and

$$\sum_{\chi' \in S'(2r+2m)} \chi'(x) = \begin{cases} -q^{r-1} & \text{if } m = 0 \\ 0 & m = 1, 2, \dots \text{ and } m \neq d-1 \\ -\omega(-1)\epsilon(\omega, \psi) \frac{\omega(\frac{x-\bar{x}}{x_0-\bar{x}_0})}{\left|\frac{(x-\bar{x})^2}{x\bar{x}}\right|_{F^*}^{\frac{1}{2}}} & \text{if } m = d-1 \end{cases}$$

Note that there is an extra term  $\omega(-1)$  in this new version. This is due to the fact that  $\chi\bar{\chi} = 1$  since their restriction to  $F^*$  is  $\omega$  and so  $\epsilon(\chi^{-1}, \psi_0) = \omega(-1)\epsilon(\chi, \psi_0)$ . Note also that the comments made in the first paragraph of this chapter hold true even with our new notation.

We have the following simple lemma.

**Lemma 5.1.2.** *For a regular character  $\theta$  of  $K^*$ ,  $a(\frac{\theta}{\theta})$  is always even.*

*Proof.* If not, suppose  $a(\frac{\theta}{\theta}) = 2r + 1$ ,  $r \geq 0$ . Then it has to be nontrivial on  $\frac{U_K^{2r}}{U_K^{2r+1}}$ . But  $\frac{\theta}{\theta}(1 + \pi_F^r a) = \frac{\theta(1 + \pi_F^r a)}{\theta(1 + \pi_F^r a)} = 1$ , where  $a \in \mathbb{F}_q$  which is a contradiction.  $\square$

### 5.1.1 Twist by characters of odd conductor

We use the symbol  $\tilde{\omega}$  and  $\tilde{\omega}_{K/F}$  to denote elements of  $S_{2d-1}$  if  $K/F$  is ramified.

**Lemma 5.1.3.** *If  $\frac{\theta}{\bar{\theta}} = (-1)^{v_K}$  then no  $\tilde{\omega}\theta$  can occur in  $r_\theta$ .*

*Proof.* By theorem (4.3.3),  $\tilde{\omega}\theta$  can occur in  $r_{\theta+}$  if and only if  $\epsilon(\frac{\bar{\theta}}{\theta}\tilde{\omega}^{-1}, \psi_0) = \epsilon(\tilde{\omega}^{-1}, \psi_0) = 1$  and in  $r_{\theta-}$  if and only if  $\epsilon(\frac{\bar{\theta}}{\theta}\tilde{\omega}^{-1}, \psi_0) = \epsilon(\tilde{\omega}^{-1}, \psi_0) = -1$ . Since  $\frac{\bar{\theta}}{\theta}$  unramified, we have

$$\begin{aligned} \epsilon(\frac{\bar{\theta}}{\theta}\tilde{\omega}^{-1}, \psi_0) &= \frac{\bar{\theta}}{\theta}(\pi_K)^{a(\tilde{\omega}^{-1})+n(\psi_0)}\epsilon(\tilde{\omega}^{-1}, \psi_0) \\ &= \frac{\bar{\theta}}{\theta}(\pi_K)^{2d-1}\epsilon(\tilde{\omega}^{-1}, \psi_0) \text{ (since } n(\psi_0) \text{ even)} \\ &= -\epsilon(\tilde{\omega}^{-1}, \psi_0) \end{aligned}$$

which shows that  $\epsilon(\tilde{\omega}^{-1}, \psi_0) = -\epsilon(\tilde{\omega}^{-1}\frac{\bar{\theta}}{\theta}, \psi_0) \forall \tilde{\omega} \in S(2d-1)$ . Similarly  $\epsilon(\tilde{\omega}^{-1}, \psi_0) = -\epsilon(\tilde{\omega}^{-1}\frac{\bar{\theta}}{\theta}, \psi_0) \forall \tilde{\omega} \in S'(2d-1)$ . So  $\tilde{\omega}\theta$  can occur neither in  $r_{\theta+}$  nor in  $r_{\theta-}$  by theorem (4.3.3) for any  $\tilde{\omega} \in S_{2d-1}$ . Therefore it cannot occur in  $r_\theta$ .  $\square$

**Theorem 5.1.4.** *Let  $0 \neq a(\frac{\theta}{\bar{\theta}}) < a(\tilde{\omega})$ . Then among all  $\tilde{\omega} \in S(2d-1)$  half and only half will be such that  $\tilde{\omega}\theta$  occur in  $r_{\theta+}$  and among all  $\tilde{\omega} \in S'(2d-1)$  half and only half will be such that  $\tilde{\omega}\theta$  occur in  $r_{\theta-}$ .*

**Remark:** When  $d = 1$ ,  $a(\tilde{\omega}) = 1$ . Therefore, since  $a(\frac{\theta}{\bar{\theta}}) \neq 0$ , this theorem is not applicable in  $d = 1$  case.

*Proof.* We will show that  $\sum_{\tilde{\omega} \in S(2d-1)} \epsilon(\tilde{\omega}^{-1}\frac{\bar{\theta}}{\theta}, \psi_0) = 0$  so that half of  $\tilde{\omega} \in S(2d-1)$  will be such that  $\epsilon(\tilde{\omega}^{-1}\frac{\bar{\theta}}{\theta}, \psi_0) = +1$  and the other half  $-1$ . The first half will occur in  $r_{\theta+}$ . The remaining half will not occur either in  $r_{\theta+}$  or in  $r_{\theta-}$ . The other part of the proof is similar.

The calculations in this proof is similar to those carried out in section (4.1).

### 5.1 Counting the twists when $K/F$ is ramified

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Note that  $n(\psi_0)$  is always even irrespective of  $d$ . Also,  $a(\tilde{\omega}^{-1}) = a(\tilde{\omega}^{-1}\frac{\bar{\theta}}{\theta})$ .

Taking  $c = \pi_F^{d+\frac{n(\psi_0)}{2}}\pi_K^{-1}$ , in equation (3.3) we have if  $\tilde{\omega} \in S(2d-1)$ , then

$$\epsilon(\tilde{\omega}^{-1}\frac{\bar{\theta}}{\theta}, \psi_0) = q^{-\frac{2d-1}{2}}\tilde{\omega}^{-1}\frac{\bar{\theta}}{\theta}(\pi_F^{d+\frac{n(\psi_0)}{2}}\pi_K^{-1}) \sum_{y \in \frac{U_K}{U_K^{2d-1}}} \tilde{\omega}\frac{\theta}{\bar{\theta}}(y)\psi_0(\pi_F^{-(d+\frac{n(\psi_0)}{2})}\pi_K y)$$

Write  $y \in \frac{U_K}{U_K^{2d-1}}$  as  $y = y_1(1 + \pi_F^{r-1}\pi_K y_2)$ ,  $r \geq 1$ ,  $y_1 \in \frac{U_F}{U_F^d}$ ,  $y_2 = 0$  or  $y_2 \in \frac{U_F}{U_F^d}$ .

