

**SOME ASPECTS OF
THE LIE-ALGEBRAIC DESCRIPTION OF
ANHARMONIC DYNAMICS**

**A THESIS
SUBMITTED FOR THE DEGREE OF
DOCTOR OF PHILOSOPHY**

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TO DEAR

NANNA
and My
HUSBAND

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STATEMENT

I hereby declare that the matter embodied in this thesis is the result of investigations carried out by me in the school of chemistry, University of Hyderabad, Hyderabad, India under the supervision of Dr. M. Durga Prasad.

In keeping with the general practice of reporting scientific observations due acknowledgements have been made whenever the work described is based on the findings of other investigators.

Hyderabad
May 1994.


G. Madhavi Sastry

CERTIFICATE

Certified that the work contained in this thesis entitled: "SOME ASPECTS OF THE ALGEBRAIC DESCRIPTION OF ANHARMONIC DYNAMICS" has been carried out by Ms. G. Madhavi Sastry, under my supervision and the same has not been submitted elsewhere for a degree.



DEAN
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SYNOPSIS

In this thesis we discuss the Lie-algebraic construction of the time evolution operator [1-11] to study the dynamics on anharmonic potential energy surfaces. The essential feature of the algebraic approaches is that if the hamiltonian is an element of a Lie-algebra,

$$H = \sum_i h_i I_i, \quad (1)$$

$$[I_i, I_j] = \sum_k C_{ij}^k I_k \quad (2)$$

the time evolution operator can be parametrized as the exponential of an antihermitian element of that algebra [1,2].

$$U = \exp(X), \quad (3)$$

$$X = -X^\dagger = \sum x_i I_i. \quad (4)$$

Here the coefficients C_{ij}^k are the structure constants of the algebra.

The governing equations for the coefficients of the generators of the evolution operator are obtained by substituting the ansatz (3) into the Schroedinger's equation for the evolution operator

$$i U^{-1} \dot{U} = U^{-1} H U. \quad (5)$$

Expanding both sides of eq.(5) by the Hausdorff expansion [2] and equating the coefficients of each I_i on either side of the equation provides the required working equations. The resulting expressions are compact and provide a convenient route for generating the solution to eq.(5) either perturbatively [3] or non perturbatively [4-8].

The advantages of the algebraic method when the Lie-algebra is finite dimensional is that the number of independent variables

required to define the evolution operator globally is finite, even if the underlying Hilbert space is infinite dimensional. The most general class of hamiltonians that belong to a finite dimensional Lie-algebra, other than the projection operator algebra operative in finite dimensional vector spaces, are the quadratic hamiltonians. Hence most of the studies, involving the Lie-algebraic theory have been done using the quadratic Lie-algebra [4-10]. Since most problems of practical interest require dynamics on anharmonic surfaces, the harmonic oscillator algebra cannot provide an exact solution for the evolution operator of such systems.

In this thesis we describe a way to extend the Lie-algebraic theory to describe the dynamics generated by the anharmonic hamiltonians. All anharmonic hamiltonians with fixed number of degrees of freedom are elements of a single infinite dimensional Lie-algebra. Thus they can all be treated on the same generic footing irrespective of the specific interaction potential that characterises the system. These algebras are introduced in chapter 2 along with a discussion on the difficulties encountered when a canonical representation of the evolution operator is invoked. Briefly, these consist of the following: Since the algebra is infinite dimensional, the number of independent variables required to parametrize the evolution operator is also infinity. Consequently, truncations are necessary in any practical calculation. Even then, the governing equations for the generators of the evolution operator contain infinite order polynomials of the unknown coefficients necessitating further approximations. In addition, under some exotic conditions, the existence of the solution is also questionable.

These problems can be surmounted by parametrizing the evolution operator in a non-canonical product of exponential operators. Vei and Norman [1] and Wolf and Korsche [11] have discussed a reduction principle when the algebra under consideration has an invariant sub-algebra. However the anharmonic oscillator algebra does not have any nontrivial invariant subalgebra. Consequently, the Vei-Norman and Wolf-Korsch procedure cannot be used in this case. A reduction principle to construct the time evolution operator is reviewed

which we then use it to construct the time evolution operator for a general 1-d anharmonic oscillator and later extend it to multidimensions. The evolution operator generated by the algebraic approach in the boson operator representation turns out to be the time dependent generalization [13] of the ansatz postulated by the coupled cluster Method [12] (CCM) for the anharmonic oscillators [14-17]. The concepts invoked in the CCM such as the cluster decomposition property [14] and the subsystem embedding condition [15] emerge naturally in the algebraic approach. It turns out that the usage of the Wei-Norman product form in which the operator sequence is chosen by a reduction principle eliminates both the problems encountered in the construction of the anharmonic evolution operator by the algebraic approach.

We now briefly describe the construction of the time evolution operator for anharmonic oscillators which we discuss in chapter 2 of the thesis. For simplicity we first discuss the one dimensional systems and later extend it to the multidimensional case. The most general form of the one dimensional hamiltonian in such a case is

$$H = p^2/2m + \sum_{n=0}^{\infty} \frac{1}{n!} V_n q^n \quad (6)$$

Here q and p are the coordinate and the momentum operators of the particle, m is the mass and V are the coefficients appearing in the Taylor series for the potential energy function. This hamiltonian is an element of the infinite dimensional Lie-algebra

$$L_0 = \{ Q_{mn} = q^m p^n ; 0 \leq m, n < \infty \} \quad (7)$$

Equivalently, in terms of the harmonic oscillator ladder operators a and a^\dagger , L_0 may be represented as

$$L_0 = \{ A_{mn} = a^{+m} a^n ; 0 \leq m, n < \infty \} \quad (8)$$

Given that H is an element of L , we can parametrize the evolution operator U in the Wei-Norman product as

$$U = \prod \exp [S_{mn} a^{+m} a^n] \quad (9)$$

Two ways of decomposing the infinite dimensional lie-algebra are considered from which the time evolution operators for a general anharmonic hamiltonian are constructed. To demonstrate this it is convenient to classify the operators in the infinite dimensional Lie-algebra as

a. Sets of creation operators

$$C_k = \{ a^{+(k+n)} a^k ; n \geq 1 \} \quad 0 \leq k \leq \infty \quad (10a)$$

b. Sets of annihilation operators

$$A_k = \{ a^{+k} a^{k+n} ; n \geq 1 \} \quad 0 \leq k \leq \infty \quad (10b)$$

c. Sets of diagonal operators

$$D_k = \{ a^{+k} a^k \} \quad 0 \leq k \leq \infty \quad (10c)$$

Two different forms of the time evolution operator are discussed corresponding to the time dependent. generalisation of the NCCM and ECCM [12] ansatze. These are,

$$U = \prod_{k=0}^{\infty} [\exp (V_k) \exp (Z_k)] \prod_{l=0}^{\infty} \exp (Y_l) ;$$

$$W_k \in C_k, \quad Z_k \in D_k, \quad Y_l \in A_l \quad (11a)$$

$$W_k = \sum_m S_{mk} a^{+(m+k)} a^k, \quad Y_k = \sum_m y_{mk} a^{+k} a^{(m+k)}, \quad Z_k = \sum_l S_{kl} a^{+k} a^k$$

where $m > k > 0$ and

$$U = \prod_{k=0}^{\infty} [\exp (W_k) \exp (Y_k) \exp (Z_k)] ;$$

$$W_k \in C_k, \quad Y_k \in A_k, \quad Z_k \in D_k \quad (12b)$$

The equations of motion for the different cluster amplitudes S_{m0} are obtained from eq.(5). When truncations are made in the operator set, those equations also contain finite order polynomials only. The multidimensional evolution operator is

given by

$$U = U_0 U_1 U_2 \dots \quad (13a)$$

$$U_0 = \exp \left[\sum_{n_1 n_2 \dots} S_{n_1 n_2 \dots}^0 (a_1^+)^{n_1} (a_2^+)^{n_2} \dots + S_{00}^0 \right] \quad (13b)$$

$$U_1 = \exp \left[\sum_{\alpha} \sum_{n_1 n_2 \dots} S_{n_1 n_2 \dots; \alpha}^1 (a_1^+)^{n_1} (a_2^+)^{n_2} \dots a_{\alpha} \right] \\ \exp \left[\sum_{\alpha \beta} S_{\alpha \beta}^1 a_{\alpha}^+ a_{\beta} \right] \quad (13c)$$

The main advantage of invoking this reduction principle is that decoupled equations of motion are obtained. In the later chapters we study the convergence properties of the time dependent coupled cluster method (TDCCM). In the whole thesis we have used the names TDCCM and the algebraic method interchangeably wherever applicable.

Convergence properties of the TDCCM applied to one dimensional potential energy surfaces are studied in chapter 3. Applications to exponentially repulsive, Morse and time driven exponentially perturbed harmonic potentials [1-10] have been carried out. In all these cases, it was found that the Lie-algebraic approach converges to the numerically exact result very quickly.

In chapter 4 the time dependent coupled cluster method (TDCCM) has been applied to the photodissociation dynamics of linear triatomics using the Beswick and Jortner model [18] and the two dimensional Henon-Heils hamiltonian [19]. The autocorrelation functions and absorption spectra have been evaluated and the convergence of the method has been tested by evaluating the autocorrelation function at different truncations of the S-matrix elements for the photodissociation dynamics. Once again the Lie-algebraic approach showed a better convergence pattern than the linear basis set expansion approach. For the Henon-Heils system, we have studied the norm conservation for a few model systems and obtained the spectra.

The algebraic approach has been applied to calculate the dynamics of a few model **non-adiabatic** single mode systems and model **multi mode** systems in chapter 5. In our **applications** in this chapter, we assume that only two electronic states belonging to different irreducible **representations** of the molecular point group and n vibrational modes, are relevant to the dynamics. The resultant **hamiltonian** can be written as [20]

$$H = \sum_i |e_i\rangle \epsilon_i \langle e_i| + \omega_c a_c^\dagger a_c + \sum_{i \neq j} |e_i\rangle [V_c (a_c^\dagger + a_c)] \langle e_j| \\ + \sum_t \omega_t a_t^\dagger a_t + \sum_{t,i} |e_i\rangle (V_t^{(i)} [a_t^\dagger + a_t]) \langle e_i| \quad (14)$$

where, ω and V are the normal mode frequency and the force constants of the vibrational modes **respectively**. c denotes the coupling mode and t denotes the tuning mode. ϵ_i is the energy of the i th electronic state e_i . a and a^\dagger are the boson ladder operators operative in the vibrational subsystem. Defining the **auxiliary** parameters,

$$V_t = 0.5 (V_t^{(1)} + V_t^{(2)}) \quad (15a)$$

$$U_t = 0.5 (V_t^{(1)} - V_t^{(2)}) \quad (15b)$$

the resultant **hamiltonian** turns out to be

$$\bar{H}_1 = \sum_{n=t,c} \omega_n a_n^\dagger a_n + \sum_t V_t (a_t^\dagger + a_t) - \epsilon \cos(\pi \sum_c a_c^\dagger a_c) \\ - \sum_t U_t (a_t^\dagger + a_t) \cos(\pi \sum_c a_c^\dagger a_c) + V_c (a_c^\dagger + a_c) \quad (16)$$

We now use the coherent state operator algebra to calculate the **dynamics** of this system [4-11]. We parametrise the evolution operator as a product of **exponentials** generated by the elements of the n -dimensional harmonic oscillator algebra

$$L_n = \{ 1, a_i^\dagger, a_i, a_i^\dagger a_j^\dagger, a_i a_j, a_i^\dagger a_j \} \quad (17)$$

Since we are particularly interested in the dynamics of the vacuum state, the evolution operator was parametrised as

$$U = \exp \left[\sum_i S_1^i a_i^\dagger + \sum_{i \leq j} S_2^i a_i^\dagger a_j^\dagger \right] \exp \left[- \sum_i T_1^i a_i - \sum_{i \leq j} T_2^i a_i a_j \right] \times \exp \left[S_0 \right] \quad (18)$$

As the annihilation operators are to the extreme right the equations for the autocorrelation function simplify. The term $U \cos(\pi a_c a_c) U$ which results from the multicommutator expansion of $U^\dagger H U$ can be rearranged as $\exp(X a_c^\dagger) \cos(\pi a_c^\dagger a_c) \exp(Y a_c)$ or $\exp(X a_c^\dagger) \exp(Y a_c) \cos(\pi a_c^\dagger a_c)$ where X and Y are time dependent variables. Calculations have been performed using both the sets of equations and the results were found to be different in the two cases. We have applied the formalism to evaluate the Franck-Condon spectra of some model one dimensional systems containing only a coupling mode. As the tuning modes which modulate the electronic energy gap in the system are absent, the dependence of the coupling energy gap and the coupling constant on the spectra have been studied. We have also obtained the photoelectron spectra of a few multidimensional realistic systems.

In all our calculations we required about thirteen equations to be integrated where as the basis set expansion methods to date require an order of 20 basis [21-23] in each degree of freedom to obtain the spectra. This computational simplicity is the main attraction of the algebraic theory. The spectra obtained where in reasonably good agreement with the exact.

Calculations have also been performed using TDCCM on the mapped hamiltonian using

$$U = \exp[S_m a_m^\dagger] \quad (19)$$

as the time evolution operator. However the resulting equations were quite stiff and could not be integrated. As the TDCCM failed to describe the non-adiabatic dynamics of systems described by the hamiltonian eq.(14), we have applied the multireference TDCCM (MRTDCCM) to include the complete algebra of the original

unmapped **hamiltonian** eq. (14). The advantage of MRTDCCM over single reference **TDCCM** is that all the electronic excitations are taken into account in MRTDCCM where as in single reference TDCCM all the electronic excitation operators involving quadratic and higher order excitations are zero for the two electronic state problem. Therefore we expect the results to be better than the single reference TDCCM. This difference between the two methods holds good for any number of electronic states in which case many of the electronic excitation operators in the exponential ansatz do not contribute in the single reference TDCCM. Two different MRTDCCM formalisms (MRTDCCM1 and MRTDCCM2) have been discussed. The Lie-algebra associated with this system is

$$L = \{ |i\rangle \langle k| : \prod_{\alpha} a_{\alpha}^{+m_{\alpha}} a_{\alpha}^{n_{\alpha}} \} \cup i, k ; 0 \leq m_{\alpha}, n_{\alpha} \leq \infty \quad (20)$$

In the case of MRTDCCM1 the operators $|i\rangle \langle k|$ correspond to the electronic state subsystem and a_{α}^{+} and a_{α} correspond to the boson ladder operators of the vibrational subsystem. In MRTDCCM2 the operators $|i\rangle \langle k|$ correspond to the combined electronic and **coupling** mode subsystem and the boson ladder operators correspond to the tuning mode subsystem. A **decomposition** procedure is discussed similar to that discussed in chapter 2 from which the time evolution operator is constructed. We have applied the above formalism to obtain the spectra of a few **realistic** systems. For short time dynamics MRTDCCM performed well but the **long** time dynamics could not be obtained due to the stiffness of the equations.

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CHAPTER 1

INTRODUCTION

1.1. INTRODUCTION.

Quantum dynamics has developed into an effective tool to study molecular dynamics. Modern technological progress has made it possible to study individual molecular processes with the use of molecular beams and tunable lasers. These experimental studies necessitate the development of new theoretical methods and models to study such processes. This modelling of molecular systems gives a deeper insight into the mechanism of molecular phenomena. Also the simulation of the experimental results is now possible with the advent of high-tech computers.

Studying molecular dynamics using quantum theory essentially involves solving the Schrodinger equation either in the time independent formalism or time dependent formalism [1]. Many problems in molecular dynamics such as spectroscopy, molecular scattering etc. have been traditionally dealt with using the static theory i.e, solving the time independent Schrodinger equation. Later on the time dependent methods have gained a greater importance due to the inherent advantages these methods have to offer. One of the major problems which arises in the application of the static formalism is in the evaluation of spectra. In such an approach all the accessible eigenvalues and eigenstates have to be evaluated to obtain the spectrum irrespective of the resolution required. Such eigenstates can be very large in number even for small molecules and extension to larger molecules becomes very cumbersome and difficult to deal with. In most of the cases the observed experimental spectra do not show all the eigenstates, rather the envelope of spectrum

generated by these 3 states is observed. The time dependent Methods are based on the **dynamical** evolution of an initially prepared state of the system and do not require evaluating **all** the **eigen states**.

Several methods exist in literature to solve the Schroedinger equation in its time dependent formalism. The simplest and accurate method of these is the basis set expansion approach [2]. In this approach, the time dependent wave function is expanded as a linear **superposition** of the basis vectors of an appropriate **Hilbert** space.

$$\psi(t) = \sum_i C_i \phi_i \quad (1.1.1)$$

The equations of motion for the coefficients **C** obtained from the time dependent Schroedinger equation (TDSE) are

$$i\hbar \dot{C}_i = \sum_j H_{ij} C_j. \quad (1.1.2)$$

Several choices of basis vectors ϕ ranging from discrete variable **representations** using coordinate space grids to finite basis set **representations** that use the **eigenfunctions** of convenient zeroeth order **hamiltonian**, including mixed mode **representations** have been discussed in literature along with strategies to implement such calculations [2]. Although the **implementation** of this method is very simple, the **computational effort** required to solve for the properties of a **system** scales **exponentially** with the number of degrees of freedom in the system. Consequently, dealing with larger systems becomes

impractical. This has prompted several authors to look for alternative approaches. The classical trajectory based methods, the semiclassical methods [2-9] and the pure quantum mechanical methods that use dynamical basis sets are some of them. In the later sections of this chapter, we will discuss the semiclassical GWP (gaussian wave packet propagation), the TDSCF (time dependent self consistent field), the TDCCM (time dependent coupled cluster method) and the Lie-algebraic methods, all of which are related to each other as they involve the usage of the time dependent basis sets.

1.2. GAUSSIAN WAVE PACKET PROPAGATION (GWP) TECHNIQUES.

The semiclassical Gaussian Wave Packet dynamics (GWP) [3] by Heller has received considerable attention in the recent years. The approach parametrises the wave packet as a travelling gaussian

$$\psi(q,t) = \exp \left[\frac{i}{\hbar} \left\{ \alpha_t (q - q_t)^2 + p_t (q - q_t) + \gamma_t \right\} \right], \quad (1.2.1)$$

where q_t and p_t are the expectation values of position and momentum respectively, α_t describes the width of the wave packet during its evolution and γ_t is the phase factor of the wave packet. This approach is exact for harmonic potentials. In the simple gaussian wave packet dynamics the [3-6] potential $V(q)$ is expanded as a Taylor series around the centroid of the wave packet and truncated at the quadratic level

$$V(q) = V(q_t) + (\partial V / \partial q)_{q=q_t} + 1/2! (\partial^2 V / \partial q^2)_{q=q_t} (q - q_t)^2. \quad (1.2.2)$$

This **approximation** is termed the local harmonic **approximation** (**LHA**). Substituting eq. (1.2.1) and (1.2.2) in the time dependent Schroedinger equation

$$i\hbar \partial \psi / \partial t = H \psi \quad (1.2.3)$$

result in the following equations of motion

$$\dot{q}_t = p_t / m, \quad (1.2.4a)$$

$$\dot{p}_t = - (\partial V / \partial q)_{q=q_t}, \quad (1.2.4b)$$

$$\dot{\alpha}_t = -2 \alpha_t / m - 1/2 (\partial^2 V / \partial q^2)_{q=q_t}, \quad (1.2.4c)$$

$$\dot{\gamma}_t = i\hbar \alpha_t / m + P q_t - p_t^2 / 2m - V_0. \quad (1.2.4d)$$

This approach works **well** even for **anharmonic** systems provided the imaginary part of the width parameter is considerably smaller than the **characteristic** range over which the potential energy function changes.

Another variant on **GWP** utilizes the Frenkel - Dirac variational principle [9] to obtain the working equations. Recently, Coalson and **Karplus** [9] have recast these equations in a **convenient** form for practical calculations and have applied to the **photodissociation** dynamics of **ICN** using the Beswick and Jortner **model** [10]. Although this procedure does not invoke the **locally** harmonic **approximation** and gives a better account of the **anharmonicities** prevalent in the system, it cannot describe the

classically forbidden processes such as tunneling and cannot account for the **non-gaussian** distortions the wave packet eventually undergoes.

The GWP is subject to two **limitations**. The first is the locally harmonic **approximation** (LHA). As a consequence of this the wave packet is confined to the classical trajectory. The second which is also a consequence of the LHA is the restriction that the wave packet remains a gaussian throughout the course of its evolution. In the presence of **anharmonic perturbations**, these restrictions can cause inaccuracies in the final spectrum. For example, in an early study of the Franck-Condon spectrum of a **Morse oscillator**, Warshel and Hwang [4b] found that the GWP at thawed gaussian **approximation** did not produce sharp peaks at the quantised energies. More recently Coalson and **Karplus** [9] observed that the variational GWP in the Hartree **approximation** gave an artificial negative absorption for a two dimensional dissociative surface. One way of overcoming this drawback is by expanding the initial wave packet as a linear combination of displaced gaussians [5].

$$\psi = \sum_i c_i g_i \quad (1.2.5)$$

The coefficients c_i can either be kept constant or **alternatively** can be varied in time. The individual gaussians g_i are propagated using the GWP **prescription**. Another way of **parametrising** the wave packet is by expanding the wave function as

$$\psi = P(q, q_t)g \quad (1.2.6)$$

where P is a polynomial function of $(q - q_0)$ [7,8]. The parameters in g are obtained either **variationally** or **non-variationally**. The approach has been **applied** to study the **photodissociation dynamics** of **CH₂I** by Lee and Heller [7] and to study atom-diatom scattering by Coalson and Karplus [8]. Essentially these methods use **GWP** to generate a set of time dependent functions which are then used to carry out the dynamical **calculations**. Inherently it is hoped that since GWP provides fairly accurate description of the dynamics, few such dynamical basis functions suffice to provide the required numerical accuracy [7,8]. The major **computational** advantage is lost in these approaches however, since the number of such basis functions increases **exponentially** with the number of degrees of freedom in the system.

1.3. QUANTUM MECHANICAL METHODS.

1.3a. The time dependent self consistent field **method** (TDSCF).

We first discuss the TDSCF approach [11] which is based on the time dependent variational principle [12] and is free from divergences. The trial **wavefunction** in this theory is taken as a product of wave packets which depend on a single degree of **freedom** i.e.,

$$\psi(r) = \prod_i \phi_i(r_i) \exp(i\theta t), \quad (1.3.1)$$

where $\phi(r)$ are the wave packets dependent on a single degree of freedom r and ϕ is the overall time dependent phase factor. The general **hamiltonian** of a **many** particle system can be written as

$$H = \sum_i h_i + V \quad (1.3.2)$$

where h_i correspond to the i th degree of freedom and V is the interaction potential. We now apply the time dependent variational principle according to which

$$\delta \psi \int_0^t \langle \psi | H - i\partial/\partial t | \psi \rangle dt' = 0 \quad (1.3.3)$$

Substituting the equations for the **hamiltonian** and the wave function in the above equation gives

$$\delta \phi_i \int \langle \prod_i \phi_i(r_i) | \sum_i h_i + V - i\partial/\partial t | \prod_j \phi_j(r_j) \rangle dt' = 0 \quad (1.3.4)$$

From the above integral the following equations emerge.

$$i\dot{\phi}_i(r_i) = h_i^{scf} \phi_i \quad (1.3.5)$$

where,

$$h_i = \sum h_i + \int \prod_{j \neq i} \phi_j^*(r_j) V \phi_j(r_j) dr_j \quad (1.3.6)$$

In this **approximation**, the potential **elements** are counted twice i.e., $\phi(r_i)$ interacting with $\phi_j(r_j)$ and vice versa. To account

for this error a term is **subtracted** from the phase factor of the wave function which is given by

$$\theta = \int \prod \phi_j^* (r_j) V \phi_j (r_j) dr_j. \quad (1.3.7)$$

The above three equations are the TDSCF equations which are solved **self-consistently** to obtain the required properties of a system. This approach is **size-consistent** and **size-extensive** and has been applied to the calculation of dynamics of molecular collisions, molecular absorption spectra and **non-adiabatic dynamics** [12].

The TDSCF is an **approximation** to the exact dynamics and its wave packet deviates from the exact wave function as the time progresses. Two approaches, the **Configuration** Interaction (CI) based on TDSCF orbitals [12a] and the **MCTDSCF** [12b] have been discussed in literature to go beyond TDSCF. In this sense the TDSCF provides a recipe to generate the dynamical basis to carry out the CI **calculations**. It has been shown that the number of functions required to achieve convergence is quite small in these approaches. However, these methods also suffer from the exponential growth of the basis with the number of degrees of **freedom**.

1.3b. The time **dependent** coupled cluster method **CTDCCM**.

Unlike the methods described above, the time dependent coupled cluster method [13-18] is based on the operator solution to the **time** dependent Schrodinger equation in which the part of

the evolution operator that generates the component of the wave function outside the chosen model space is written as an exponential operator.

$$|\psi\rangle = \exp(S) |\phi_0\rangle \quad (1.3.8)$$

We now summarise some of the works that appeared in literature on TDCCM.

The formulation of the TDCCM has appeared in the late seventies. **Schonhammer** and Gunnarson [13] introduced the time dependent **generalisation** of the coupled cluster **method** to calculate the spectral functions of **fermi** systems. They parametrise the wave function as

$$|\phi(t)\rangle = N(t) \exp(S(t)) |\phi_0\rangle, \quad (1.3.9)$$

where $N(t)$ is a **normalisation** factor and $S(t)$ is a time dependent operator creating **particle-hole** pairs with respect to the state $|\phi_0\rangle$.

$$\begin{aligned} S(t) &= \sum S_{am}^{(1)}(t) \psi_a^\dagger \psi_m + \sum S_{abmv}^{(2)}(t) \psi_a^\dagger \psi_b^\dagger \psi_m \psi_v + \dots \\ &= \sum_{n=1}^{\infty} S^{(n)}(t), \end{aligned} \quad (1.3.10)$$

where ψ are the **annihilators** of the singly occupied particle states in $|\phi_0\rangle$ and ψ_a^\dagger are the creation operators of the unoccupied single particle states in $|\phi_0\rangle$. $S(t)$ satisfy the condition

$$S^+(t) |\phi_0\rangle = 0. \quad (1.3.11)$$

As the spectral function is determined by $\langle \phi_0 | \phi_t \rangle$ which can be rewritten as

$$\langle \phi_0 | \exp(-i H t) | \phi_0 \rangle = N(t), \quad (1.3.12)$$

their interest is to determine $N(t)$ which they do so by substituting eq (1.3.9) in the time dependent Schrodinger equation given by

$$i \partial / \partial t \phi(t) = H \phi(t). \quad (1.3.13)$$

Hence the following equation is obtained

$$i [\dot{N}(t) + \dot{S}(t) N(t)] \exp(S(t)) |\phi_0\rangle = N(t) H \exp(S(t)) |\phi_0\rangle \quad (1.3.14)$$

Premultiplying the above equation with $\exp(-S)$ amounts to

$$i [\dot{N}(t) + \dot{S}(t) N(t)] |\phi_0\rangle = N(t) \bar{H}(t) |\phi_0\rangle \quad (1.3.15)$$

where

$$\bar{H}(t) |\phi_0\rangle = \exp(-S(t)) H \exp(S(t)) \quad (1.3.16)$$

Projecting eq(3) on the left with $|\phi_0\rangle$ gives the equation for $N(t)$ and $S^n(t)$ as

$$i \dot{N}(t) = N(t) \langle \phi_0 | \bar{H}(t) | \phi_0 \rangle \quad (1.3.17)$$

and

$$i S_{a,m} = \langle a,m | \bar{H}(t) | \phi_0 \rangle, \quad (1.3.18)$$

where

$$|a,m\rangle = \psi_{a_1}^+ \dots \psi_{a_n}^+ \psi_{m_1} \dots \psi_{m_n} | \phi_0 \rangle \quad (1.3.19)$$

The above formalism is applied to include only the first term in $S(t)$ involving ψ_m .

Hoodbhoy and Negele [14] have applied the time dependent formalism to the CCM and specified the truncations appropriate to potentials with strongly repulsive cores.

Arponen later introduced a biexponential operator to describe the wave operator [15] leading to two different ways of obtaining the CCM equations and then extended it to derive the time dependent equations. The main attraction of this procedure is that the expectation value of an operator can be determined in a straight forward manner. We describe the method in the following discussion.

As is known the CCM wave function of the ground state is given by

$$|\psi\rangle = \exp(S) |\phi\rangle, \quad (1.3.20a)$$

$$\langle v | = \langle \phi | \exp(S), \quad (1.3.20b)$$

where $|\phi\rangle$ is the noninteracting ground state. S is expanded as

$$S = \sum_i S_i c_i^+, \quad (1.3.21)$$

$$S' = \sum_i S'_i c_i, \quad (1.3.22)$$

where $c.$ and $c.$ are respectively the excitation and deexcitation operators with respect to ϕ and S and S are the numerical amplitudes. The energy eigenvalue equation is given as

$$H \exp(S) |\phi\rangle = E \exp(S) |\phi\rangle \quad (1.3.23)$$

Left multiplying by $\exp(-S)$ gives

$$\exp(-S) H \exp(S) |\phi\rangle = E |\phi\rangle \quad (1.3.24a)$$

$$H |\phi\rangle = E |\phi\rangle \quad (1.3.24b)$$

The **transformation** to H is not unitary and hence it is not **hermitian**. The expectation value of an operator can be written **as**

$$\langle A \rangle = \langle \phi | \exp(S) A \exp(S) | \phi \rangle / \langle \phi | \exp(S) \exp(S) | \phi \rangle \quad (1.3.25)$$

Introducing the notation

$$\langle w | = \langle \phi | \exp(S) \exp(S) / \langle \phi | \exp(S) \exp(S) | \phi \rangle \quad (1.3.26)$$

one obtains

$$\langle A \rangle = \langle w | A | \phi \rangle \quad (1.3.27)$$

Applying the **linked** cluster theorem the equation for $\langle w |$ becomes

$$\langle w | = \langle \phi | \exp(S) \quad (1.3.28)$$

where

$$S = \sum S_i c_i \quad (1.3.29)$$

Equations (1.3.27) and (1.3.28) imply that $\langle A \rangle$ is of finite order if S and S are truncated at some finite order n . At this stage a new energy functional is defined in which the similarity **transformation** is performed using a product of two exponentials $\exp(S)\exp(S)$.

$$T[S, 0] = \langle \phi | 0 \exp(-S) H \exp(S) | \phi \rangle \quad (1.3.30)$$

where

$$0 = \exp(S) \quad (1.3.31)$$

and

$$\langle v | = \exp(S) \exp(S) | \phi \rangle \quad (1.3.32)$$

An alternative way of writing this functional is

$$T[S, S] = \langle \phi | \exp(S) \exp(-S) H \exp(S) | \phi \rangle. \quad (1.3.33)$$

Two different truncations are obtained by applying the variational principle to the variable parameters 0 and S .

$$a T / \partial 0 = \langle \phi | c_0 H | \phi \rangle = 0, \quad (1.3.34)$$

$$\partial T / \partial S_i = \langle \phi | 0 | H, c_i^+ | \phi \rangle = 0, \quad (1.3.35)$$

from which the coefficients c_0 and c_i are obtained.

The time dependence of the cluster amplitudes S and S is obtained by applying the time dependent variational principle

which is described in the following discussion. Consider the functional

$$A_t[\psi, \psi'] = \int dt \langle \psi(t) | [i\partial/\partial t - H(t)] \psi(t) \rangle \quad (1.3.36)$$

applying the double exponential form for $|\psi(t)\rangle$ we obtain

$$\begin{aligned} A[S, S''] &= \int dt \langle \phi | e^{S''(t)} e^{-S(t)} [i\partial/\partial t - H(t)] e^{S(t)} | \phi \rangle \\ &= \int dt \langle \phi | e^{S''(t)} e^{S(t)} | \phi \rangle - \int dt T(t) \end{aligned} \quad (1.3.37)$$

The working equations of motion are obtained by imposing that A is stationary with respect to changes in S and S'' . Hence, the equations of motion for the cluster amplitudes are obtained to be

$$i \sum_j K_{ij} \dot{S}_j = \partial T / \partial S_i, \quad (1.3.38a)$$

$$i \sum_j \dot{S}_j K_{ji} = \partial T / \partial S_i, \quad (1.3.38b)$$

where,

$$K_{ij}[S''] = \langle \phi | c_i \exp(S'') c_j^\dagger | \phi \rangle \quad (1.3.38c)$$

K , S and T are all time dependent quantities.

In summary, two features distinguish Arponen's theory. First he uses a **biexponential** ansatz to represent the wave operator. Second a variational procedure is used to determine the cluster amplitudes. The approach is restricted to closed shell systems however and extensions to **multideterminantal** reference functions are not trivial.