Also note that  $\frac{\theta}{\bar{\theta}}$  is trivial on  $F^*$ . Summing over  $S(2d-1)$ , we get

$$\begin{aligned} \sum_{\tilde{\omega} \in S(2d-1)} \epsilon(\tilde{\omega}^{-1}\frac{\bar{\theta}}{\theta}, \psi_0) &= q^{(-\frac{2d-1}{2})}\omega(\pi_F^{d+\frac{n(\psi_0)}{2}})\frac{\bar{\theta}}{\theta}(\pi_K) \sum_{y_1, y_2, r, \tilde{\omega}} \tilde{\omega}(\pi_K y_1(1 + \pi_F^{r-1}\pi_K y_2)) \\ &\quad \frac{\theta}{\bar{\theta}}(1 + \pi_F^{r-1}\pi_K y_2)\psi_0(\pi_F^{-(d+\frac{n(\psi_0)}{2})}\pi_K y_1(1 + \pi_F^{r-1}\pi_K y_2)) \end{aligned}$$

But from the main identity (in theorem (3.2.1)) and section (4.1), it follows that

$$\sum_{\tilde{\omega}} \tilde{\omega}(\pi_K y_1(1 + \pi_F^{r-1}\pi_K y_2)) = \begin{cases} \omega(-1)\epsilon(\omega, \psi)q^t\omega(y_1) & \text{if } d = 2t + 1 \\ \omega(-1)\epsilon(\omega, \psi)q^{s-\frac{1}{2}}\omega(\pi_F^{s-t}uu'y_1(1 + \pi_F^{s+r-1}u'y_2)) & \text{if } d = 2s \end{cases}$$

The extra  $\omega(-1)$  factor is due to the changed definition of  $S(2d-1)$ . Also,

$$\psi_0(\pi_F^{-(d+\frac{n(\psi_0)}{2})}\pi_K y_1(1 + \pi_F^{r-1}\pi_K y_2)) = \begin{cases} \psi(-\pi_F^{-d}y_1) & \text{if } d = 2t + 1 \\ \psi(-\pi_F^{-d}u^{-1}u'x_0^2y_1(1 + \pi_F^{r+s-1}u'y_2)) & \text{if } d = 2s \end{cases}$$

Let  $d = 2t + 1$ . Keeping  $y_2$  fixed, we have

$$\begin{aligned} &\sum_{y_1, \tilde{\omega}} \tilde{\omega}(\pi_K y_1(1 + \pi_F^{r-1}\pi_K y_2))\psi_0(\pi_F^{-(d+\frac{n(\psi_0)}{2})}\pi_K(1 + \pi_F^{r-1}\pi_K y_2)) \\ &= \epsilon(\omega, \psi)q^t \sum_{y_1} \omega(-y_1)\psi(-\pi_F^{-d}y_1) \\ &= \epsilon(\omega, \psi)q^t \epsilon(\omega, \psi)\omega(\pi_F^d)q^d \end{aligned}$$

which is a multiple of  $\epsilon(\omega, \psi)$  independent of  $y_1$  and  $y_2$ . So

$$\sum_{\tilde{\omega} \in S(2d-1)} \epsilon(\tilde{\omega}^{-1}\frac{\bar{\theta}}{\theta}, \psi_0) = C \sum_{r, y_2} \frac{\theta}{\bar{\theta}}((1 + \pi_F^{r-1}\pi_K y_2)) = C \times 0 = 0$$



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since  $\frac{\theta}{\bar{\theta}}$  is a nontrivial character of  $\frac{U_K}{U_F U_K^{a(\frac{\theta}{\bar{\theta}})}}$  and  $a(\frac{\theta}{\bar{\theta}}) \leq 2d - 2$ . Here  $C$  is a constant independent of  $y_1$  and  $y_2$ . Similarly if  $d = 2s$ , then keeping  $y_2$  again fixed,

$$\begin{aligned} \sum_{y_1, \tilde{\omega}} \tilde{\omega}(\pi_K y_1 (1 + \pi_F^{r-1} \pi_K y_2)) \psi_0(\pi_F^{-(d + \frac{n(\psi_0)}{2})} \pi_K (1 + \pi_F^{r-1} \pi_K y_2) y_1) = \\ \epsilon(\omega, \psi) q^{s-\frac{1}{2}} \omega(-\pi_F^{s-t} u u') \sum_{y_1} \omega((1 + \pi_F^{s+r-1} u' y_2) y_1) \psi(-\pi_F^{-d} u^{-1} u' x_0^2 y_1 (1 + \pi_F^{r+s-1} u' y_2)) \end{aligned}$$

which is again a constant multiple of  $\epsilon(\omega, \psi)$  independent of  $y_1$  and  $y_2$ . So

$$\sum_{\tilde{\omega} \in S(2d-1)} \epsilon(\tilde{\omega}^{-1} \frac{\bar{\theta}}{\theta}, \psi_0) = C' \sum_{r, y_2} \frac{\theta}{\bar{\theta}} ((1 + \pi_F^{r-1} \pi_K y_2)) = C' \times 0 = 0$$

where  $C'$  is a constant multiple of  $\epsilon(\omega, \psi)$ . This completes the proof of the theorem.  $\square$

**Corollary 5.1.5.** *The number of  $\tilde{\omega} \in S_{2d-1}$  such that  $\tilde{\omega}\theta$  occurs in  $r_\theta$  is  $|S_{2d-1}|/2 = |S(2d-1)| = |S'(2d-1)|$ .*

*Proof.* This is clear since occurring in  $r_\theta$  means occurring in either  $r_{\theta+}$  or in  $r_{\theta-}$ . Equality follows from theorem (3.4.5).  $\square$

**Lemma 5.1.6.** *If  $a(\frac{\theta}{\bar{\theta}}) > a(\tilde{\omega})$  then the number of  $\tilde{\omega} \in S_{2d-1}$  such that  $\tilde{\omega}\theta$  occurs in  $r_\theta$  is  $|S_{2d-1}|/2$ .*