Ion-neutralisation scattering from surfaces was studied by Sebastian [16] using the **time** dependent formalism so that electron correlation **is** explicitly included to describe the process accurately. The wave function is assumed to have the fore

$$|\psi(t)\rangle = \exp [T_1(t) + T_2(t) + T_3(t) + \dots] |\phi_0\rangle \quad (1.3.39)$$

where ϕ_0 is a Slater **determinant** and $T(t)$ can create **n-particle** hole excitations in it. $T(t)$ contains single particle **hole-excitation** operators and $T_2(t)$ contains those two-particle hole-excitation operators which transfer two electrons to the ion from the solid surface. He neglected all the $T(t)$ for $n > 2$. Substituting for $|\psi(t)\rangle$ in the time dependent Schrodinger equation, the equation for $T(t)$ are obtained. The wave function is approximated as

$$\langle \psi(t) | = \exp [T_0(t) + T_1(t)] [1 + T_2(t)] |\phi_0\rangle \quad (1.3.40)$$

It was shown that **TDCCM** at this level of **approximation** is adequate to describe the electron transfer processes accurately.

The time dependent coupled cluster method for the propagation of harmonic oscillator vacuum states (Gaussians) on **multidimensional anharmonic** surfaces has been developed by Durga **Prasad** [17]. Using the exponential of creation operators as the time evolution operator, the calculation of molecular absorption spectra was shown. The molecular vibrational **hamiltonian** is taken to be

$$H(\alpha) = E_{\alpha} + \sum w_i(\alpha) a_i^{\dagger} a_i + \sum V_i(\alpha) (a_i^{\dagger} + a_i) + \frac{1}{2!} \sum V_{ij}(\alpha) (a_i^{\dagger} + a_i) (a_j^{\dagger} + a_j) + \dots \quad (1.3.41)$$

where α represents the index of the electronic state and E_{α} is the vertical excitation energy, w_i are the frequencies, V are the anharmonic coupling constants and a_i and a_i^{\dagger} are the harmonic oscillator ladder operators corresponding to the mode i . The ground state is taken to be the vacuum state on the assumption that the anharmonic terms do not effect the vibrationless state of the lower electronic state. Hence,

$$|\phi_g\rangle = |0\rangle. \quad (1.3.42)$$

The time evolution operator is parametrised in the Wei-Norman [20] product form and arranging it in normal order as

$$U |0\rangle = \exp \{S\} |0\rangle \quad (1.3.43)$$

where

$$S = S_0 + S_1 + S_2 + S_3 + \dots \quad (1.3.44a)$$

$$S_1 = \sum s_i^1 a_i^{\dagger} \quad (1.3.44b)$$

$$S_2 = \frac{1}{2!} \sum s_{ij}^2 a_i^{\dagger} a_j^{\dagger} \quad (1.3.44c)$$

and so on. The annihilation operators are not included as in the normally ordered form they are to the right and acting on $|0\rangle$ would give zero. The wave function at a given time t is given by

$$|t\rangle = \exp \{S\} |0\rangle. \quad (1.3.45)$$

The equations for the cluster amplitudes S are obtained by substituting eq. (1.3.45) in the time dependent **Schroedinger** equation given by

$$i d/dt |t\rangle = H_e |t\rangle \quad (1.3.46)$$

Premultiplying by $\exp(-S)$ we get

$$i \exp(-S) d/dt \exp(S) |0\rangle = \exp(-S) H \exp(S) |0\rangle \quad (1.3.47)$$

projecting on to different excited states $|m\rangle$ we obtain

$$i s_m = \langle m | \bar{H}_e | 0 \rangle, \quad (1.3.48)$$

where

$$\bar{H}_e = \exp(-S) H_e \exp(S), \quad (1.3.49)$$

which are coupled **non-linear** differential equations that are integrated by using the initial condition

$$s_m(0) = 0 \quad (1.3.50)$$

The fourier transform of $\exp(S_\wedge)$ gives the spectrum. This **formalism** was applied to evaluate the absorption spectra of the two dimensional **Henon-Heils** hamiltonian.

The time dependent coupled cluster formalism with a **multireference model** space was presented by Guha and **Mukherjee** [18]. Similar to Arponen's formalism, their work **is** inspired by the analysis of many body perturbation theory. The time dependent

non-stationary functions $\psi_k(t)$ at some initial time t_0 are built as a linear combination of a set of strongly interacting time independent functions belonging to a model space M.

$$\psi_k^0(t_0) = \sum c_{ik} \phi_i \quad (1.3.51)$$

The time evolution of ψ_k is given by

$$\psi_k(t) = U(t, t_0) \psi_k^0(t_0), \quad (1.3.52)$$

where $U(t, t_0)$ satisfies the equation

$$i\partial U(t, t_0)/\partial t = H(t) U(t, t_0). \quad (1.3.53)$$

A model evolution operator U_M and a model time dependent effective hamiltonian H_{eff} are defined in the model space via

$$\psi_k^0(t) = U_M(t, t_0) \psi_k^0(t_0) \quad (1.3.54)$$

$$i\partial U_M(t, t_0)/\partial t = H_{eff}(t) U_M(t, t_0) \quad (1.3.55)$$

The evolution is factorised as

$$U_P = U_{ex} U_M P \quad (1.3.56)$$

where P describes the projection on to model space and U_{ex} is the evolution operator defined in the complementary space. Substituting eq.(1.3.56) in eq.(1.3.53)

$$i\partial U_{ex}/\partial t = H U_{ex} - U_{ex} H_{eff} \quad (1.3.57)$$

The PP component is given by

$$H_{eff} = [U_{ex}^{PP}]^{-1} ([H U_{ex}^{PP}] - i\partial U_{ex}^{PP}/\partial t) \quad (1.3.58)$$

The evolution of U_{ex}^{QP} where Q defines the projection on to virtual space is given by

$$i\partial U_{ex}^{QP}/\partial t = [H U_{ex}]^{QP} - U_{ex}^{QP} H_{eff} \quad (1.3.59)$$

The equation for U_{ex} and U_M are decoupled as H_{eff} depends only on U_{ex} . Hence, H_{eff} is solved from eq.(1.3.58) and substituting in eq. (1.3.55) U_M is obtained. The two evolution operators are defined as U_M and U_{ex}

$$U_M = \{ \exp [X] \} \quad (1.3.60)$$

$$U_{ex} = \{ \exp [S] \} \quad (1.3.61)$$

X defines closed operators (closed operators which cause transitions within the model space) and indicate normally ordered products. Although in principle S contains closed operators as well as external operators (external operators cause transitions from the model space to the virtual space), it is sufficient to have only external operators in it. Substituting U in eq.(1.3.59) and rewriting in normal order gives

$$i\partial S/\partial t \ U_{ex} = \overline{H U_{ex}} - \overline{U_{ex} H_{eff}} \quad (1.3.62)$$

The bar on the top indicates connected diagrams. Considering the closed part of the above equation $S = 0$ gives

$$\{ \overline{(U_{ex})_{cl} H_{eff}} \} = \{ \overline{H U_{ex}} \}_{cl} \quad (1.3.63)$$

Similarly with the external pair

$$i\partial S/\partial t = \{ \overline{H U_{ex}} \}_{ex} - \{ \overline{U_{ex} H_{eff}} \}_{ex} \quad (1.3.64)$$

The equation for X is

$$i\partial X/\partial t = \{ \overline{H_{eff} U_M} \} \quad (1.3.65)$$

The above two equations are the working equations in this formalism. This **formalism** was applied to a three dimensional rotated harmonic oscillator.

1.3c. The Lie-algebraic approach.

Another method which received attention in recent years is the Lie-algebraic method [19-24,28-35]. The essential feature of the algebraic approaches is the realization that if the **hamiltonian** is an element of a **Lie-algebra**,

$$H = \sum_i h_i l_i \quad , \quad (1.3.66)$$

$$[l_i, l_j] = \sum_k C_{ij}^k l_k \quad (1.3.67)$$

the time evolution operator can be **parametrized** as the exponential of an **antihermitian** element of that algebra.

$$U = \exp(X), \quad (1.3.68)$$

$$X = -X^\dagger = \sum_i x_i l_i. \quad (1.3.69)$$

Here the coefficients C_{ij}^k are called the structure constants of the algebra.

The governing equations for the coefficients of the generators of the evolution operator are obtained by substituting the **ansatz** into the **Schroedinger's** equation for the evolution operator

$$i \hbar U^{-1} \dot{U} = U^{-1} H U. \quad (1.3.70)$$

Expanding both sides of eq.(1.3.70) by the well known **Hausdorff** expansion [21] and equating the coefficients of each l_i on either side of the equation provides the required working equations. The resulting expressions are compact and provide a convenient route for generating the time evolution operator either **perturbatively** as is done in Magnus expansion or non perturbatively.

The advantages of the algebraic method are most striking when the Lie-algebra is finite **dimensional**. In this case, the number of independent variables required to define the evolution operator globally is finite, even if the underlying Hilbert space

is infinite dimensional. The most general class of hamiltonians that belong to a finite dimensional Lie-algebra, other than the projection operator algebra operative in finite dimensional vector spaces, are the quadratic hamiltonians. Consequently, a large body of studies have appeared in which quadratic hamiltonians which belong to the harmonic oscillator algebra have been studied by the Lie-algebraic method. We now discuss the application of the Lie-algebraic methods by various authors.

Pechukas and Light [22] discuss the Magnus [19] formula for the exponential representation of the operator solution to the Schroedinger equation for a time dependent hamiltonian to obtain a unitary time evolution operator. The time evolution of a system at time $t = t_0$ described by a wave function $\psi(t_0)$ is given by the TDSE

$$i\hbar \partial U(t, t_0) / \partial t = H(t) U(t, t_0), \quad (1.3.71)$$

where $U(t, t_0)$ is the time evolution operator. If the hamiltonian of the system H is described in the Schroedinger picture then H becomes time independent and the above equation is easily integrated. On the contrary if H is time dependent as in the interaction picture then the above equation which cannot be written as

$$U(t, t_0) = \exp \left\{ -i \int_{t_0}^t dt' H(t') / \hbar \right\} \quad (1.3.72)$$

Normally $U(t, t_0)$ is obtained by expanding it in a perturbation series obtained by iteratively integrating eq.(1.3.72)

$$U(t, t_0) = 1 - i/\hbar \int_{t_0}^t dt_1 H(t_1) U(t_1, t_0) \quad (1.3.73)$$

$$= 1 - i/\hbar \int_{t_0}^t dt_1 H(t_1) + (i/\hbar)^2 \int_{t_0}^t dt_1 \int_{t_0}^{t_1} dt_2 H(t_1) H(t_2) + \quad (1.3.74)$$

$U(t, t_0)$ obtained by truncating the above series is not unitary. The exponential form of U is very appropriate for a unitary transformation. One way of constructing $U(t, t_0)$ is to define an operator A given by

$$\exp [A(t)] = U(t, t_0) \quad (1.3.75)$$

such that A is anti-hermitian. In that case U becomes a unitary operator. Hence, A which is a functional of H has to be determined as shown by Magnus [19]. A is expanded as a series in which the n th term is a sum of integrals of n -fold multiple commutators of $H(t')$. Each term in the series is itself anti-hermitian and hence one obtains a unitary representation irrespective of the point at which the truncation is made. Pechukas and Light applied the Magnus expansion to a harmonic oscillator with a time dependent force constant [22] and used the operators $[q, p, qp + pq]$ which form a Lie-algebra to define $A(t)$ as

$$A(t) = \alpha(t)q^2 + \beta(t)p^2 + \gamma(t)(qp + pq) \quad (1.3.76)$$

Although the unitarity of the evolution operator is

maintained, the Magnus expansion is subjected to a few drawbacks. The theory being based on the perturbation series expansion of A , the governing equations of A also result in infinite series. Hence, no **non-perturbative** solution can be applied. Also under some conditions, the solutions to the equation may not exist. As the existence of a solution is **questionable**, Wei and Norman have [20] **alternatively** parametrised the evolution operator in a non-canonical product form of exponential operators given by

$$U_w = \prod_k \exp (c_k I_k) \quad (1.3.77)$$

They have shown that if the Lie-algebra to which the **hamiltonian** belongs is a solvable algebra then it is possible to order the elements of the algebra in such a way that global solutions to the evolution operator can be obtained. In case the lie-algebra from which the evolution operator is constructed is not simple then the equations of motion for the different sets coefficients can be decoupled by invoking a reduction principle.

The time evolution operator can also be constructed using the Levi -Malcev **decomposition** of a Lie-algebra which states that every finite dimensional Lie-algebra is the **semidirect** sum of its unique radical **R** and a **semisimple** subalgebra **S**. Invoking the Wei-Norman form for the time evolution operator U , U is written as [19]

$$U = U_S U_R. \quad (1.3.79a)$$

and the **hamiltonian** is written as

$$H = H_S + H_R. \quad (1.3.79b)$$

Substituting the above two equations in the **TDSE**, decoupled equations of motion are obtained i.e,

$$i \, dU_S/dt = H_S U_S, \quad (1.3.80a)$$

$$i dU_R/dt = (U_S^{-1} H_R U_S) U_R. \quad (1.3.80b)$$

The **semisimple** algebra can be directly decomposed as a direct sum of simple ideals [20b]

$$S = S_1 \oplus \dots \oplus S_k \quad (1.3.81a)$$

Hence U_c is parametrised as

$$U = U_1 U_2 \dots U_k \quad (1.3.81b)$$

Equation (1.3.80a) now reduces to solving smaller subordinate problems

$$i dU_k/dt = H_k U_k, \quad (1.3.82)$$

where $H_i \in S_i$.

Another drawback of the Magnus expansion is that the

perturbation series expansion breaks down in the presence of **degeneracies**. To account for this, degenerate perturbation theory has been invoked.

Sree latha and Durga Prasad [23] have **alternatively** parametrised the evolution operator in the Wei- **Norman** form using the projection operator algebra which is a simple algebra (in this sense different from the Wei-Norman requirement) by invoking a reduction principle to obtain decoupled equations of motion. The **Lie-algebraic** structure was classified as follows:

- 1) Set of excitation operators: $E = \{ X_{vm} = |v\rangle\langle m| \}$
 - 2) Set of deexcitation operators: $D = \{ Y_{mv} = |m\rangle\langle v| \}$
 - 3) Set of shift operators: $S = \{ Z_{mn} = |m\rangle\langle n|, W_{uv} = |u\rangle\langle v| \}$
- where $u, v \in V$ (virtual space) and $m, n \in M$ (model space).

By invoking the reduction principle they arrived at the **following** time evolution operator

$$U = \exp(X) \exp(Y) \exp(Z+W) \quad (1.3.78)$$

The resulting equations of motion are decoupled and contain finite order polynomials. They solved the equations of motion by applying perturbation theory.

Micha et al [24] have studied atom-diatom and **diatom-diatom** collision using the **Lie-algebraic** method. The **Lie-algebraic** structure they have utilized for their calculations contains the elements $\{q^2, qp + pq, p^2, q, p\}$ in this order. The interaction potential is expanded as a Taylor series and truncated at the

quadratic level. As a consequence at most quadratic terms have to be retained in the **hamiltonian** H given by

$$H(t) = H_0 + V \quad (1.3.83)$$

The **hamiltonian** is converted to the interaction picture **hamiltonian** $H_I(t)$ given by

$$\begin{aligned} H_I(t) &= \exp [iH_0 t/\hbar] \left(\sum V(t) \right) \exp [-iH_0 t/\hbar] \\ &= \prod \sum f_n(t) x_n \end{aligned} \quad (1.3.84)$$

where x are closed with respect to commutation and form a Lie-algebra. The evolution operator in the interaction picture is constructed as

$$U_I(t, t_0) = \prod_{n=1}^6 \exp [-i \alpha_n(t) x_n] \quad (1.3.85)$$

which satisfies the equation

$$i\hbar d/dt U_I(t, t_0) = H_I(t) U_I(t, t_0) \quad (1.3.86)$$

Substituting the equations for U_I and H_I in the above equation results in the working equations for $\alpha(t)$. Making the substitution,

$$\alpha_1(t) = -P_1(t) / [2\zeta Q_1(t)]$$

results in two first order linear differential equations for Q_1

and \mathbf{P} which are solved to obtain \mathbf{P} and \mathbf{Q}_1 and in turn $\alpha(t)$. As the equation for $\alpha_2(t)$ depends only on $\alpha_1(t)$ and the equation for $\alpha_1(t)$ depends only on $\alpha_2(t)$, these equations are analytically solvable. Therefore solving for α_1 , α_2 and α_3 requires solving only two differential equations for \mathbf{P}_1 and \mathbf{Q}_1 . The rest of the differential equations have to be solved **numerically**. The attraction this procedure offers is that fewer number of equations need be solved to obtain the parameters $\mathbf{a}(t)$. Calculations for **linear** and linear + quadratic potentials have been performed and the results were compared with the quantum mechanical results of Secrest and Johnson [25]. Potential containing **linear** + quadratic terms showed better agreement with the quantum mechanical results. Later on when they extended [26] this procedure to a two dimensional case involving **diatom-diatom** collision a completely Lie-algebraic theory could not be used. The hamiltonian was segregated into

$$H(t) = H^{(1)}(t) + H^{(2)}(t) + V^{(12)}(t) \quad (1.3.88)$$

where

$$H^{(1)}(t) = H_0^{(1)} + F_1(t) q_1 + 1/2 G_{11}(t) q_1^2 \quad (1.3.89a)$$

$$V^{(12)}(t) = G_{12}(t) q_1 q_2 \quad (1.3.89b)$$

and

$$F_1(t) = (\partial V / \partial q_1)_{q_1=q_2=0} \quad (1.3.90a)$$

$$G_{1j}(t) = (\partial^2 V / \partial q_1 \partial q_j)_{q_1=q_2=0} \quad (1.3.90b)$$

The time evolution operator is similarly obtained in the interaction picture **from**

$$U_I(t, t_0) = U_I^{(1)}(t, t_0) U_I^{(2)}(t, t_0) U_I^{(12)}(t, t_0) \quad (1.3.91)$$

The differential equations for **the** interaction picture time evolution operator are given by

$$i\hbar \partial U_I^{(j)} / \partial t = H_I^{(j)}(t) U_I^{(j)}(t, t_0) \quad (1.3.92)$$

and

$$\begin{aligned} i\hbar \partial U_I^{(12)} / \partial t &= [U_I^{(1)+} U_I^{(2)+} H_I^{(12)}(t) U_I^{(2)} U_I^{(1)}] U_I^{(12)}(t, t_0) \\ &= V_I^{(12)}(t) U_I^{(12)}(t, t_0) \end{aligned} \quad (1.3.93)$$

The equations of motion for the system excluding the residual coupling $V^{(12)}(t)$ are obtained as in the **one-dimensional** case. Because the set of operators occurring in the residual coupling, after applying the **transformations** of the above equations, do not commute, the algebraic theory cannot be applied here. Instead first order perturbation theory and basis set expansion methods were invoked to treat the residual coupling. The advantage of this procedure is that as the residual coupling contains only linear and bilinear terms in the interaction picture, the matrix in the basis set expansion is very sparse than the matrix obtained from expanding from the very beginning as in that case the matrix elements obtained from the quadratic terms also have to be evaluated. The basis set bottleneck still exists if terms higher than linear and **bilinear** are included in the residual

coupling or if more number of degrees of freedom are dealt with. The disadvantage of using perturbation theory is that for large residual coupling it fails. Hence, to account for this sequence of **transformations** were carried out which reduces the coupling at each step [27]. When the coupling becomes very **small**, perturbation theory is applied. The sequence of **transformations** are given by the following equations,

$$V_c^{(k)} = [U_L^{(k-1)}]^+ V_{BL} U_L^{(k-1)} \quad (1.3.94a)$$

$$i\hbar \partial U_{BL}^{(k)} / \partial t = V_c^{(k+1)}(t) U_{BL}^{(k)}(t, t_0) \quad (1.3.94b)$$

$$U_{BL}^{(k)}(t_0, t_0) = 1 \quad (1.3.94c)$$

where V is the residual coupling and the subscripts L and BL denote the **linear** and **bilinear** terms of the residual coupling **respectively**. The time evolution operator after each **transformation** is given by

$$U_L^{(k)} = \exp[-i\zeta_1^{(k)} q_1] \exp[-i\eta_1^{(k)} p_1] \exp[-i\zeta_2^{(k)} q_2] \exp[-i\eta_2^{(k)} p_2] \quad (1.3.95)$$

where ζ and η are time dependent **coefficients**. In general after the **kth transformation** the evolution operator U is given by

$$U_I = U_1 U_2 U_L^{(0)} U_L^{(1)} U_L^{(2)} \dots U_L^{(k)} U_{BL}^{(k)} \quad (1.3.96)$$

In their calculations they carried out three **transformations**

($k=0,1,2$) to reduce the **coupling** before they applied perturbation theory.

Shin [281] studied the coupling of VV and VT energy transfer **modes** in molecular collisions using the **Lie-algebraic** theory. VV and VT **intermode** coupling in vibrational energy transfer processes involving highly excited diatomic molecules were studied. Only the linear terms in the **hamiltonian** were retained. The hamiltonian contained the operators,

$$\{ a_1^+ a_1, a_2^+ a_2, a_1, a_1^+, a_2, a_2^+, a_1^+ a_2, a_1 a_2^+ \}.$$

The terms containing a a and $a_1 a_2$ describe the VV energy exchange and the rest describe the VT energy transfer. The evolution operator was parametrised in **terms** of the operators contained in the hamiltonian. The **linear approximation** to the potential was invoked to evaluate the transition **probabilities** for the collision of two identical molecules which simplifies the scattering equations of motion further. They also carried out calculations including the quadratic terms in the potential but the **dimensionality** of the problem reduced as the **1-dimensional** hamiltonian was considered.

Benjamin [29] applied the algebraic approach to the col linear collision of an atom and a diatom and a diatom and a diatom. In the model he has treated the **translational motion** classically and vibrational motion quantum **mechanically**. The interaction potential in the hamiltonian is expanded using a Taylor series expansion **upto** the quadratic level, about the classical orbit which is determined by solving the Hamilton's

equations of motion. He described three methods to tackle the problem and compared each of these methods. The first of these methods which he called QDPO (**quadratically** driven parametric oscillator) considers the full algebraic structure of a quadratic algebra $(a, a^\dagger, a^2, a^{\dagger 2}, a^\dagger a, a a^\dagger, I)$ where a and a^\dagger are the harmonic oscillator ladder operators. The evolution operator in the Wei-Norman form is parametrised as

$$U(t) = \exp[Z_1 a^\dagger] \exp[Z_2 a^{\dagger 2}] \exp[Z_3 a^\dagger] \exp[Z_4 a] \\ \times \exp[Z_5 a^2] \exp[Z_6 a]. \quad (1.3.97)$$

This equation is substituted in the time dependent Schroedinger equation (TDSE) to contain six differential equations. These equations along with the Hamilton's equations of motion describing the **translational** motion are solved together to obtain the transitional **probabilities**. The second method neglects the quadratic terms in the evolution operator and the **corresponding** evolution operator is given by

$$U = \exp[Z_1 a^\dagger] \exp[Z_2 a^{\dagger 2}] \exp[Z_3 a] \exp[Z_4 a]. \quad (1.3.98)$$

He calls this approach as the LDPO (**linearly** driven parametric oscillator). The third approach which he **calls** the QDSO (**quadratically** driven scaled oscillator) makes use of a canonical transformation such that the coefficients of a^2 and $a^{\dagger 2}$ are eliminated from the **hamiltonian** at any given time. The hamiltonian is separated into two parts; one involving the **linear** and $a a^\dagger$ terms (H_1) and the other involving the quadratic terms

(H_2). The unitary operator is chosen such that

$$S = \exp [\beta (a^{+2} - a^2)] \quad (1.3.99)$$

and a canonical **transformation** of the **hamiltonian** is carried out.

$$S (H_1 + H_2) S^{-1} = \bar{H}_1 = A(t)a^+a + B(t)(a^+ + a) + C(t). \quad (1.3.100)$$

The **hamiltonian** now consists of only **linear** terms. Similarly, the evolution U is **approximated** to involve only a , a^+ and a^+a terms and is **mathematically** identical to the evolution operator corresponding to the LDPO approach. This **approximation** holds good only when the change in the frequency of the oscillator over one period of the unperturbed oscillator is very much smaller than the frequency of the unperturbed oscillator. The results from all the three procedures were compared with the exact results and in general the QDPO approach performed better than the other two approaches with the QDSO coming next. The **formalism** was extended to the two **mode** case. One of the drawbacks of the formalism is that decoupled equations of motion are not obtained due to which the **formalism** cannot be easily extended to using higher algebras and to systems consisting of several degrees of freedom. Also, the method is **applicable** only when the **hamiltonian** consists of at most quadratic terms. As stated above the QDSO approach is applicable only for very small frequencies of the oscillator although it offers much simpler mathematics and lesser **computational** effort than the QDPO approach.

Yuan and Gilmore [30] have described the structure of the

lie-algebra using a root space diagram in which the root of an operator Op is defined as

$$[n, Op] = r Op. \quad (1.3.101)$$

The double photon algebra (quadratic algebra) is utilised for which they construct a matrix **representation**. The time evolution operator is constructed as

$$U(t) = \exp [ra^+ + Ra^{+2}] \exp [v(n + 1/2) + \epsilon I] \exp [la + La]. \quad (1.3.102)$$

The ground state expectation value is obtained from

$$\langle 0 | U(t) | 0 \rangle = \exp [\eta(t)/2 + \delta(t)] \quad (1.3.103)$$

and a squeezed state expectation value is obtained from

$$|rR\rangle = \exp [ra^+ + Ra^{+2}] |0\rangle = \exp (\eta/2 + \delta). \quad (1.3.104)$$

From the matrix **representation** for the time evolution operator, they construct a unitary S operator. The unitary requirement on $U(t)$ is enforced by placing constraints on the time dependent parameters that appear in $U(t)$. These constraints are determined by applying the **disentangling** theorems. The TDSE is then solved by using the matrix **representation** for the **hamiltonian** and the time evolution operator i.e.,

$$i\hbar \frac{d}{dt} M \{ U(t, t_0) \} = M \{ H(t) \} M \{ U(t, t_0) \} \quad (1.3.105)$$

where M denotes the matrix **representation**. This equation is integrated to obtain a non-unitary **representation** of the **S-matrix**. Using the symmetry properties of the S-matrix elements, a unitary **representation** for the S-matrix is obtained. As the **hamiltonian** is restricted to be quadratic, the time evolution operator as in the previous studies is constructed **from** the quadratic Lie-algebra.

Shi and **Rabitz** [31] applied the Lie-algebraic formalism to the parametric **amplifier** and the col linear collision of an atom with a Morse oscillator. The state of the system at time t is defined as is normally done in the algebraic theory as

$$|\psi(t)\rangle = \sum c_n(t) U[l(t)] |\phi_n\rangle \quad (1.3.106)$$

where c are the **time** dependent complex variables, ϕ_n are a finite set of states in the **m-dimensional Hilbert space** and U is defined as

$$U[l(t)] = \exp [i l(t) - L] \quad (1.3.107)$$

where $l(t)$ are time dependent parameters associated with the elements L to which the **hamiltonian** belongs. The **time** derivative of the state vector $|\psi(t)\rangle$ is given by

$$|\dot{\psi}(t)\rangle = \sum (c_n(t) U[l(t)] + c_n(t) U[l(t)]^\dagger) |\phi_n\rangle \quad (1.3.108)$$

where $U[l(t)]$ is determined by the **Feynman's disentangling**

method,

$$\dot{U}[l(t)] = \dot{l}_i(t) \frac{\partial}{\partial l_i} \exp [il_k(t)L_k]. \quad (1.3.109)$$

The equations of motion are now obtained by applying the time dependent variational principle which states that

$$\delta \int_{t_1}^{t_2} dt [i\hbar \langle \psi(t) | \dot{\psi}(t) \rangle - \langle \psi(t) | H(L) | \psi(t) \rangle] = 0. \quad (1.3.110)$$

This leads to a set of classical equations of motion for the group parameters $l(t)$ which are coupled to the equations of motion for the coefficients $c(t)$. In their application to the parametric amplifier, they have considered a model hamiltonian containing linear, bilinear and diagonal terms

$$\{ a_1^\dagger a_1, a_2^\dagger a_2, a_1^\dagger a_2^\dagger, a_1 a_2, a_1^\dagger, a_1, a_2^\dagger, a_2 \}$$

and the evolution operator was approximated accordingly. When extending it to more complicated case of the collision of an atom with a Morse oscillator they do not use a completely algebraic approach. The Morse oscillator part of the hamiltonian was treated by the basis set expansion method using Morse basis and the the relative motion is treated semi-classically using the group transformations. This has a greater advantage over the GWP as their formalism has a greater flexibility as any kind of basis can be used appropriate to the system under study.

More recently Echave *et al* [32] suggested an alternative to the exponential ansatz of the time evolution operator. These

authors observe that the unitary **transformation** U acting on the elements of the algebra generates elements of the algebra again. Thus ,

$$U_e^{-1} l_i U_e = \sum y_{ij} l_j \quad (1.3.111)$$

If the hamiltonian is an analytical function of the basis operators of the algebra, this **transformation** yields

$$U_e^{-1} H(l_i) U_e = H(U_e^{-1} l_i U_e). \quad (1.3.112)$$

Instead of the coefficients x_i in the exponential ansatz, the mixing coefficients y_{ij} are now to be determined so as to optimize the convergence in the above equation.

They construct the **hamiltonian** in the interaction picture which is defined by an effective **time** dependent hamiltonian. The effective hamiltonian is obtained by expanding the potential energy function around a reference value of the coordinate. They go about doing this in the following way. In the first step they propose an effective hamiltonian

$$H_{eff} = \sum h_i x_i, \quad (1.3.113)$$

where x_i belongs to the lie-algebra L to which the hamiltonian belongs. The matrix elements of H are given by

$$(H_I)_{jk} = \langle x_j | H - H_{eff} | x_k \rangle, \quad (1.3.114)$$

where x_i are given by

$$x_j(t) = \sum_{k=1}^n G_{jk}(t) x_k, \quad (1.3.115)$$

where x_k also belong to L and G are the functions of time satisfying linear equations of motion. Another matrix F_{jk} is defined as

$$[x_j, H_{eff}] = \sum_{k=1}^n F_{jk} x_k. \quad (1.3.116)$$

Hence the matrix G satisfies

$$i\hbar \partial G / \partial t = F G, \quad (1.3.117)$$

x_k are related to the time dependent coefficients by

$$\phi(t) = \sum c_k(t) x_k \quad (1.3.118)$$

where $\phi(t)$ is related to the state of the system $\psi(t)$ by

$$\psi(t) = U_{eff}(t, t_0) \phi(t). \quad (1.3.119)$$

Substituting the above equation in the TDSE equations for the expansion coefficients are obtained,

$$i\hbar dC/dt = H_I C. \quad (1.3.120)$$

These equations together with the equation for G are solved

together to obtain the dynamics of the system.

Lin et al [33] have applied the six-dimensional quadratic **Lie-algebra** to study the scattering of ^4He and ^3He particles from the (001) face of LiF crystal. They use the time evolution operator of the form

$$U_{\alpha\beta}(t) = \exp [T_{\alpha\beta}(t)] \quad (1.3.121)$$

where

$$T_{\alpha\beta}(t) = -i/\hbar [U_{\alpha\beta}^{(1)} I + U_{\alpha\beta}^{(2)} a^+ + U_{\alpha\beta}^{(2)*} a + U_{\alpha\beta}^{(3)} a^+ a + U_{\alpha\beta}^{(4)} a^{+2} + U_{\alpha\beta}^{(4)*} a^2]. \quad (1.3.122)$$

Similar to the other applications of the **Lie-algebraic** they have also **applied the formalism** by retaining only quadratic terms in the **hamiltonian** in which the potential is truncated to second order.