*Proof.* This is quite easy to verify. In this case,  $a(\frac{\theta}{\bar{\theta}}) = a(\tilde{\omega}^{-1} \frac{\theta}{\bar{\theta}})$ . Note that  $a(\frac{\theta}{\bar{\theta}})$  is even. So if

$$\epsilon(\tilde{\omega}^{-1}, \psi_0) \neq \epsilon(\tilde{\omega}^{-1} \frac{\bar{\theta}}{\theta}, \psi_0), \quad (5.1)$$

consider the character  $\mu = (-1)^{v_K}$  of  $K^*$  and take  $\tilde{\omega}_2^{-1} = \tilde{\omega}^{-1} \mu$ . If we consider the expression for epsilon factors on both the sides of (5.1), since  $a(\tilde{\omega}^{-1} \frac{\bar{\theta}}{\theta})$  is even, no  $\pi_K$  is present but only  $\pi_F$  on the RHS of this equation. Therefore the twist by  $\mu$  will not make any difference on the RHS. But on the

LHS, an extra  $\mu(\pi_K) = -1$  will appear changing the sign of LHS. Similarly if  $\epsilon(\tilde{\omega}^{-1}, \psi_0) = \epsilon(\tilde{\omega}^{-1} \frac{\bar{\theta}}{\theta}, \psi_0)$ , we can make them unequal by the same sort of twisting. So for half of  $\tilde{\omega} \in S_{2d-1}$ , the corresponding epsilon factors are equal and for the other half they are unequal. This proves the lemma.  $\square$

### 5.1.2 Twist by characters of even conductor

Note that if  $a(\lambda) = 2f \geq 2d$ , then in the expression for  $\epsilon(\lambda^{-1}, \psi_0)$  there is no  $\pi_K$ , but only  $\pi_F$ .

**Theorem 5.1.7.** *Let  $\lambda \in S(2f + 2d)$ ,  $f \geq 0$   $a(\frac{\theta}{\bar{\theta}}) \leq a(\lambda) - 2d = 2f$ . Then all the elements in  $\{\lambda\theta : \lambda \in S(2f + 2d)\}$  will occur in  $r_{\theta+}$ . Similarly if  $\lambda' \in S'(2f + 2d)$ , then all the elements in  $\{\lambda'\theta : \lambda' \in S'(2f + 2d)\}$  will occur in  $r_{\theta-}$ . Therefore the number of  $\lambda\theta$  where  $\lambda \in S_{2f+2d}$  occurring in  $r_{\theta}$  is  $|S_{2f+2d}|$ .*

*Proof.* Consider the two sums  $\sum_{\lambda \in S(2f+2d)} \epsilon(\lambda^{-1}, \psi_0)$  and  $\sum_{\lambda \in S(2f+2d)} \epsilon(\lambda^{-1} \frac{\bar{\theta}}{\theta}, \psi_0)$ ,

We have

$$\begin{aligned} \sum_{\lambda \in S(2f+2d)} \epsilon(\lambda^{-1} \frac{\bar{\theta}}{\theta}, \psi_0) &= q^{-f-d} \omega(\pi_F^{f+d+\frac{n(\psi_0)}{2}}) \times \\ &\quad \sum_{\lambda \in S(2f+2d)} \sum_{y \in \frac{U_K}{U_K^{2f+2d}}} \lambda(y) \frac{\theta}{\bar{\theta}}(y) \psi_0(\pi_F^{-(f+d+\frac{n(\psi_0)}{2})} y) \end{aligned}$$

In this summation, by theorem (5.1.1),  $\sum_{\lambda \in S(2f+2d)} \lambda(y) \neq 0$  only for two types of  $y$ 's: 1). when  $y = y_1(1 + \pi_F^f \pi_K y_2)$  where  $y_1, y_2 \in U_F$ , and 2). when  $y = y_1(1 + \pi_F^{f+d-1} \pi_K y_2)$  where  $y_1, y_2 \in U_F$  or  $y_2 = 0$ . But since  $a(\frac{\theta}{\bar{\theta}}) \leq 2f$  and  $\frac{\theta}{\bar{\theta}} = 1$  on  $F^*$  we have  $\frac{\theta}{\bar{\theta}}$  trivial on these  $y$ 's. So both the sums are independent of  $\frac{\theta}{\bar{\theta}}$  and so they are the same. That is,

$$\sum_{\lambda \in S(2f+2d)} \epsilon(\lambda^{-1}, \psi_0) = \sum_{\lambda \in S(2f+2d)} \epsilon(\lambda^{-1} \frac{\bar{\theta}}{\theta}, \psi_0)$$

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which means

$$\epsilon(\lambda^{-1}, \psi_0) = \epsilon(\lambda^{-1} \frac{\bar{\theta}}{\theta}, \psi_0) \quad \forall \lambda \in S(2f+2d)$$

since  $\epsilon(\lambda^{-1}, \psi_0) = 1$  for each  $\lambda \in S(2f+2d)$ . The remaining part follows similarly. This proves the theorem.  $\square$

**Corollary 5.1.8.** *If  $\frac{\theta}{\bar{\theta}} = (-1)^{v_K}$ , then all  $\lambda \in S(2f+2d)$  are such that  $\lambda\theta$  occur in  $r_{\theta+}$ . Similarly all  $\lambda' \in S'(2f+2d)$  are such that  $\lambda'\theta$  occur in  $r_{\theta-}$ .*

*Proof.* It follows by taking  $a(\frac{\theta}{\bar{\theta}}) = 0$  in the above theorem.  $\square$

Note: The above corollary shows the difference between characters of even conductor and characters of odd conductor. This corollary is extremely opposite to lemma (5.1.3).