The use of time dependent basis sets to solve the TDSE has been done by Kucar and Meyer [34]. They have defined the time dependent basis sets $\psi(x,t)$ as

$$\begin{aligned} \psi_n(x,t) &= U(t) \phi_n(x) \\ &= \prod_{k=1}^m \exp [i\alpha_k(t) A_k] \phi_n(x) \end{aligned} \quad (1.3.123)$$

where a are real variables and A are **hermitian** operators. ϕ_n are time independent complete set of functions chosen according to convenience. The wave function is now written as

$$\Psi(x,t) = \sum_n a_n(t) \psi_n(x,t)$$

$$\begin{aligned}
&= U(t) \sum_n a_n(t) \phi_n(x) \\
&= U(t) f(x,t).
\end{aligned}
\tag{1.3.124}$$

The effective hamiltonian is defined as

$$H_{eff} = \bar{H} + \sum_{k=1}^m \alpha_k \bar{A}_k, \tag{1.3.125}$$

where

$$\bar{A}_k = \left[\prod_{l=1}^{k-1} \exp(i\alpha_l A_l) \right] A \left[\prod_{l=1}^{k-1} \exp(i\alpha_l A_l) \right] \tag{1.3.126}$$

and

$$\bar{H} = U^\dagger H U. \tag{1.3.127}$$

The coefficients α satisfy the equations of motion

$$i \dot{a}_n = \sum_l \langle \phi_n | H_{eff} | \phi_l \rangle a_l. \tag{1.3.128}$$

$\alpha(t)$ are determined so that the convergence of $\Psi(x,t)$ is optimal from the variational principle stating

$$\sum_n (n + 1/2)^j |a_n| = \langle f | H_0^j | f \rangle = \min \tag{1.3.129}$$

where j is a parameter defining the family of variational principles. For example for $j=1$ they define a G such that

$$\langle \psi | U H_0 U^{-1} | \psi \rangle = \langle f | H_0 | f \rangle = G(\alpha, \psi). \tag{1.3.130}$$

According to the variational principle

$$\partial G / \partial \alpha_k = 0 \tag{1.3.131}$$

from which they determine a . In their **calculations**, they **use** the harmonic oscillator **eigenfunctions** for ϕ_n and A_k form the elements of a Lie- algebra to which the **hamiltonian** belongs. The Lie- algebra which they have chosen spans the operators $\{ 1, x, -p, x^2, XP, H \}$ where

$$XP = 1/2 (xp + px) \quad (1.3.132)$$

and

$$H_0 = 1/2 (p^2 + q^2). \quad (1.3.133)$$

The time evolution operator is chosen as

$$U(t) = \exp(-ix_t p) \exp(ip_t x) \exp(ib_t x^2) \exp(ia_t XP) \exp(ir_t H_0) \\ \times \exp(i\delta_t), \quad (1.3.134)$$

hence the **time dependent** basis become

$$\psi_n(x,t) = \exp[a_t/2 + ib_t(x-x_t)^2 + ip_t(x-x_t) + i(n + 1/2)\gamma_t + i\delta_t] \\ \times \phi_n[\exp(at)(x-x_t)] \quad (1.3.135)$$

from which the equations of motion are obtained. In the limit of harmonic potentials, the equations of motion are similar to that obtained by Heller. They have applied the formalism to a quartic potential and a Morse **oscillator** in which the exponential involves six terms. They have derived the above equations for the **multidimensional** case also. Although the time dependent basis can be represented more efficiently than the time independent basis, the drawbacks in this formalism are major. This requires solving

for the coefficients appearing in constructing the time dependent basis additionally and the **hamiltonian** matrix has to be constructed at every iteration. Also in the course of propagation the differential equations may go stiff.

A different approach to solve for the dynamics of any system using the Lie-algebraic approach was developed by **Alhassid** and Levine [35]. They have discussed the procedure of maximal entropy which requires the initial state to be one of maximum entropy among all the states, consistent with a given set of constraints. These constraints are the expectation values of observables A which are obtained from the available experimental data. The average value of the operator A is given by

$$\langle A \rangle = \text{Tr} (p A), \quad (1.3.136)$$

where p is the density operator. The entropy **corresponding** to the state defined by p is $S(p)$ given by

$$S(p) = -\text{Tr} (p \ln p). \quad (1.3.137)$$

The state of maximal entropy corresponds to

$$p = \exp \left[-\sum_{r=0} \lambda_r A_r \right] \quad (1.3.138)$$

where A are linearly independent observables and need not commute. λ are constants to be determined (**Legrange's** parameters) from the $n+1$ conditions given by

$\text{Tr } (\rho) = 1$ (**normalisation** condition)

and

$$\langle A \rangle = \text{Tr } (\rho A_r) ; r = 1, n. \quad (1.3.139)$$

Substituting the **normalisation** condition in the equation for ρ

$$\exp (\lambda_0) = \text{Tr } [\exp (- \sum_{r=1} \lambda_r A_r)] \quad (1.3.140)$$

only when the sum in the above equation converges does the normalised density operator of **maximal** entropy exist. The $S[\rho]$ at the maximum is

$$S[\rho] = \lambda_0 + \sum_{r=1} \lambda_r \langle A_r \rangle. \quad (1.3.141)$$

If A commute it corresponds to the classical limit. The initial state being specified the functional form of the density operator is now determined from

$$- \ln \rho(t) = \sum_{r=0} \lambda_r(t) A_r. \quad (1.3.142)$$

A being known, X are to be **determined** to obtain ρ . This is achieved by considering the time evolution of the density operator given by

$$\rho(t) = U(t, t_0) \rho(t_0) U^\dagger(t, t_0). \quad (1.3.143)$$

The equations of motion are

$$i \hbar \partial U(t, t_0) / \partial t = H U(t, t_0) \quad (1.3.144a)$$

$$i \hbar \partial \rho(t) / \partial t = [H, \rho(t)]. \quad (1.3.144b)$$

Due to the unitarity of U the above equation holds good for $\ln [\rho(t)]$ also.

$$i \hbar \partial \ln \rho(t) / \partial t = [H, \ln \rho(t)]. \quad (1.3.145)$$

The surprisal I which is the negative logarithm of density is now expanded as a linear **combination** of the **Lie-elements**.

$$I = -\ln(\rho) \quad (1.3.146)$$

where,

$$I = \sum_r \lambda_r A_r \quad (1.3.147)$$

where A are the Lie-elements and λ are constants. The equations of **motion** are obtained from

$$i \dot{I} = [H, I]. \quad (1.3.148)$$

Substituting the equation for I in the above equation results in

$$\begin{aligned} i \sum_r \dot{\lambda}_r A_r &= \sum_r [H, A_r \lambda_r] \\ &= \sum_r \lambda_r [H, A_r]. \end{aligned} \quad (1.3.149)$$

Let

$$[H, A_r] = \sum_s A_s G_{sr}$$

Hence,

$$i \sum_r \lambda_r A_r = \sum_{r,s} A_s G_{sr} \lambda_r = \sum_r A_r G_{rs} \lambda_s \quad (1.3.150a)$$

$$i \dot{\lambda}_r = \sum_s G_{rs} \lambda_s \quad (1.3.150b)$$

As A at the initial time are known, starting with this set of constraints the additional operators are added until the set of sufficient number of constraints are obtained subjected to the condition that these operators are closed under **commutation** with the **hamiltonian** H . This method is variational and can be taken to exact limits but for large systems where the constraints are many, the application of the **formalism** to get accurate results becomes difficult. They have applied this formalism to the linearly displaced harmonic oscillator in which the use of the six dimensional quadratic Lie- algebra has been made and the **time** evolution operator is taken as the exponential of linear combination of these elements (not the Wei-Norman form).

1.4. The Scope of the **present investigation**:

As can be seen from the discussion above, most of the algebraic approaches that have appeared to date utilize the harmonic oscillator algebra to construct a **convenient** dynamical basis in terms of which the dynamical calculations are carried out. In contrast, we attempt the **construction** of the time

evolution operator on anharmonic potential energy surfaces by considering the Lie-algebra of such **hamiltonians** without invoking any basis sets **at** any stage of its **construction**.

The construction of the time evolution operator for **anharmonic** oscillators is discussed in chapter 1. For **simplicity** we first discuss the one -dimensional **system** and later extend it to the **multidimensional** case. The most general form of the one dimensional **hamiltonian** in such a case is

$$H = p^2/2m + \sum_{n=0} 1/n! V_n q^n. \quad (1.4.1a)$$

Here q and p are the coordinate and the **momentum** operators of the particle, m is the mass and V are the coefficients appearing in the Taylor series for the potential energy function. This hamiltonian is an element of the infinite dimensional Lie-algebra

$$L_0 = \{ Q_{mn} = q^m p^n ; 0 \leq m, n \leq \infty \} \quad (1.4.2a)$$

Transforming to the harmonic **oscillator** ladder operator **representation**, we have

$$H = \sum_{m,n} h_{mn} a^{+m} a^n \quad (1.4.1b)$$

Here a and a are the usual harmonic **oscillator** ladder operators defined as

$$a^+ = [m\omega q + i (1/m\omega) p] / \sqrt{2} \hbar$$

$$\mathbf{a} = [(m\omega) q - i (1/m\omega) p] / \sqrt{2} \hbar$$

where ω is an arbitrary parameter . In this **representation** L is given by

$$L_0 = \{ A_{mn} = a^{+m} a^n ; 0 \leq m, n \leq \infty \}. \quad (1.4.2b)$$

Given that H is an element of L_0 , we can parametrize the evolution operator U as

$$U = \exp [S] \quad (1.4.3a)$$

$$S = \sum_{m,n=0}^{\infty} S_{mn} a^{+m} a^n ; \quad S_{mn} = - S_{nm}^* . \quad (1.4.3b)$$

This **representation** runs into two **difficulties**. To begin with, even when the summation for S operator in eq(1.4.3) is restricted to some finite values of m and n ($m, n \geq 2$), the **Hausdorff** expansion gives a **non-terminating** series. The second problem with the **representation** (1.4.3) is that under some conditions the governing equations are not well defined. Both these problems arise because the ansatz (1.4.3) treats stepup and stepdown operators on equal footing. As a consequence, the **multi** commutator expansion becomes a **non-terminating** series. If these two sets of operators are disentangled and written as separate exponentials these difficulties would not appear. This provides the motivation for invoking a Wei-Norman product form for the evolution operator. In Chapter 2 we discuss a reduction principle

by which such a **disentanglement** of operators can be achieved in a systematic fashion by exploiting the sub-algebra structure of L_0 . Two ways of decomposing the infinite dimensional lie-algebra are considered from which the time evolution operators for a general **anharmonic hamiltonian** are constructed. It is seen that for the vacuum state propagation these evolution operators correspond to the **generalisations** of the Normal Coupled Cluster method (**NCCM**) and the Extended Coupled Coupled Cluster method (**ECCM**) formalism of the coupled cluster theory discussed by Arponen [15]. The main advantage of invoking this reduction principle is that decoupled equations of motion are obtained. Extensions to **multidimensional** anharmonic oscillators AHOs will also be presented in chapter 2.

Convergence properties of the TDCCM applied to one **dimensional** potential energy surfaces are studied in chapter 3 and compared with the standard basis set expansion approach and for a better understanding of the **applicability** of the theory. Studies on **exponentially** repulsive, Morse potentials as well as atom-diatom collisions in the classical path **approximation** have been carried out for this purpose.

In chapter 4, the time dependent coupled cluster method (TDCCM) has been applied to the **photodissociation** dynamics of **triatomic** molecules within the framework of the **Beswick** and Jortner **model** [10] and a two dimensional **Henon-Heils** hamiltonian. **Autocorrelation** function and absorption spectra for the **photodissociation** dynamics have been evaluated and the convergence of the method has been tested by evaluating the **autocorrelation** function at different truncations of the S-matrix

elements. The norm conservation in the TDCCM applied to the **Henon-Heils** system is studied and the Franck-Condon spectra are obtained for a few mode) systems.

The algebraic approach has been applied to calculate the dynamics of a few model **non-adiabatic** single mode systems and **model multi** mode systems in chapter 5. To circumvent the problems arising in defining the initial conditions and the potential surface for such systems in this **formalism**, we have constructed a new **hamiltonian** which is derived by mapping the original **hamiltonian** on to a single electronic surface. Applications to a few model and realistic systems have been discussed. Later we extend the application of the algebraic approach to the complete **non-adiabatic** hamiltonian (without mapping) in the open shell formalism. Application to some realistic systems have also been discussed in this chapter.

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CHAPTER 2

CONSTRUCTION OF THE TIME EVOLUTION OPERATOR FOR ANHARMONIC
SYSTEMS: A LIE - ALGEBRAIC APPROACH.

2.1 INTRODUCTION

Dynamics of **nonstationary states on anharmonic** potential surfaces plays a major role in several processes of chemical interest. Molecular collision dynamics, **unimolecular** reactions, **photodissociation** reactions are some of the examples of **phenomena** that are controlled by dynamics on such surfaces.

The simplest approach to calculate such **dynamics** is to expand the time dependent **wave** function as a **linear superposition** of the basis vectors of an appropriate **Hilbert** space. However, the **computational** effort in such an approach scales **exponentially** with the number of degrees of **freedom** in the system, rendering it intractable for systems with more than three to four degrees of freedom at present [1]. This basis set bottleneck has prompted several authors to look for alternative approaches to study anharmonic dynamics [2-15,16].

One such method which received attention in recent years is the Lie-algebraic method [2-8,17,18]. The essential feature of the algebraic approaches is the realization that if the hamiltonian is an element of a Lie-algebra,

$$H = \sum_{i,j} h_{ij} I_{ij}, \quad (2.1.1a)$$

$$[I_{ij}, I_{kl}] = \sum_k C_{ij,kl}^k I_{kl}, \quad (2.1.1b)$$

the time evolution operator can be parametrized as the exponential of an **antihermitian** element of that algebra [16,17].

$$U = \exp(X), \quad (2.1.2a)$$

$$X = -X^\dagger = \sum_i C_i X_i \quad (2.1.2b)$$

Here the coefficients C are called the structure constants of the algebra.

The governing equations for the coefficients of the generators of the evolution operator are obtained by substituting the ansatz (2.1.2a) into the **Schroedingers** equation for the evolution operator

$$i U^\dagger U = U^\dagger H U \quad (2.1.3)$$

Expanding both sides of eq.(2.1.3) by the well known Hausdorff expansion and equating the **coefficients** of each 1 on either side of the equation provides the required working equations. The resulting expressions are compact and provide a convenient route for generating the solution to eq.(2.1.3) either **perturbatively** as is done in Magnus expansion [16] or **non-perturbatively** [2-6].

The advantages of the algebraic method are most striking when the Lie-algebra is finite dimensional. In this case, the number of independent variables required to define the evolution operator globally is finite, even if the underlying **Hilbert** space is infinite dimensional. The most general class of **hamiltonians** that belong to a finite dimensional Lie-algebra, other than the projection operator algebra operative in finite dimensional vector spaces, are the quadratic **hamiltonians**. **Consequently**, a large body of studies have appeared in which quadratic **hamiltonians** which belong to the harmonic oscillator algebra have been studied by the Lie-algebraic method [2-6] and the

closely related gaussian wave packet propagation techniques [8-12]. Since most **problems** of practical interest require **dynamics** on **anharmonic surfaces**, the harmonic oscillator algebra cannot provide an exact solution for the evolution operator of such systems. Instead, the algebraic theory is used to develop an intermediate picture **representation** [2] in which the convergence pattern of the time dependent wave function is improved **compared** to that in the conventional **basis** set expansion approach. To this end, a model wave function ϕ is defined and related to the exact wave function ψ by a unitary transformation U_e

$$\psi = U_e \phi. \quad (2.1.4a)$$

The model wave function then satisfies the equation

$$i\phi = (U_e^\dagger H U_e - i U_e^\dagger U_e) \phi. \quad (2.1.4b)$$

It is now postulated that the U operator is generated by the elements of the harmonic oscillator algebra L_n

$$U_e = \exp \left[\sum x_i l_i \right] ; l_i \in L_H = \{q, p, q^2, p^2, qp + pq, 1\} \quad (2.1.5)$$

Here q and p are the coordinate and momentum operators. Given that 1 belong to an algebra, it is possible to define an intermediate **reference** hamiltonian H by

$$i \dot{U}_e = H_e U_e, \quad (2.1.6)$$

where H_e is also an **element** of L_H . Consequently, eq(2.1.4b) can

be rewritten as

$$i\phi_e = U_e^{-1} (H - H_e) U_e \phi_e. \quad (2.1.7)$$

The model wave function ϕ is now expanded in terms of the basis vectors x of the Hilbert space,

$$\phi = \sum_n C_n x_n. \quad (2.1.8)$$

The (possibly time dependent) coefficients appearing in H_e (eq.(2.1.6)) are now chosen such that the expansion in eq.(2.1.8) converges rapidly. Several recipes are discussed in literature to attain this goal. In the simplest approach, [2,5,6,8] H_e is chosen so as to cancel all linear and bilinear terms in H . It is implicitly assumed in this case that the interaction potential has fast converging Taylor series and that the first two terms are the most important. On the other hand, Shi and Rabitz [4] and Kucar and Meyer [3] have suggested the usage of time dependent variational principles to obtain H_e . More recently Echave et al

[2f] suggested an alternative to the exponential ansatz of eq(2.1.5). These authors observe that the unitary transformation U acting on the elements of the algebra generates elements of the $^+h\mathfrak{g}$ algebra again. Thus,

$$U_e^{-1} l_i U_e = \sum y_{ij} l_j. \quad (2.1.9)$$

If the hamiltonian is an analytical function of the basis operators of the algebra, this transformation yields

$$U^{-1} H (1) U = H (U^{-1} l_i U_e). \quad (2.1.10)$$

Instead of the coefficients x_i in the exponential ansatz, the mixing coefficients y_i are now to be determined so as to optimize the convergence in eq(2.1.10).