Let  $\lambda \in S(2f+2d)$ ,  $2f < a(\frac{\theta}{\bar{\theta}}) < a(\lambda)$ . Note that if  $d = 1$ , then no such  $\theta$  exists. So we have  $d \geq 2$  and so  $q$  even. By definition, we have

$$\epsilon(\lambda^{-1} \frac{\bar{\theta}}{\theta}, \psi_0) = q^{-f-d} \omega(\pi_F^{f+d+\frac{n(\psi_0)}{2}}) \sum_{\lambda \in S(2f+2d)} \sum_{y \in \frac{U_K}{U_K^{2f+2d}}} \lambda(y) \frac{\theta}{\bar{\theta}}(y) \psi_0(\pi_F^{-(f+d+\frac{n(\psi_0)}{2})} y)$$

Again, by theorem (5.1.1), the sum  $\sum_{\lambda \in S(2f+2d)} \lambda(y) \neq 0$  only for two types of  $y$ 's:

1.  $y = y_1(1 + \pi_F^{f+d-1} \pi_K y_2)$ ,  $y_1 \in \frac{U_F}{U_F^{f+d}}$ ,  $y_2 \in \mathbb{F}_q$
2.  $y = y_1(1 + \pi_F^f \pi_K y_2)$ ,  $y_1 \in \frac{U_F}{U_F^{f+d}}$ ,  $y_2 \in \frac{U_F}{U_F^d}$

Consider first type of  $y$ 's.  $\frac{\theta}{\bar{\theta}}$  is trivial on the these  $y$ 's. Now

$$\sum_{\lambda \in S(2f+2d)} \lambda(y) = \omega(y_1) \sum_{\lambda \in S(2f+2d)} \lambda(1 + \pi_F^{f+d-1} \pi_K y_2) = -q^{f+d-1} \omega(y_1)$$

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Also  $\psi_0(\pi_F^{-f-d-\frac{n(\psi_0)}{2}}y)$  is  $\psi(-\pi_F^{-1}y_1y_2)$  when  $d = 2t+1$  and is  $\psi(-\pi_F^{-1}u^{-1}u'x_0^2y_1y_2)$  when  $d = 2s$ . Now

$$\begin{aligned} & \sum_{\lambda \in S(2f+2d)} \lambda(y) \psi_0(\pi_F^{-f-d-\frac{n(\psi_0)}{2}}y) \\ &= \begin{cases} -q^{f+d-1} \sum_{y_2 \in \mathbb{F}_q} \sum_{y_1 \in \frac{U_F}{U_F^{f+d}}} \omega(y_1) \psi(-\pi_F^{-1}y_1y_2) & \text{if } d \text{ odd} \\ -q^{f+d-1} \sum_{y_2 \in \mathbb{F}_q} \sum_{y_1 \in \frac{U_F}{U_F^{f+d}}} \omega(y_1) \psi(-\pi_F^{-1}u^{-1}u'x_0^2y_1y_2) & \text{if } d \text{ even} \end{cases} \\ &= \begin{cases} -q^{f+d-1} \sum_{y_2 \in \mathbb{F}_q} \omega(-y_2) \sum_{y_1 \in \frac{U_F}{U_F^{f+d}}} \omega(y_1) \psi(\pi_F^{-1}y_1) & \text{if } d \text{ odd} \\ -q^{f+d-1} \sum_{y_2 \in \mathbb{F}_q} \omega(-y_2x_0^2uu') \sum_{y_1 \in \frac{U_F}{U_F^{f+d}}} \omega(y_1) \psi(\pi_F^{-1}y_1) & \text{if } d \text{ even} \end{cases} \end{aligned}$$

Since  $a(\omega) = d \neq 1$ , by lemma (3.4.3)  $\sum_{y_1 \in \frac{U_F}{U_F^{f+d}}} \omega(y_1) \psi(\pi_F^{-1}y_1) = 0$ . So

$$\sum_{\lambda \in S(2f+2d)} \lambda(y) \sum_{y_1 \in \frac{U_F}{U_F^{f+d}}} \lambda(y) \psi_0(\pi_F^{-f-d-\frac{n(\psi_0)}{2}}y) = 0$$

Consider the second type of  $y$ 's. On these, we have

$$\begin{aligned} \sum_{\lambda \in S(2f+2d)} \lambda(y_1(1 + \pi_F^f \pi_K y_2)) &= \begin{cases} \omega(-1) \omega(y_1) \omega(\pi_F^f y_2) q^{f+t+\frac{1}{2}} \epsilon(\omega, \psi) & \text{if } d \text{ odd} \\ \omega(-1) \omega(y_1 y_2 u u') \omega(\pi_F^{f+s-t} y_2) q^{f+s} \epsilon(\omega, \psi) & \text{if } d \text{ even} \end{cases} \\ &\text{by equations (4.3), (4.4), and theorem (5.1.1)} \end{aligned}$$

and

$$\psi_0(\pi_F^{-f-d-\frac{n(\psi_0)}{2}}y_1(1 + \pi_F^f \pi_K y_2)) = \begin{cases} \psi(-\pi_F^{-d}y_1y_2) & \text{if } d \text{ odd} \\ \psi(-\pi_F^{-d}y_1y_2u^{-1}u'x_0^2) & \text{if } d \text{ even} \end{cases}$$

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Let  $d = 2t + 1$ . Then

$$\begin{aligned}
& \sum_{\lambda \in S(2f+2d)} \sum_{y_1 \in \frac{U_F}{U_F^{f+d}}} \sum_{y_2 \in \frac{U_F}{U_F^d}} \lambda(y) \frac{\theta}{\bar{\theta}}(y) \psi_0(\pi_F^{-f-d-1}y) \\
&= \omega(-1) \omega(\pi_F^f) q^{f+t+\frac{1}{2}} \epsilon(\omega, \psi) \sum_{y_1 \in \frac{U_F}{U_F^{f+d}}} \sum_{y_2 \in \frac{U_F}{U_F^d}} \omega(y_1 y_2) \psi(-\pi_F^{-d} y_1 y_2) \frac{\theta}{\bar{\theta}}(1 + \pi_F^f \pi_K y_2) \\
&= q^f q^{f+t+\frac{1}{2}} \omega(\pi_F^f) \epsilon(\omega, \psi) \sum_{y_2 \in \frac{U_F}{U_F^d}} \frac{\theta}{\bar{\theta}}(1 + \pi_F^f \pi_K y_2) \sum_{y_1 \in \frac{U_F}{U_F^d}} \omega(y_1 y_2) \psi(\pi_F^{-d} y_1 y_2) \\
&= q^{2f} q^{\frac{d}{2}} \omega(\pi_F^f) \epsilon(\omega, \psi) \epsilon(\omega, \psi) \omega(\pi_F^d) q^{\frac{d}{2}} \sum_{y_2 \in \frac{U_F}{U_F^d}} \frac{\theta}{\bar{\theta}}(1 + \pi_F^f \pi_K y_2) \\
&= q^{2f+d} \omega(\pi_F^{f+d}) \epsilon(\omega, \psi)^2 \sum_{y_2 \in \frac{U_F}{U_F^d}} \frac{\theta}{\bar{\theta}}(1 + \pi_F^f \pi_K y_2)
\end{aligned}$$

If  $d = 2s$  we will get the same sum with an extra  $\omega(-1)$  factor. Now if  $a(\frac{\theta}{\bar{\theta}}) \geq 2f + 4$  then

$$\sum_{y_2 \in \frac{U_F}{U_F^d}} \frac{\theta}{\bar{\theta}}(1 + \pi_F^f \pi_K y_2) = 0 \text{ and so } \sum_{\lambda \in S(2f+2d)} \epsilon(\lambda^{-1} \frac{\bar{\theta}}{\theta}, \psi_0) = 0.$$

Therefore half of elements in  $\{\lambda\theta : \lambda \in S(2f+2d)\}$  will appear in  $r_{\theta+}$ . Similarly, half of elements in  $\{\lambda'\theta : \lambda' \in S'(2f+2d)\}$  will appear in  $r_{\theta-}$ .

Let  $a(\frac{\theta}{\bar{\theta}}) = 2f+2$ . Then  $\sum_{y_2 \in \frac{U_F}{U_F^d}} \frac{\theta}{\bar{\theta}}(1 + \pi_F^f \pi_K y_2) = q^{d-1} \sum_{a \in \mathbb{F}_q} \frac{\theta}{\bar{\theta}}(1 + \pi_F^f \pi_K a) = -q^{d-1}$

Therefore if  $d = 2t + 1$ , then

$$\sum_{\lambda \in S(2f+2d)} \sum_{y_1 \in \frac{U_F}{U_F^{f+d}}} \sum_{y_2 \in \frac{U_F}{U_F^d}} \lambda(y) \frac{\theta}{\bar{\theta}}(y) \psi_0(\pi_F^{-f-d-1}y) = -q^{2f+2d-1} \omega(\pi_F^{f+d}) \epsilon(\omega, \psi)^2$$

So

$$\sum_{\lambda \in S(2f+2d)} \epsilon(\lambda^{-1} \frac{\bar{\theta}}{\theta}, \psi_0) = q^{-f-d} \omega(\pi_F^{f+d}) \times -q^{2f+2d-1} \omega(\pi_F^{f+d}) \epsilon(\omega, \psi)^2 = -q^{f+d-1} \epsilon(\omega, \psi)^2$$

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Similarly we will get  $\sum_{\lambda \in S(2f+2d)} \epsilon(\lambda^{-1}, \psi_0) = (q-1)q^{f+d-1} \epsilon(\omega, \psi)^2$  (This is because in place of  $\sum_{y_2 \in \frac{U_F}{U_F^d}} \frac{\theta}{\bar{\theta}} (1 + \pi_F^f \pi_K y_2)$  we have  $|\frac{U_F}{U_F^d}|$ ). But

$$\sum_{\lambda \in S(2f+2d)} \epsilon(\lambda^{-1}, \psi_0) = |S(2f+2d)| = (q-1)q^{f+d-1}.$$

So  $\epsilon(\omega, \psi)^2 = 1$ . (If  $d = 2s$ , instead of this, we have  $\omega(-1)\epsilon(\omega, \psi)^2 = 1$ ). Therefore number of  $\lambda$  such that  $\lambda\theta$  appear in  $r_{\theta+}$  is  $\sum_{\lambda \in S(2f+2d)} (\epsilon(\lambda^{-1}, \psi_0) + \epsilon(\lambda^{-1}\bar{\theta}, \psi_0)) = \frac{(q-1)-1}{2} q^{f+d-1} = \frac{q-2}{2} q^{f+d-1}$ . If  $d = 2s$  then also we can show that the sum is  $\frac{q-2}{2} q^{f+d-1}$ .

So in  $r_{\theta+}$ , the number of  $\lambda\theta$  occurring where  $\lambda \in S(2f+2d)$  is  $\frac{q-2}{2} q^{f+d-1}$ . Similarly in  $r_{\theta-}$ , the number of  $\lambda'\theta$  occurring where  $\lambda' \in S'(2f+2d)$  is  $\frac{q-2}{2} q^{f+d-1}$ . We summarize the above computations in the following two theorems.

**Theorem 5.1.9.** *Let  $\lambda \in S(2f+2d)$ ,  $2f+2 < a(\frac{\theta}{\bar{\theta}}) < a(\lambda)$ . Then among all  $\lambda\theta$  where  $\lambda \in S(2f+2d)$  exactly half will occur in  $r_{\theta+}$ . Similarly, let  $\lambda' \in S'(2f+2d)$ ,  $2f+2 < a(\frac{\theta}{\bar{\theta}}) < a(\lambda')$ . Then among all  $\lambda'\theta$  where  $\lambda' \in S'(2f+2d)$  exactly half will occur in  $r_{\theta-}$ . Therefore the number of  $\lambda\theta$  where  $\lambda \in S_{2f+2d}$  occurring in  $r_{\theta}$  is  $|S_{2f+2d}|/2$ .*

**Theorem 5.1.10.** *Let  $\lambda \in S(2f+2d)$ ,  $a(\frac{\theta}{\bar{\theta}}) = 2f+2 < a(\lambda)$ . Then number of  $\lambda\theta$  appearing in  $r_{\theta+}$  where  $\lambda \in S(2f+2d)$  is  $\frac{q-2}{2} q^{f+d-1}$ . Similarly, let  $\lambda' \in S'(2f+2d)$ ,  $a(\frac{\theta}{\bar{\theta}}) = 2f+2 < a(\lambda')$ . Then number of  $\lambda'\theta$  appearing in  $r_{\theta-}$  where  $\lambda' \in S'(2f+2d)$  is  $\frac{q-2}{2} q^{f+d-1}$ . The number of  $\lambda\theta$  where  $\lambda \in S_{2f+2d}$  occurring in  $r_{\theta}$  is therefore  $(q-2)q^{f+d-1}$ .*

Note: These two theorems are not valid for  $d = 1$  since no  $\frac{\theta}{\bar{\theta}}$  satisfies the condition in the theorem.