A common feature shared by all the above approaches is to use the harmonic oscillator algebra to define an intermediate **hamiltonian** which generates the basis functions such that fewest possible basis functions would provide the converged time dependent wave function. In other words, they utilize the harmonic oscillator algebra to construct an explicitly time dependent reference **hamiltonian** which generates a convenient time dependent basis set. The dynamical calculations are then carried out by the conventional **linear superposition** method or the perturbation theory.

In this chapter, we present a completely **Lie-algebraic** method for the calculation of dynamics generated by **anharmonic hamiltonians** that bypasses the basis set expansion. All anharmonic **hamiltonians** with fixed number of degrees of freedom are elements of a **single** infinite dimensional Lie-algebra. Thus they can all be treated on the same generic footing **irrespective** of the specific interaction potential that **characterises** the system. These algebras are introduced in Sec. 2.2a along with a discussion on the difficulties encountered when a canonical **representation** of the evolution operator such as eq.(2.1.2) is invoked. Briefly, these consist of the following: Since the algebra is infinite dimensional, the **number** of independent variables required to parametrize the evolution operator is also infinity. **Consequently**, truncations are necessary in any practical calculation. Even then, the governing equations for the generators of the evolution operator contain infinite order

polynomials of the unknown coefficients necessitating further **approximations**. In addition, under some exotic conditions, the existence of the solution is also **questionable**.

These problems can be surmounted by **parametrizing** the evolution operator in a non-canonical product of exponential operators. **Wie** and Norman [18] and **Wolf** and Korsche [19] have discussed a reduction principle when the algebra under **consideration** has an invariant sub-algebra. More recently this reduction principle was extended to algebras which have only **non-invariant** subalgebras by Sree **latha** and Prasad [20]. This reduction principle is reviewed in Sec. 2.2b. We then use it to construct the **time** evolution operator for a general **1-d anharmonic** oscillator in Sect 2.2c.

The algebra of the anharmonic oscillators can be **realized** either in the coordinate space in the form of differential operators or **equivalently**, in the boson ladder operator form in the **Hilbert** space spanned by the harmonic oscillator eigen functions. The evolution operator generated by the algebraic approach in the boson operator **representation** turns out to be the time dependent **generalization** [21] of the ansatz postulated by the coupled cluster method [22-30] (CCM) for the anharmonic **oscillators** [31-36]. The concepts invoked in the CCM such as the cluster **decomposition** property [22] and the subsystem embedding condition [25] emerge naturally in the algebraic approach. It turns out that the usage of the **Wie-Norman** product form in which the operator sequence is chosen by the reduction principle eliminates both the problems encountered in **the** construction of the anharmonic evolution operator by the algebraic approach. **Generalizations** to **multidimensional** systems are discussed in

Sec. 2.2c. Finally, in the last section, we conclude with a general discussion of the method.

2.2. METHODOLOGY

2.2a. The Algebra of the Anharmonic Oscillators.

We now turn to the construction of the **time** evolution operator for **anharmonic** oscillators. For concreteness, we discuss one dimensional systems below. The most general form of the **hamiltonian** in such a case is

$$H = p^2/2m + \sum_{n=0} 1/n! V_n q^n. \quad (2.2.1a)$$

Here q and p are the coordinate and the momentum operators of the particle, m is the mass and V are the coefficients appearing in the Taylor series for the potential energy function. Note that we make no assumptions regarding the potential energy function other than that it has a well defined Taylor series. This **hamiltonian** is an element of the infinite dimensional Lie-algebra L_0

$$L_0 = \{ Q = q^m p^n ; 0 \leq m, n \leq \infty \} \quad (2.2.2a)$$

(unless the summation in eq.(2.2.1a) is restricted to at most quadratic terms). To see this consider the commutator of the cubic term in the potential with p^2 operator.

$$[q^3, p^2] = 6\hbar^2 q + 6i\hbar q^2 p. \quad (2.2.3a)$$

The commutator of the second term in this operator with q^3 once again gives

$$[q^2 p, q^3] = -3i\hbar q^4, \quad (2.2.3b)$$

while the commutator of the second term with p^2 operator gives

$$[q^2 p, p^2] = 2\hbar^2 p + 4i\hbar q p^2 \quad (2.2.3c)$$

Continuing in this vein, we find that the algebra is not closed until all the operators of the form $q^m p^n$ are included in it. Since this result is independent of the particular set of coefficients appearing in the Taylor series for the interaction potential in eq.(2.2.1a), all one dimensional anharmonic hamiltonians belong to this infinite dimensional Lie-algebra. Transforming to the harmonic oscillator ladder operator representation, we have

$$H = \sum_{m,n} h_{mn} a^{+m} a^n. \quad (2.2.1b)$$

Here a^+ and a are the usual harmonic oscillator ladder operators defined as

$$a^+ = [m\omega q + i(1/m\omega)p] / \sqrt{2\hbar}$$

$$a = [(-m\omega)q - i(1/m\omega)p] / \sqrt{2\hbar}$$

where α is an arbitrary parameter. In this **representation** L_0 is given by

$$L_0 = \{A_{mn} = a^{+m} a^n ; 0 \leq m, n \leq \infty\}. \quad (2.2.2b)$$

We shall make use of **this representation** in the following discussion. Given that H is an element of L_0 , we can parametrize the evolution operator U as

$$U = \exp [S], \quad (2.2.4a)$$

$$S = \sum_{m,n=0}^{\infty} S_{mn} a^{+m} a^n ; \quad S_{mn} = -S_{nm}^*. \quad (2.2.4b)$$

This **representation** runs into two **difficulties**. To begin with, even when the summation for S operator in eq.(2.2.4) is restricted to some finite values of m and n ($m, n \leq 2$), the Hausdorff expansion gives a **non-terminating** series. To see this consider a truncation of S with only two terms in it, $S_{30} a^3$ and $S_{03} a^3$ and one term in H containing only a . Then,

$$\begin{aligned} U^{-1} H U &\sim \exp[- S_{30} a^{+3} + S_{03} a^3] a \exp [S_{30} a^{+3} - S_{03} a^3] \\ &= a + 3S_{30} a^{+2} + 3S_{30} S_{03} (6a + 6a^{+}aa)/2! + \\ &18S_{30} S_{03} (3S_{30} a^{+2} + 6S_{30} a^{+3}a + 3S_{03} a^4 + 6a^{+2})/3! + \dots \end{aligned} \quad (2.2.5)$$

Note that at each alternate order a^4 term is once again

generated. Thus, even when the operator set in eq.(2.2.4b) is **restricted** to a few terms, the coefficients of all operators in U_{HU} would contain infinite order polynomials in S_{mn} . Since little is known about the magnitudes of S *a priori*, it becomes difficult to evaluate them numerically without invoking some further **approximations**. The second problem with the **representation** (2.2.4) is that under some conditions the governing equations are not **well** defined. This can be seen by considering

$$\begin{aligned}
 U^{-1} d/dt U &\sim \exp [-S_{30}a^{+3} + S_{03}a^3] d/dt \exp [S_{30}a^{+3} - S_{03}a^3] \\
 &= d/dt + \dot{S}_{30}a^{+3} - \dot{S}_{03}a^3 \\
 &+ 1/2! [(9a^{+2}a^2 + 18a^{+}a + 6) (\dot{S}_{30}S_{03} - S_{30}\dot{S}_{03})] \\
 &+ 54/3! [(\dot{S}_{30}S_{03} - S_{30}\dot{S}_{03}) \{ (a^{+4}a + a^{+3}) S_{30} \\
 &\quad + (a^{+}a^4 + a^3) S_{03} + S_{30}a^{+3} + S_{03}a^3 \}] + \dots \\
 &= d/dt + 54/3! (\dot{S}_{30} + 2\dot{S}_{30}S_{03}S_{30} + 2\dot{S}_{03}S_{30}^2 + \dots) a^{+3} + \dots
 \end{aligned}
 \tag{2.2.6}$$

As can be seen, the coefficient of the a operator is S_{30} multiplied by a polynomial function of S_{30} and S_{03} . If this function were to become zero at some point of the evolution, then S_{30} would not be defined.

Both these problems arise because the ansatz (2.2.4) treats

stepup and **stepdown** operators on equal footing. As a consequence, the **multi** commutator expansion becomes a **non-terminating** series. If these two sets of operators are disentangled and written as separate exponentials these difficulties would not appear. This provides the motivation for invoking a Wei-Norman product form for the evolution operator. In Sec. **2.2b** we discuss a reduction principle by which such a disentanglement of operators can be achieved in a systematic fashion by exploiting the sub-algebra structure of L_{Λ} and in Sec. **2.2c** we will use it to construct the evolution operator for the **1-d anharmonic oscillators**. **Extensions** to **multidimensional** AHOs will be presented in Sec. **2.2d**.

2.2b. Reduction principle and decoupling of ~~the~~ algebraic evolution operator.

In this sub-section we present the details of the reduction principle by which the evolution operator can be written as a product of exponentials whose equations of motion are decoupled from each other.

Consider now the situation where the Lie-algebra L containing the **hamiltonian** H has a sub-algebra L_1 spanned by the operator set $\{l_i^1\}$ and define C_0 as the difference of L_0 and L_1

$$C_0 = \{ l_i^0 \} = L_0 - L_1, \quad \subset L_0. \quad (2.2.7a)$$

If U is parametrized as

$$U = U_0 U_R, \quad (2.2.7b)$$

$$U_0 = \exp(X_0), \quad (2.2.7c)$$

$$x_0 = \sum_i x_i^0 l_i^0 ; l_i^0 \in C_0, \quad (2.2.7(1))$$

the governing equation for U_n is obtained by substituting eq.(2.2.7b) in the TDSE and is given by

$$i\dot{U}_R = H_R U_R, \quad (2.2.8a)$$

$$H_R = U_0^{-1} H U_0 - i U_0^{-1} \dot{U}_0. \quad (2.2.8b)$$

Note that by eq. (2.2.8), U_n is generated by the effective **hamiltonian** H_n . Thus an exponential **representation** of U is generated by the operator basis of the algebra to which H_n belongs. In general, H_R belongs to L_0 , since by Hausdorff expansion eq. (2.2.8b) gives

$$H_R = \sum_i \bar{h}_i(x^0) l_i - i \sum_i \dot{x}_i^0 [f_{ij}(x^0) l_j], \quad (2.2.8c)$$

where h functions are transformed **hamiltonian** matrix elements. These and f are functions of the structure constants of the algebra. However it is possible to restrict H_n to L_n by requiring that **all** the coefficients of the l_i operators in H_n vanish. With this it would now be possible to parametrize U_n strictly in terms of the operators of L alone. Since C_0 does not contain any of the operators belonging to L , this leads to a product form of U in which the governing equations for the

coefficients of the operators in C_0 are decoupled from the coefficients of the operators in L_1 . Since the number of x variables is equal to the number of operators l in C_0 by definition (eq.(2.2.7d), these equations can always be satisfied. Formally this can be written as,

$$(U_0^{-1} H U_0 - i U_0^{-1} \dot{U}_0) l_i^0 = 0 \quad (2.2.8d)$$

which becomes the working equation for U_0 . With eq. (2.2.8d) satisfied and consequently H restricted to L_1 , U_D can now be parametrized as

$$U_R = \exp (X_1) \quad (2.2.8e)$$

$$X_1 = \sum_i x_i^1 l_i^1; \quad l_i^1 \in L_1. \quad (2.2.8f)$$

Note that to solve eq(2.2.8d) for x coefficients, no knowledge of the x^1 coefficients is needed. Thus, the two sets of equations for x and x coefficients are decoupled from each other. The result of eq (2.2.7) - (2.2.8) can easily be generalized to a situation when L_0 has a sequence of sub algebras L_i such that $L \supset L_0 \supset L_1 \supset \dots$. The evolution operator can be factorized as

$$U = \prod_k U_k, \quad (2.2.9a)$$

$$U_k = \exp (X_k) \quad (2.2.9b)$$

$$X_k = \sum_i x_i^k l_i^k; \quad l_i^k \in C_k = L_k - L_{k+1}. \quad (2.2.9c)$$

The decoupled equations of motion for the coefficients of the generators are given by

$$(U_k^{-1} \bar{H}_k U_k - i U_k^{-1} \dot{U}_k)_{ll} = 0, \quad (2.2.10a)$$

$$\bar{H}_k = U_{k-1}^{-1} \bar{H}_{k-1} U_{k-1} - i U_{k-1}^{-1} \dot{U}_{k-1}. \quad (2.2.10b)$$

As a concrete example, consider the dynamics generated by a quadratic **hamiltonian**:

$$H = \omega a^+ a + f(a^+ + a) + g(a^+ a^+ + aa). \quad (2.2.11)$$

This **hamiltonian** is an element of the harmonic **oscillator** algebra $L_H = \{a, a^+, aa, a^+a^+, aa, 1\}$. This algebra has several sequences of sub-algebras. We discuss one of them here.

$$L_H \supseteq L_1 \supseteq \dots \quad (2.2.12a)$$

with

$$L_1 = \{a^+a, aa, a, 1\}. \quad (2.2.12b)$$

It can readily be verified that L_1 is closed under **commutation**. The difference of L_H and L_1 is given by

$$C_0 = L_H - L_1 = \{a^+, a^+a^+\}. \quad (2.2.12c)$$

Thus in the first step we write U as the product of two exponential operators U_0 and U_R where U_0 is generated by the

operators in C_0 alone and U_R is generated by the operators in L alone.

$$U = U_0 U_R \quad (2.2.13a)$$

$$U_0 = \exp(S) \quad (2.2.13b)$$

$$S = S_{10} a^+ + S_{20} a^+ a^+ \quad (2.2.13c)$$

According to eq.(2.2.8a), the governing equation for U_R is given by

$$i \dot{U}_R = H_R U_R \quad (2.2.14a)$$

where,

$$H_R = U_0^{-1} H U_0 - i U_0^{-1} \dot{U}_0 \quad (2.2.14b)$$

Evaluating these terms we find

$$\begin{aligned} U_0^{-1} H U_0 &= \exp(-S) H \exp(S) \\ &= H + [H, S] + \frac{1}{2!} [[H, S], S] + \dots \\ &= \omega a^+ a + f(a^+ + a) + g(a^+ a^+ + aa) + \omega S_{10} a^+ + 2\omega S_{20} a^+ a^+ \\ &\quad + fS_{10} + 2fS_{20} a^+ + 2gS_{10} a + 4gS_{20} a^+ a + 2gS_{20} + \\ &\quad + (2gS_{10}^2 + 4gS_{20}S_{10} a^+ + 8gS_{10}S_{20}^2 a^+ a^+) / 2! \quad (2.2.15a) \end{aligned}$$

and

$$iU_0^{-1} \dot{U}_0 = i\dot{S}_{10} a^+ + i\dot{S}_{20} a^+ a^+ \quad (2.2.15b)$$

To achieve the decoupling of the equations of motion of U_- and U_0 we need to set the coefficients of the operators belonging to C in H_R as zero (Cf. eq.(2.2.8)). This is achieved by setting

$$\dot{S}_{10} = f + \omega S_{10} + 2fS_{20} + 4gS_{20} S_{10} \quad (2.2.15c)$$

$$\dot{S}_{20} = g + 2\omega S_{20} + 4gS_{20}^2. \quad (2.2.15d)$$

With these equations satisfied, H_0 takes the form

$$H_R = (\omega + 4gS_{20}) a^+ a + (f + 2gS_{10}) a + g aa + (fS_{10} + 2gS_{20} + gS_{10}^2). \quad (2.2.15e)$$

Note that this operator contains the operators belonging to $L = \{a a, a, aa, 1\}$ only. To continue further we note that $L = \{a a, a, aa\}$ is a sub-algebra of L . The difference of L and L_0 is the identity operator. Thus, the time dependent C -function in H_{D_K} can be factorized in U_n to give

$$U_R = U_c U_1, \quad (2.2.16a)$$

$$U_c = \exp(S_{00}), \quad (2.2.16b)$$

$$\dot{S}_{00} = fS_{10} + 2gS_{20} + gS_{10}^2. \quad (2.2.16c)$$

Further, $L_3 = \{ \mathbf{a}, \mathbf{aa} \}$ is a sub-algebra of L_2 . Thus U_1 can be parametrized as

$$U_1 = U_D U_x \quad (2.2.17a)$$

$$U_D = \exp (S_{11} \mathbf{a}^+ \mathbf{a}) \quad (2.2.17b)$$

$$U_x = \exp (S_{01} \mathbf{a} + S_{02} \mathbf{aa}). \quad (2.2.17c)$$

The governing equations for these are obtained **similarly** and give

$$i\dot{S}_{11} = \omega + 4g S_{20} \quad (2.2.18a)$$

$$i\dot{S}_{01} = f + 2g S_{10} \quad (2.2.18b)$$

$$i\dot{S}_{02} = g . \quad (2.2.18c)$$

The full evolution operator is thus given by

$$U = \exp(S_{10} \mathbf{a}^+ + S_{20} \mathbf{a}^+ \mathbf{a}^+) \exp(S_{00}) \exp(S_{11} \mathbf{a}^+ \mathbf{a}) \\ \exp(S_{01} \mathbf{a} + S_{02} \mathbf{aa}). \quad (2.2.19)$$

Note that the equations of motion for \mathbf{S} and S_{20} are decoupled from the rest of the coefficient set. Similarly while S_{00} depends **parametrically** on S_{10} and S_{20} , it is decoupled from S_{11} , S_{01} and \mathbf{S} as was desired. Note that the operator sequence in eq.(2.2.19) is different from that used by earlier authors [2-81]. For example, **Benjamin** [5] uses the operator ordering

$$U = \exp(Z_1 a^\dagger a) \exp(Z_2 a^\dagger a^\dagger) \exp(Z_3 a^\dagger) \exp(Z_4 a) \exp(Z_5 aa) \exp(Z_6).$$

As a consequence, his equations of **motion** for the coefficients of a and a^\dagger depend explicitly on the coefficient of $a^\dagger a$. This is in contrast to our approach where the equation for the coefficients of $a^\dagger a$ and a are decoupled from the coefficient of $a^\dagger a^\dagger$.