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**Theorem 5.1.11.** *Let  $a(\lambda) = 2f + 2d < a(\frac{\theta}{\bar{\theta}}) = 2m < a(\lambda) + 2d$ . Then the number of  $\lambda\theta$  with  $\lambda \in S_{2f+2d}$  appearing in  $r_\theta$  is  $|S(2f + 2d)| = |S_{2f+2d}|/2$ .*

*Proof.* Here  $a(\lambda^{-1}\frac{\bar{\theta}}{\theta}) = a(\frac{\theta}{\bar{\theta}})$ . Using the definition of  $\epsilon$ -factors, we have

$$\sum_{\lambda \in S(2f+2d)} \epsilon(\lambda^{-1}\frac{\bar{\theta}}{\theta}, \psi_0) = q^{-m} \omega(\pi_F^{m+\frac{n(\psi_0)}{2}}) \sum_{\lambda \in S(2f+2d)} \sum_{y \in \frac{U_K}{U_K^{2m}}} \lambda(y) \frac{\theta}{\bar{\theta}}(y) \psi_0(\pi_F^{-(m+\frac{n(\psi_0)}{2})} y)$$

Recall that, from theorem (5.1.1) the sum  $\sum_{\lambda \in S(2f+2d)} \lambda(y) \neq 0$  only for three types of  $y$ 's:

1.  $y = y_1(1 + \pi_F^f \pi_K y_2)$ ,  $y_1 \in \frac{U_F}{U_F^m}$ ,  $y_2 \in \frac{U_F}{U_F^{m-f}}$
2.  $y = y_1(1 + \pi_F^{f+d-1} \pi_K y_2)$ ,  $y_1 \in \frac{U_F}{U_F^m}$ ,  $y_2 \in \frac{U_F}{U_F^{m-f-d+1}}$
3.  $y = y_1(1 + \pi_F^{f+d} \pi_K y_2)$ ,  $y_1 \in \frac{U_F}{U_F^m}$ ,  $y_2 \in \frac{U_F}{U_F^{m-f-d}}$  or  $y_2 = 0$

But on the third type of  $y$ 's,  $\lambda$  is just  $\omega$  since  $a(\lambda) = 2f + 2d$ . On the second type of  $y$ 's,

$$\begin{aligned} \sum_{\lambda \in S(2f+2d)} \lambda(y) &= \omega(y_1) \sum_{\lambda \in S(2f+2d)} \lambda(1 + \pi_F^{f+d-1} \pi_K y_2) \\ &= \omega(y_1)(-q^{f+d-1}) \text{ (by theorem (5.1.1))} \end{aligned}$$

So  $\sum_{\lambda \in S(2f+2d)} \lambda(y)$  is independent of  $\lambda$  on these  $y$ 's. Finally consider the first type of  $y$ 's. Let  $d = 2t + 1$ .

$$\begin{aligned} \sum_{\lambda \in S(2f+2d)} \lambda(y_1(1 + \pi_F^f \pi_K y_2)) &= \omega(y_1) \sum_{\lambda \in S(2f+2d)} \lambda(1 + \pi_F^f \pi_K y_2) \\ &= \omega(-1) \omega(y_1) \epsilon(\omega, \psi) \omega(\pi_F^f y_2) q^{f+t+\frac{1}{2}} \\ &\quad \text{by equation (4.3) and theorem (5.1.1).} \end{aligned}$$

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Therefore

$$\begin{aligned}
& \sum_{\lambda \in S(2f+2d)} \sum_{y_1 \in \frac{U_F}{U_F^m}} \sum_{y_2 \in \frac{U_F}{U_F^{m-f}}} \lambda(y_1(1 + \pi_F^f \pi_K y_2)) \frac{\theta}{\theta} (y_1(1 + \pi_F^f \pi_K y_2)) \\
& \times \psi_0(\pi_F^{-m-1} y_1(1 + \pi_F^f \pi_K y_2)) \\
& = \epsilon(\omega, \psi) \omega(\pi_F^f) q^{f+t+\frac{1}{2}} \sum_{y_1 \in \frac{U_F}{U_F^m}} \sum_{y_2 \in \frac{U_F}{U_F^{m-f}}} \omega(-y_1 y_2) \psi(-\pi_F^{-m+f} y_1 y_2) \frac{\theta}{\theta} (1 + \pi_F^f \pi_K y_2)
\end{aligned}$$

In this sum, we have

$$\sum_{y_1 \in \frac{U_F}{U_F^m}} \omega(y_1 y_2) \psi(-\pi_F^{-m+f} y_1 y_2) = \sum_{y_1 \in \frac{U_F}{U_F^{m-f}}} \omega(y_1 y_2) \psi(-\pi_F^{-m+f} y_1 y_2) \left| \frac{U_F^{m-f}}{U_F^m} \right|$$

Since  $a(\omega) = d$  and  $m - f > d$ , by lemma (3.4.3), the above sum is zero.

Now if  $d = 2s$ , we have  $n(\psi_0) = 2(s - t)$ . Also, here the trace 0 element  $x_0$  is a unit and  $\pi_K = \frac{\pi_F^s u'}{2}(1 + x_0)$ . Considering  $y$ 's first type, we have

$$\begin{aligned}
\psi_0(\pi_F^{-(m+\frac{n(\psi_0)}{2})} y) &= \psi_0(\pi_F^{-m-s+t} y_1(1 + \pi_F^f \pi_K y_2)) \\
&= \psi\left(-\frac{\pi_F^{-m-s+t}}{2} y_1 \text{tr } x_0 (1 + \pi_F^f \pi_K y_2)\right) \\
&= \psi\left(-\frac{\pi_F^{-m-s+t}}{2} y_1 x_0^2 \cdot 2\pi_F^f y_2 \frac{\pi_F^s}{2} u'\right) \\
&= \psi(-\pi_F^{-m+f} u^{-1} u' y_1 y_2 x_0^2)
\end{aligned}$$

and so

$$\begin{aligned}
\sum_{\lambda \in S(2f+2d)} \lambda(y_1(1 + \pi_F^f \pi_K y_2)) &= \omega(-1) \epsilon(\omega, \psi) \omega(\pi_F^{f+s-t}) q^{f+s} \omega(y_1 y_2 u u') \\
&\text{by equation (4.4) and theorem(5.1.1).}
\end{aligned}$$



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Therefore

$$\begin{aligned}
& \sum_{\lambda \in S(2f+2d)} \sum_{y_1 \in \frac{U_F}{U_F^m}} \sum_{y_2 \in \frac{U_F}{U_F^{m-f}}} \lambda \quad (y_1(1 + \pi_F^f \pi_K y_2))^{\frac{\theta}{\bar{\theta}}} (y_1(1 + \pi_F^f \pi_K y_2)) \times \\
& \quad \psi_0(\pi_F^{-m-s+t} y_1(1 + \pi_F^f \pi_K y_2)) \\
& = \epsilon(\omega, \psi) \omega(\pi_F^{f+s-t}) q^{f+s} \sum_{y_2 \in \frac{U_F}{U_F^{m-f}}} \frac{\theta}{\bar{\theta}} (1 + \pi_F^f \pi_K y_2) \\
& \quad \times \sum_{y_1 \in \frac{U_F}{U_F^m}} \omega(y_1 y_2 u^{-1} u') \psi(-\pi_F^{-m+f} u^{-1} u' y_1 y_2 x_0^2)
\end{aligned}$$

The sum  $\sum_{y_1 \in \frac{U_F}{U_F^m}} \omega(y_1 y_2 u^{-1} u') \psi(\pi_F^{-m+f} u^{-1} u' y_1 y_2) = 0$  as in the  $d = 2t + 1$  case since  $m - f > d$ . So the sum over the first type of  $y$ 's become zero. So in both  $d$  odd and even cases, the sum  $\sum_{\lambda \in S(2f+2d)} \epsilon(\lambda^{-1} \frac{\bar{\theta}}{\theta}, \psi_0)$  depends only on second and third type of  $y$ 's and is independent of  $\lambda$ . Suppose this sum is  $n$ . Using similar arguments, we have  $\sum_{\lambda' \in S'(2f+2d)} \epsilon(\lambda'^{-1} \frac{\bar{\theta}}{\theta}, \psi_0) = n$ . So the number of  $+1$ 's in  $\{\epsilon(\lambda^{-1} \frac{\bar{\theta}}{\theta}, \psi_0) : \lambda \in S(2f+2d)\} = \frac{|S(2f+2d)|+n}{2}$ . Similarly, number of  $-1$ 's in  $\{\epsilon(\lambda'^{-1} \frac{\bar{\theta}}{\theta}, \psi_0) : \lambda' \in S'(2f+2d)\} = -\frac{|S(2f+2d)|+n}{2}$ . Therefore, the number of  $\lambda\theta$  appearing in  $r_{\theta+}$  is  $\frac{|S(2f+2d)|+n}{2}$ , number of  $\lambda'\theta$  appearing in  $r_{\theta-}$  is  $\frac{|S(2f+2d)|-n}{2}$ . Total number of  $\lambda\theta$  appearing in  $r_\theta$  is  $|S(2f+2d)|$ .  $\square$

**Theorem 5.1.12.** *Suppose  $\lambda \in S(2m)$ ,  $m \geq d$  and  $a(\frac{\theta}{\bar{\theta}}) = 2n \geq a(\lambda) + 2d$ . Then either all the elements in  $\{\lambda\theta : \lambda \in S(2m)\}$  will occur in  $r_{\theta+}$  or all the elements in  $\{\lambda'\theta : \lambda' \in S'(2m)\}$  will occur in  $r_{\theta-}$  not both. Therefore the number of  $\lambda\theta$  where  $\lambda \in S_{2m}$  occurring in  $r_\theta$  is  $|S_{2m}|/2$ .*

*Proof.* We have if  $\chi \in \widehat{K^*}$  with  $\chi|_{K^*} = \omega$  and  $a(\chi) \geq 2a(\tilde{\omega})$  then  $\epsilon(\chi, \psi_0) = \tilde{\omega}(-x_0/2) \tilde{\omega}^{-1}(y_\chi)$  where

$$y_\chi = \begin{cases} \pi_F^{-f-\frac{d-1}{2}} \pi_K a_0(\chi) (1 + a_1(\chi) \pi_K) (1 + a_2(\chi) \pi_F) \dots & \text{if } d \text{ is odd} \\ \pi_F^{-f-\frac{d}{2}} x_0 a_0(\chi) (1 + a_1(\chi) \pi_K) (1 + a_2(\chi) \pi_F) \dots & \text{if } d \text{ is even} \end{cases}.$$

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Here  $a(\lambda^{-1}\frac{\bar{\theta}}{\theta}) = a(\frac{\bar{\theta}}{\theta}) \geq 4d > 2a(\tilde{\omega})$ . Therefore  $\epsilon(\lambda^{-1}\frac{\bar{\theta}}{\theta}, \psi_0) = \tilde{\omega}(-x_0/2)$   
 $\tilde{\omega}^{-1}(\pi_F^{-f-\frac{d-1}{2}} \pi_K a_0(\lambda^{-1}\frac{\bar{\theta}}{\theta})(1 + a_1(\lambda^{-1}\frac{\bar{\theta}}{\theta})\pi_K) \dots (1 + a_{2d-2}(\lambda^{-1}\frac{\bar{\theta}}{\theta})\pi_F^{d-1}))$  if  $d$  odd.  
 But note that  $(\lambda^{-1}\frac{\bar{\theta}}{\theta})|_{U_K^{2n-2d+1}}$  determines  $a_i(\lambda^{-1}\frac{\bar{\theta}}{\theta})$  for  $i = 0, 1, \dots, 2d-2$   
 and on  $U_K^{2n-2d+1}$ ,  $\lambda^{-1}\frac{\bar{\theta}}{\theta} = \frac{\bar{\theta}}{\theta}$ . Therefore  $\epsilon(\lambda^{-1}\frac{\bar{\theta}}{\theta}, \psi_0)$  is independent of  $\lambda$  or  
 $\epsilon(\lambda^{-1}\frac{\bar{\theta}}{\theta}, \psi_0) = \epsilon(\frac{\bar{\theta}}{\theta}, \psi_0)$ . Now suppose that  $\epsilon(\lambda^{-1}, \psi_0) \neq \epsilon(\frac{\bar{\theta}}{\theta}, \psi_0) = -1$  for  
 one  $\lambda \in S(2m)$ . Then for all  $\lambda' \in S'(2m)$ ,  $\epsilon(\lambda'^{-1}, \psi_0) = -1 = \epsilon(\frac{\bar{\theta}}{\theta}, \psi_0) =$   
 $\epsilon(\lambda'^{-1}\frac{\bar{\theta}}{\theta}, \psi_0)$ . Therefore  $\{\lambda'\theta : \lambda' \in S'(2m)\}$  will occur in  $r_{\theta-}$ . On the other  
 hand if  $\epsilon(\lambda^{-1}, \psi_0) = \epsilon(\frac{\bar{\theta}}{\theta}, \psi_0) = 1$  for one  $\lambda$  it is the same for all other  
 $\lambda \in S(2m)$ . This proves the theorem.  $\square$

**Corollary 5.1.13.** *Suppose  $a(\lambda) = 2f + 2d < a(\frac{\theta}{\theta}) = 2m$ . If  $n =$  number of  
 $\lambda\theta$ ,  $\lambda \in S(2f + 2d)$ , appearing in  $r_{\theta+}$  then number of  $\lambda'\theta$ ,  $\lambda' \in S'(2f + 2d)$ ,  
 appearing in  $r_{\theta-}$  is  $|S(2f + 2d)| - n = |S'(2f + 2d)| - n$ . Also, if  $2m >$   
 $a(\lambda) + 2d$ , then either  $n = 0$  or  $n = |S(2f + 2d)|$ .*

*Proof.* Follows easily from the above two theorems.  $\square$

Only one case is left now for us to handle in this exposition viz.  $a(\frac{\theta}{\theta}) =$   
 $a(\lambda)$ . In this case we are not giving an exact count, but still we can provide  
 a lower bound in the next theorem.