2.2c. Evolution operator for one dimensional AHO.

We now turn to the construction of the evolution operator of a one dimensional **anharmonic** oscillator. As mentioned earlier the **hamiltonian** of such a system, in its most **general** form, is given in the harmonic oscillator ladder operator **representation** by

$$H = \sum_{m,n} h_{mn} a^{\dagger m} a^n. \quad (2.2.20)$$

There **are** several ways in which L_- can be decomposed as envisaged in the previous sub-section. These correspond to different variants of the CCM ansatz [29]. We discuss two versions **here**. It is convenient for this purpose to classify the operators in L_- as follows

a. Sets of creation operators

$$C_k = \{ a^{\dagger(k+n)} a^k \quad ; \quad n \geq 1 \} \quad 0 \leq k \leq \infty. \quad (2.2.21a)$$

b. Sets of annihilation operators

$$A_k = \{ a^{+k} a^{k+n} ; n \geq 1 \} \quad 0 \leq k \leq \infty. \quad (2.2.21b)$$

c. Sets of diagonal operators

$$D_k = \{ a^{+k} a^k \} \quad 0 \leq k \leq \infty. \quad (2.2.21c)$$

Consider now the set of operators $L = L_0 - C_0$. Other than the identity operator in D , all other operators in this set contain at **least** one annihilation operator. **Consequently**, their **commutators** also contain at least one annihilation operator. To see this we evaluate the commutator of two typical elements of L_1 .

$$[a^{+m} a^n, a^{+p} a^q] = a^{+m} [a^n a^{+p}] a^q + a^{+p} [a^{+m} a^q] a^n \quad (2.2.22a)$$

$$= \sum_{r=0}^{\text{Min}(n,p)} a^{+(m+p-r)} a^{(n+q-r)} n_{C_r} p_{C_r} - \sum_{r=0}^{\text{Min}(m,q)} a^{+(m+p-r)} a^{(n+q-r)} m_{C_r} q_{C_r}. \quad (2.2.22b)$$

Since n and q are at least 1, and r is at most either q or n , the terms with non-zero coefficients contain at least one a on the right. Thus L is closed under commutation and hence is a Lie-algebra. **Consequently**, the time evolution operator can be factorized as

$$U = U_0 U_R, \quad (2.2.23a)$$

where U_0 and U_R are exponential operators generated by the operators in C and L respectively.

$$U_0 = \exp \left[\sum_m S_{m0} a^{+m} \right] \quad (2.2.23b)$$

$$U_R = \exp \left[\sum_m r_m X_m \right]; \quad X_m \in L_1. \quad (2.2.23c)$$

Further, the set of operators $L_1' = L_1 - D_0$ is also closed under commutation. Thus, U_R can be further factorized as

$$U_R = U_{D0} U_R' \quad (2.2.23d)$$

where U_{D0} and U_R' are exponential operators generated by the operators in D_0 and L_1' respectively.

$$U_{D0} = \exp (S_{00}) \quad (2.2.23e)$$

$$U_R' = \exp \left[\sum_m r_m' X_m' \right]; \quad X_m' \in L_1'. \quad (2.2.23f)$$

As per the discussion in **Sec.2.2b**, the working equations for the coefficient set S_{\sim} would be decoupled from the rest of the variables S_{\sim} and r . Similarly, equation for S_{00} depends upon S_{\sim} **parametrically**, but does not depend upon r . Thus at the first stage U is factorized into three **terms** as follows,

$$U = U_0 U_{D0} U_R' \quad (2.2.23g)$$

Continuing in the same vein, it can be verified that the sets of operators $L_{k+1} = L_k - C_k$ and $L_{k+1}' = L_{k+1} - D_k$ are sub-algebras of $L_k \supset L_k'$ for all k .

The last set in this sequence of sub algebras is

$$L_{\infty} = \bigcup_{k=1}^{\infty} A_k. \quad (2.2.24a)$$

This can be further decomposed as follows

$$L_1'' = L_{\infty} - A_0 \quad (2.2.24b)$$

$$L_{k+1}'' = L_k'' - A_k. \quad (2.2.24c)$$

Thus the evolution operator after complete **factorization** reads as

$$U = \prod_{k=0}^{\infty} [\exp (W_k) \exp (Z_k)] \prod_{l=0}^{\infty} \exp (Y_l);$$

$$W_k \in C_k, \quad Z_k \in D_k, \quad Y_l \in A_l \quad (2.2.25a)$$

$$W_k = \sum_m S_{mk} a^{+(m+k)} a^k, \quad Y_k = \sum_m y_{mk} a^{+k} a^{(m+k)}, \quad Z_k = \sum_k S_{kk} a^{+k} a^k$$

$$(2.2.25b)$$

where $m > k \geq 0$.

In the CCM parlance, this ansatz corresponds to the normal coupled cluster method (NCCM) [29].

Returning to the equation of motion for U_0

$$(U_0^{-1} H U_0 - i U_0^{-1} \dot{U}_0) | 0_i = 0 \quad (2.2.26a)$$

we find

$$\begin{aligned} i U_0^{-1} \dot{U}_0 &= i \exp \left[\sum_m - S_{m0} a^{+m} \right] d/dt \exp \left[\sum_m S_{m0} a^{+m} \right] \\ &= i d/dt + i \sum_m \dot{S}_{m0} a^{+m}, \end{aligned} \quad (2.2.26b)$$

since the remaining terms in the Hausdorff expansion are zero. Note that S_{m0} terms are not multiplied by any function which can go to zero. Hence these equations are free from questions regarding the existence of solutions. Turning now to the second term,

$$\begin{aligned} U_0^{-1} H U_0 &= H + \sum S_{m0} [H, a^{+m}] + 1/2! \sum S_{m0} S_{n0} [[H, a^{+m}], a^{+n}] \\ &+ \dots \end{aligned} \quad (2.2.26c)$$

we note that the creation operators in H can be taken out as they commute with a operators of S . If the **hamiltonian** term contains k annihilation operators, the **multicommutator** expansion terminates after k nested commutators since no annihilation operators would be left to give a non-zero commutator. Thus the working equations would contain polynomial terms in S_{n0} , no higher than k power. Suppose now that the truncation index on the cluster operator S is set equal to N (i.e., $\sum_{n=0}^N S_{n0} a^{+n}$). Then the **multicommutator** expansion gives **atmost** an operator of the form $a^{+k(N-1)}$. Since the **approximation** requires operators only

upto a^{+N} , we would need to consider only a subset of these terms. These arguments are easily generalized to show that the rest of the terms in U such as U , U_2 , etc., are also free from these two defects unlike the canonical representation eq. (2.1.2).

The advantages of the ansatz (2.2.24) are best demonstrated in the context of the evolution of harmonic oscillator eigenstates. Consider as a first example, the evolution of the vacuum state.

$$U|0\rangle = \exp \left[\sum_m S_{m0} a^{+m} + S_{00} \right] |0\rangle, \quad (2.2.27a)$$

since all other generators acting on the vacuum state give zero. Thus, to obtain the dynamics of the vacuum state, only the S_{m0} matrix elements are needed. Similarly, for the first excited state we find,

$$U|1\rangle = \exp \left[\sum_{m=1} S_{m0} a^{+m} + S_{00} \right] \exp \left[\sum_{m=2} S_{m1} a^{+m} a \right] \exp[S_{11} a^+ a] |1\rangle. \quad (2.2.27b)$$

Here the S_{m0} matrix elements remain frozen from the previous calculation for the evaluation of the vacuum state by eq (2.2.26). Thus depending upon the state to be propagated U is automatically restricted.

A second sequence of sub algebras to L_0 which yield the evolution operator corresponding to the so called extended coupled cluster method (ECCM) [29] is obtained as follows

$$L_1 = L_0 - C_0 \quad (2.2.28a)$$

$$L_1' = L_1 - A_0 \quad (2.2.28b)$$

$$L_1'' = L_1' - D_0 \quad (2.2.28c)$$

$$L_k = L_{k-1}'' - C_{k-1} \quad (2.2.29a)$$

$$L_k' = L_k - A_{k-1} \quad (2.2.29b)$$

$$L_k'' = L_k' - D_{k-1} \quad (2.2.29c)$$

The evolution **operator** is given by

$$U = \prod_{k=0} [\exp (W_k) \exp (Y_k) \exp (Z_k)];$$

$$W_k \in C_k, Y_k \in A_k, Z_k \in D_k. \quad (2.2.30)$$

The effect of **U** on the **vacuum** state is determined by three sets of parameters since

$$U|0\rangle = \exp \left[\sum_m S_{m0} a^{+m} \right] \exp \left[\sum_m y_{0m} a^m \right] \exp(S_{00})|0\rangle \quad (2.2.31)$$

The equations of motion for the different cluster amplitudes S_λ are obtained **from** eq.(2.2.10). When truncations are made in the operator set, these equations also contain finite order **polynomials only**.

Note that while the coefficients of the annihilation operator have no role to play in the **NCCM** approach, they influence the diagonal and higher rank cluster operators in the

ECCM. Thus the **computational** effort in the NCCM is roughly half of that required by ECCM. In **general**, if one is interested only in the **calculation** of correlation functions or scattering amplitudes, the NCCM is preferable. On the other hand if expectation values are desired, the ECCM becomes the preferred method. This can be seen from the expressions for expectation values by both approaches over an arbitrary initial state $|m\rangle$. Since $U^+ = U^{-1}$, we get from eq(2.2.25) for NCCM

$$\begin{aligned} \langle m | U^+ \circ U | m \rangle_{\text{NCCM}} &= \langle m | \prod_{l=m}^0 \exp(-Y_l) \prod_{k=\infty}^0 (\exp(-Z_k) \exp(-W_k)) \circ \\ &\quad \prod_{k=0}^m [\exp(W_k) \exp(Z_k)] \prod_{l=0}^m \exp(Y_l) | m \rangle. \end{aligned} \quad (2.2.32)$$

The corresponding expression for ECCM is given by

$$\begin{aligned} \langle m | U^+ \circ U | m \rangle_{\text{ECCM}} &= \langle m | \prod_{k=m}^0 [\exp(-Z_k) \exp(-Y_k) \exp(-W_k)] \circ \times \\ &\quad \prod_{k=0}^m [\exp(W_k) \exp(Y_k) \exp(Z_k)] | m \rangle. \end{aligned} \quad (2.2.33)$$

In the NCCM approach the knowledge of creation operators to all orders is required even if the expectation value is to be evaluated over a few boson states. This is in contrast to the situation in the ECCM where the cluster amplitudes of the creation operators corresponding to the larger boson state are not required.

2. 2d. Generalizations to multidimensional systems.

The analysis presented above in the context of a one dimensional anharmonic oscillator is generalized to multidimensional systems almost trivially. The most general form of the hamiltonian for a D-dimensional AHO is given by

$$H = \sum_{i,j=1}^D p_i p_j / 2m_{ij} + \sum_{i=1}^D V_i^{(1)} q_i + 1/2! \sum_{i,j=1}^D V_{ij}^{(2)} q_i q_j + \dots \quad (2.2.34a)$$

It can be shown by arguments similar to those for the one dimensional AHO, that this hamiltonian is an element of the algebra,

$$L_0 = \left\{ \prod_{i=1}^D q_i^{n_i} p_i^{m_i} ; 0 \leq n_i, m_i \leq 1 \right\} \quad (2.2.35a)$$

irrespective of the particulars of the interaction potential. We note in passing that the Lie-algebra of 1-d AHOs is a subalgebra of this. In the boson operator representation the hamiltonian and the algebra take the form

$$H = \sum_{m_i, n_i=0}^{\infty} h(m_1 n_1, m_2 n_2, \dots, m_D n_D) \prod_{\alpha} a_{\alpha}^{+m_{\alpha}} a_{\alpha}^{n_{\alpha}} \quad (2.2.34b)$$

$$L_0 = \{ \prod_{\alpha=1}^D a_{\alpha}^{+m_{\alpha}} a_{\alpha}^{n_{\alpha}} ; 0 \leq m_{\alpha}, n_{\alpha} \leq \infty \}. \quad (2.2.35b)$$

A sequence of subalgebras **similar** to the 1-d AHO algebra **can be found** here also and can be used to **factorise** the time evolution operator. For example, the **multidimensional** analogues of C_0 , D_0 and L_1 are given by

$$C_0 = \{ \prod_{\alpha} a_{\alpha}^{+n_{\alpha}} ; 0 \leq n_{\alpha} \leq \infty \}. \quad (2.2.36a)$$

$$D_0 = \{ 1 \} \quad (2.2.36b)$$

$$L_1 = \{ \prod_{\alpha=1}^D a_{\alpha}^{+n_{\alpha}} a_{\beta} ; 0 \leq n_{\alpha} \leq \infty \}. \quad (2.2.36c)$$

With this, U can be **parametrized** as

$$U = U_0 U_1 U_2 \dots \quad (2.2.37a)$$

$$U_0 = \exp \{ \sum_{n_1 n_2 \dots} S_{n_1 n_2 \dots}^0 (a_1^+)^{n_1} (a_2^+)^{n_2} \dots + S_{00}^0 \}$$

$$U_1 = \exp \{ \sum_{\alpha} \sum_{n_1 n_2 \dots} S_{n_1 n_2 \dots; \alpha}^1 (a_1^+)^{n_1} (a_2^+)^{n_2} \dots a_{\alpha} \} \\ \exp \{ \sum_{\alpha \beta} S_{\alpha \beta}^1 a_{\alpha}^+ a_{\beta} \} \quad (2.2.37c)$$

etc. The only **complication** that arises is that the operators in the diagonal seta **lead to non-terminating** serieses and unlike in the one dimensional problem where each D_i contains only one

element, these serieses cannot be analytically summed. Since each D_k is a closed algebra, the exponentials involving these operators can be replaced with **linear** expansions, thus eliminating the infinite serieses. Thus U of eq(2.2.37c) for example, would be given by

$$U_1 = \exp\left[\sum_{\alpha} \sum_{n_1 n_2 \dots} S_{n_1 n_2 \dots; \alpha}^{(1)} (a_1^+)^{n_1} (a_2^+)^{n_2} \dots a_{\alpha}\right] \left[\sum_{\alpha, \beta} U_{\alpha \beta}^{(1)} a_{\alpha}^+ a_{\beta}\right]. \quad (2.2.37d)$$

2.3. CONCLUDING REMARKS

Dynamics of initially prepared states on **anharmonic** surfaces play a fundamental role in many processes of chemical interest. **Exponentially** repulsive potentials are used to model the dynamics of **photodissociation** processes and inelastic molecular collisions. Morse functions are used to model highly anharmonic bond stretch motions. Double well functions are used to model potential surfaces on which reactive collisions take place. All these are examples of anharmonic potential energy surfaces [37].

Lie-algebraic approaches to quantum dynamics have attracted considerable attention in recent years primarily because of the advantages such a description has to offer in terms of the compact expressions it provides for the generators of the time evolution operators and the hierarchy of convenient and highly accurate approximation it allows.