**Theorem 5.1.14.** *If  $a(\frac{\theta}{\theta}) = a(\lambda) = 2f + 2d$ ,  $\lambda|_{F^*} = \omega$  then the number of  
 $\lambda\theta$  appearing in  $r_{\theta}$  is greater than or equal to  $q^{f+d-1}$ .*

*Proof.* Note that  $S(2f + 2d) \cup S'(2f + 2d) = \{\frac{\bar{\theta}}{\theta}\chi : \chi|_{F^*} = \omega, a(\chi) = 2d -$   
 $1, 2d, 2d + 2, \dots, 2f + 2d - 2\} \cup \{\frac{\bar{\theta}}{\theta}\chi : \chi|_{F^*} = \omega, a(\chi) = 2f + 2d, \chi|_{U_K^{2f+2d-1}} \neq$   
 $\frac{\theta}{\bar{\theta}}|_{U_K^{2f+2d-1}}\}$ . Now a  $\frac{\bar{\theta}}{\theta}\chi \cdot \theta = \chi\bar{\theta}$  will appear in  $r_{\theta}$  if and only if  $\epsilon(\chi^{-1}, \psi_0) =$   
 $\epsilon(\chi^{-1}\frac{\theta}{\bar{\theta}}, \psi_0)$ . So the number of  $\chi\bar{\theta}$  appearing in  $r_{\theta}$  where  $a(\chi) = 2d -$   
 $1, 2d, \dots, 2f + 2d$  is greater than or equal to  $|S(2d-1)| + |S(2d)| + |S(2d+2)| +$   
 $\dots + |S(2f+2d-2)| = q^{d-1} + (q-1)q^{d-1} + (q-1)q^d + \dots + (q-1)q^{f+d-2} = q^{f+d-1}$

by corollary (5.1.5), lemma (5.1.6), and theorems (5.1.11) and (5.1.12). Note that we are not considering  $\chi$ 's with conductor equal to  $2f + 2d$  and that is why we are unable to claim equality.  $\square$

**Remark:** If  $q = 2$ , there is no  $\chi$  such that  $\chi|_{U_K^{2f+2d-1}} \neq \frac{\bar{\theta}}{\theta}|_{U_K^{2f+2d-1}}$ . So equality holds in the theorem.

## 5.2 The unramified case

Suppose  $K$  over  $F$  is unramified and let  $\chi \in \widehat{F^*}$  be such that  $\chi|_{K^*} = \omega$ . Let  $\tilde{\omega}$  be an extension of  $\omega$  as in section (3.2). Note that  $a(\frac{\theta}{\bar{\theta}}) \neq 0$ . Otherwise, since  $\pi_K = \pi_F \in F$  in this case,  $\frac{\theta}{\bar{\theta}}(\pi_K) = 1$  so that  $\frac{\theta}{\bar{\theta}}$  is trivial. Then  $\theta = \bar{\theta}$  contradicting the regularity of  $\theta$ . So  $a(\frac{\theta}{\bar{\theta}}) \geq 1$ .

We divide our counting into mainly 3 cases:

**Case 1:**  $a(\frac{\theta}{\bar{\theta}}) < a(\chi)$

We have  $\epsilon(\chi^{-1}, \psi_0) = \tilde{\omega}(-x_0/2)\tilde{\omega}^{-1}(y_{\chi^{-1}})$ . Since  $\tilde{\omega}$  is trivial on units in the unramified case, let  $y_{\chi^{-1}} = \pi_F^{-a(\chi)}$ . So we have  $\epsilon(\chi^{-1}, \psi_0) = (-1)^{a(\chi)+t}$  where  $t = v_F(2)$ . Since  $a(\frac{\theta}{\bar{\theta}}) < a(\chi)$  we have  $\epsilon(\chi^{-1}\frac{\bar{\theta}}{\theta}, \psi_0) = (-1)^{a(\chi)+t} = \epsilon(\chi^{-1}, \psi_0)$ . So all the  $\chi$ 's are such that all  $\chi\theta$  will occur in  $r_{\theta+}$  or all will occur in  $r_{\theta-}$  depending on whether  $a(\chi)$  is even or odd.

**Case 2:**  $a(\chi) < a(\frac{\theta}{\bar{\theta}})$

In this case,  $a(\chi^{-1}\frac{\bar{\theta}}{\theta}) = a(\frac{\theta}{\bar{\theta}})$ . So  $\epsilon(\chi^{-1}\frac{\bar{\theta}}{\theta}, \psi_0) = (-1)^{a(\frac{\theta}{\bar{\theta}})+t}$ . Also,  $\epsilon(\chi^{-1}\frac{\bar{\theta}}{\theta}, \psi_0) = (-1)^{a(\chi)+t}$ .  $\chi\theta$  will occur in  $r_\theta$  if and only if  $a(\chi) = a(\frac{\theta}{\bar{\theta}})(\text{mod } 2)$ .

**Case 3:**  $a(\chi) = a(\frac{\theta}{\bar{\theta}})$

Here we have two possibilities:

1.  $a(\chi^{-1}\frac{\bar{\theta}}{\theta}) < a(\chi)$  or  $a(\chi) < a(\chi^{-1}\frac{\bar{\theta}}{\theta})$ : In this case, if  $a(\chi) = a(\frac{\theta}{\bar{\theta}})(\text{mod } 2)$  then  $\chi\theta$  will occur in  $r_\theta$ .

## 5.2 The unramified case

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2.  $a(\chi^{-1}\frac{\bar{\theta}}{\theta}) = a(\chi)$ : In this case  $\chi\theta$  will occur in  $r_\theta$ .

**Remark:** Since by theorem(4.3.3),  $\lambda\theta$  appears in  $r_{\theta+}$  (respectively  $r_{\theta-}$ ) if and only if  $\lambda\theta$  does not appear in  $r'_{\theta+}$  (respectively  $r'_{\theta-}$ ), all the theorems proved in this chapter have their obvious  $D_F^*$  analogues.

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