In this work, we have constructed the exact time evolution

operator for **anharmonic oscillators** by the Lie-algebraic method. The hamiltonians of **all** such **systems** irrespective of the particular interaction that **characterises** the **system** belong to an infinite dimensional algebra. This poses two problems in addition to the number of operators when a canonical **representation** of a single exponential is used to parameterize the evolution operator. First, the expressions in the equations for the generators contain infinite order polynomials even when the operator set is restricted, **necessitating** further (and perhaps **uncontrolled**) **approximations**. Second, the derivative terms, in the most general case, are multiplied by polynomial functions of the coefficients appearing in the generator of the evolution operator. No unique solution to the **corresponding** equation is possible if any of these functions were to become zero at some stage of the evolution. We have circumvented these two problems by **parameterizing** the evolution operator in a Wei-Norman product form. In addition, the equations of motion for different groups of generators were decoupled by invoking a reduction principle.

The algebra of anharmonic **oscillators** can be realized in two different **forms**. Adopting the boson ladder operator **representation**, we found that the resulting algebraic evolution operator is just the **time dependent generalization [21]** of the CCM wave operator. **Realizing** that the logarithm of the wave operator is an additively separable operator **asymptotically**, the CCM postulates an exponential wave operator for the vacuum states [28]

$$U_c = \exp (S) \quad (2.4.1)$$

and decomposes the cluster operator into one, two, **three**,...**N-body** excitation operators. Generalizing to the open-shell systems, the CCM posits [27]

$$U = U_C U_V \quad (2.4.2)$$

where U is expected to account for core-valence and valence-valence **interactions**. At this stage, an operator redundancy is **found**, since the equations **corresponding** to different operators (for example a^+ and $a^{+2}a$) cannot be separated. To avoid this, the '**subsystem embedding condition**' is imposed [27]. According to it, the cluster amplitudes **corresponding** to the fewer particle sub-system remain unchanged when it is embedded in a larger system. Within the framework of the algebraic theory, the subsystem embedding condition emerges naturally, since it is a consequence of the decoupling of the equations of motion of the relevant operators. We have discussed two different orderings of the operators which correspond to the NCCM and **ECCM**, in this context. Each has its own advantages and depending upon the quantity of **computational** interest, one or the other becomes the preferred method.

The Lie-algebraic approach offers several formal advantages over the basis set expansion approach. We recall in this context that the formal solutions to the Schroedinger equation is of the exponential form

$$U = \exp(-iHt), \quad (2.4.3)$$

when the **hamiltonian** is time independent. Even if the **hamiltonian**

is explicitly time dependent eq. (2.4.3) holds in the limit of **infinitesimal** time displacement. Thus, an exponential ansatz for U provides a better formal framework for approximate calculations than an ansatz that depends linearly on **its** parameters. In addition, the asymptotic **separability** properties of the time evolution operator are built into it. For example, consider a system which separates into two **non-interacting** subsystems **asymptotically**. The hamiltonian of such a system can be written symbolically as

$$\lim_{\epsilon \rightarrow 0} H_{\epsilon} = H_A + H_B. \quad (2.4.4)$$

Here, H_A and H_B are the **hamiltonians** of the two subsystems and s is a measure of the coupling between them. In this **limit**, the evolution operator factorizes as

$$\lim_{\epsilon \rightarrow 0} U = U_A U_B. \quad (2.4.5)$$

Since the sets of operators which act on the **Hilbert** spaces of the two subsystems commute with each other, and each is closed under commutation separately, they form closed subalgebras of the complete operator set acting on the direct product space of these two Hilbert spaces. Thus, they are decoupled from each other. **Parametrizing** U in a Wei-Norman product form as suggested in Sec.2.2b is equivalent to **disentangling** the excitation and **deexcitation** operators such that it is in a **normally** ordered form with respect to the harmonic oscillator basis set.

Lastly, we return to the choice of the basis vectors to the operator space. As **mentioned earlier**, the AHO operator space can be realized in the coordinate space as $L_0 = \{q^m p^n ; 0 \leq m, n < \infty\}$ where q and p are the coordinate and momentum operators **respectively**. The decoupling procedure discussed in **Sec.2.2c** is operative here also and L_0 can be factorised as

a. Sets of coordinate operators

$$C_k = \{ q^{(k+n)} p^k ; n \geq 1 \} \quad 0 \leq k \leq \infty \quad (2.4.6a)$$

b. **Sets** of momentum operators

$$A_k = \{ q^k p^{(k+n)} ; n \geq 1 \} \quad 0 \leq k \leq \infty \quad (2.4.6b)$$

c. Sets of diagonal operators

$$D_k = \{ q^k p^k \} \quad 0 \leq k \leq \infty \quad (2.4.6c)$$

Continuing further, similar to the **decompositions** discussed in Sec. 2.2c, the time evolution operator can now be parametrised in two ways

$$1) U = \prod_{k=0} \{ \exp(W_k) \exp(Z_k) \} \prod_{l=0} \exp(Y_l) ;$$

$$W_k \in C_k, Z_k \in D_k, Y_l \in A_l \quad (2.2.8)$$

$$W_k = \sum_m S_{mk} q^{(m+k)} p^k, \quad Y_k = \sum_m y_{mk} q^k p^{(m+k)}, \quad Z_k = \sum_k S_{kk} q^k p^k$$

where $m > k \geq 0$.

$$2) U = \prod_{k=0} [\exp (W_k) \exp (Y_k) \exp (Z_k)];$$

$$W_k \in C_k, Y_k \in A_k, Z_k \in D_k. \quad (2.2.9)$$

It is **more** convenient to keep the **momentum** operator p to the right of the coordinate q as the number of derivatives that result as a consequence of p would be **comparitively** less.

An approach based on such a **representation** would be more convenient if the calculations were being carried out in the coordinate space (such as the grid techniques [1]). The disadvantage of this **representation** over the boson **representation** is that there are no natural "annihilation operators" in this case. Thus one is obliged to carry out the calculation by including both q and p operators to the same order and accepting **non-terminating** serieses.

The application of the **formalism** developed in section 2.2 to a few model systems will be studied in this thesis in the next three chapters. In the third chapter, we will apply the algebraic theory to model one-dimensional systems. In the fourth chapter, application to model two dimensional systems will be presented. Application to the **non-adiabatic** dynamics for a few realistic systems will be discussed in chapter 5.

We would **like** to make a note that we **will** use the words **Lie-algebraic** method and the time dependent coupled cluster method **interchangeably** wherever applicable.

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CHAPTER 3

APPLICATIONS TO ONE-DIMENSIONAL SYSTEMS: CONVERGENCE STUDIES

3-1. INTRODUCTION

To test the validity of the algebraic formalism presented in chapter 2. we will discuss a few model applications in this chapter. The stiffness of the non-linear equations, which are obtained in this formalism, has to be tested and simultaneously one would like to know how well the S-matrix elements converge with respect to the truncation index N. We study the application of this formalism to vacuum state propagation on one-dimensional potentials so that the results can be compared with the converged results from the linear basis set expansion method to test its accuracy. The hamiltonian for any one-dimensional system in general can be written as

$$H = p^2/2m + V \quad (3.1.1)$$

where p is the momentum operator and V describes the potential energy surface. Obviously all the systems differ only in the form of the potential energy surface. The potentials we have chosen to study are

- 1) The exponentially repulsive potential,
- 2) The Morse potential,
- 3) Harmonic oscillator + time driven exponentially repulsive potential.

We will discuss the dynamics of these three systems in the

next section and discuss the convergence of the **S-matrix elements**.

3.2. DYNAMICS ON THE **EXPONENTIALLY** REPULSIVE SURFACE.

The **hamiltonian** consisting of an **exponentially** repulsive potential and the kinetic energy operator is

$$H = p^2 / 2m + F \exp [-\alpha (q)] \quad (3.2.1)$$

where, F and α are constants. This hamiltonian models various processes of physical significance involving molecular collisions, **photo**fragmentation processes, etc, and has been studied in **great** detail for these processes. Being a simple system we want to test our methodology on this system and then go to the more complicated ones. Converting this hamiltonian in terms of the harmonic oscillator ladder operators, we get

$$H = \hbar\omega / 4 [2a^+a + 1 - a^{+2} - a^2] + F \exp [Z(a^+ + a)] \quad (3.2.2)$$

where,

$$Z = -\alpha \sqrt{\hbar / 2m\omega} \quad (3.2.3)$$

As the potentials used in this chapter are transcendental functions, we explicitly derive the working equations in detail to show that the derivation of these equations though tedious is

relatively **straightforward** and involves no further **approximations**.

3.2a. Working Equations.

The equations of motion are obtained by solving the time dependent Schroedinger equation given by

$$i \dot{U} = H U. \quad (3.2.4)$$

As we are interested in calculating the **autocorrelation** and the transition **probabilities** from the ground to the higher eigenstates of the harmonic **oscillator** number operator which require only the ground state propagation, the evolution operator given by eq. (2.2.25) in chapter 2, reduces to

$$U_0 |0\rangle = \exp \left[\sum_m S_m a^{+m} \right] |0\rangle \quad (3.2.5)$$

premultiplying eq. (3.2.5) with U we obtain

$$i U^{-1} \dot{U} = U^{-1} H U \quad (3.2.6)$$

which we solve to obtain the desired properties. Substituting eq (3.2.5) in the above equation we obtain

$$i \exp \left[-\sum_m S_m a^{+m} \right] \frac{d}{dt} \exp \left[\sum_m S_m a^{+m} \right] = \exp \left[-\sum_m S_m a^{+m} \right] H \exp \left[\sum_m S_m a^{+m} \right]. \quad (3.2.7)$$

We will first consider the left hand side (L.H.S.) of the above equation

$$\begin{aligned}
 \text{L.H.S.} &= i \exp \left[-\sum_n S_n a^{+n} \right] d/dt \exp \left[\sum_n S_n a^{+n} \right] \\
 &= i \left\{ d/dt + \left[d/dt, \sum_n S_n a^{+n} \right] \right. \\
 &\quad \left. + 1/2! \left[\left[d/dt, \sum_n S_n a^{+n} \right], \sum_m S_m a^{+m} \right] + \dots \right\} \\
 &= i \left\{ d/dt + \sum_n \dot{S}_n a^{+n} + 0 + 0 + \dots \right\}. \tag{3.2.8}
 \end{aligned}$$

Here the second line follows from the well known Hausdorff expansion [1] and the observation that all creation operators commute with each other.

We next turn to the right hand side (R.H.S.) of eq(3.2.7)

$$\begin{aligned}
 \text{R.H.S.} &= \exp \left[-\sum_n S_n a^{+n} \right] H \exp \left[\sum_n S_n a^{+n} \right] \\
 &= \exp \left\{ -\sum_n S_n a^{+n} \right\} \left\{ \hbar\omega/4 \left(2a^+ a + 1 - a^{+2} - a^2 \right) \exp \left[\sum_n S_n a^{+n} \right] \right\} \\
 &\quad + \exp \left[-\sum_n S_n a^{+n} \right] F(t) \exp[Z(a^+ + a)] \exp \left[\sum_n S_n a^{+n} \right]. \tag{3.2.9}
 \end{aligned}$$

Consider the first term in eq. (3.2.9). This gives by Hausdorff expansion

$$\begin{aligned}
& \exp \left[-\sum_n S_n a^{+n} \right] \hbar\omega/2 (a^+ a) \exp \left[\sum_n S_n a^{+n} \right] \\
&= \hbar\omega/2 \{ a^+ a + [a^+, \sum_n S_n a^{+n}] \\
&\quad + 1/2! [[a^+, \sum_n S_n a^{+n}], \sum_m S_m a^{+m}] + \dots \} \\
&= \hbar\omega/2 \{ a^+ a + \sum_n n S_n a^{+n} \}, \tag{3.2.10}
\end{aligned}$$

Here again, the last line follows because the creation operators commute with each other.

The second term in eq. (3.2.9) is given by

$$U^{-1} [\hbar\omega/4] U = \hbar\omega/4, \tag{3.2.11}$$

Similarly, the third term in eq. (3.2.9) is given by

$$U^{-1} [-\hbar\omega/4 a^{+2}] U = -\hbar\omega/4 a^{+2}. \tag{3.2.12}$$

We now evaluate the fourth term in the expansion given by eq. (3.2.9)

$$\begin{aligned}
U^{-1} [-\hbar\omega/4 a^2] U &= \exp \left[-\sum_n S_n a^{+n} \right] [-\hbar\omega/4 a^2] \exp \left[\sum_n S_n a^{+n} \right] \\
&= -\hbar\omega/4 \{ a^2 + [a^2, \sum_n S_n a^{+n}] \\
&\quad + 1/2! [[a^2, \sum_n S_n a^{+n}], \sum_m S_m a^{+m}] + \dots \}
\end{aligned}$$

$$\begin{aligned}
&= -\hbar\omega/4 \, a^2 - \hbar\omega/4 \sum_n S_n \{ 2na^{+(n-1)} a \} \\
&\quad - \hbar\omega/4 \sum_n S_n \, n \, (n-1) \, a^{+(n-2)} \\
&\quad - \hbar\omega/8 \sum_{n,m} S_n S_m \, 2nm \, a^{+(n+m-2)}. \quad (3.2.13)
\end{aligned}$$

Turning to the remaining term in **eq.(3.2.9)** we first express $\exp [Z (a^+ + a)]$ in normal order [1]

$$\exp [Z (a^+ + a)] = \exp (Za^+) \exp(Za) \exp (Z^2/2). \quad (3.2.14)$$

With this and using the resolution of identity in the form $\exp (-Za) \exp (Za) = 1$, we obtain

$$\begin{aligned}
&\exp \left[-\sum_n S_n a^{+n} \right] F \exp [Z(a^+ + a)] \exp \left[\sum_n S_n a^{+n} \right] \\
&\quad = F \exp (Z^2/2) \exp \left[-\sum_n S_n a^{+n} \right] \exp (Za^+) \exp(Za) \\
&\quad \times \exp \left[\sum_n S_n a^{+n} \right] \exp(-Za) \exp(Za) \\
&\quad = F \exp (Z^2/2) \exp(Za^+) \exp \left[-\sum_n S_n a^{+n} \right] \{ \exp(Za) \\
&\quad \times \exp \left[\sum_n S_n a^{+n} \right] \exp(-Za) \} \exp (Za), \quad (3.2.15)
\end{aligned}$$

Here the second line **follows** because Za and S commute with

each other. To continue further we expand the term in the braces by Hausdorff expansion and obtain

$$\begin{aligned}
&= F \exp(Z^2/2) \exp(Za^+) \exp\left[-\sum_n S_n a^{+n}\right] \left\{ \sum_{k=0} 1/k! \exp(Za) \right. \\
&\quad \times \left. \left\{ \sum_n S_n a^{+n} \right\}^k \exp(-Za) \right\} \exp(Za) \\
&= F \exp(Z^2/2) \exp(Za^+) \exp\left[-\sum_n S_n a^{+n}\right] \\
&\quad \times \left\{ \sum_{k=0} 1/k! \left[\exp(Za) \sum_n S_n a^{+n} \exp(-Za) \right]^k \right\} \exp(Za) \\
&= F \exp(Z^2/2) \exp(Za^+) \exp\left[-\sum_n S_n a^{+n}\right] \\
&\quad \times \exp\left\{ \exp(Za) \left[\sum_n S_n a^{+n} \right] \exp(-Za) \right\} \exp(Za) \\
&= F \exp(Z^2/2) \exp(Za^+) \exp\left[-\sum_n S_n a^{+n}\right] \\
&\quad \times \exp\left\{ \sum_n S_n \left\{ a^{+n} + [Za, a^{+n}] + 1/2! [Za, [Za, a^{+n}]] + \dots \right\} \right\} \exp(Za) \\
&= F \exp(Z^2/2) \exp(Za^+) \exp\left[-\sum_n S_n a^{+n}\right] \\
&\quad \times \exp\left\{ \sum_n S_n \left\{ a^{+n} + Zna^{+n-1} + 1/2! n(n-1) Z^2 a^{+n-2} + \dots \right\} \right\} \exp(Za) \\
&= F \exp(Z^2/2) \exp(Za^+) \exp\left[-\sum_n S_n a^{+n}\right] \\
&\quad \times \exp\left\{ \sum_n S_n \left\{ \sum_{r=0}^n n C_r Z^r a^{+n-r} \right\} \right\} \exp(Za). \quad (3.2.16)
\end{aligned}$$

Given that a^+ , a^{+n} , a^{+r} all commute, we can combine the first three exponential operators in the above expression and write

$$= F \exp(Z^2/2) \exp \left[Za^+ - \sum_n S_n a^{+n} + \sum_n S_n \left\{ \sum_{r=0}^n n C_r Z^r a^{+n-r} \right\} \right] \\ \times \exp(Za). \quad (3.2.17)$$

Further, separating $r=0$ term,

$$= F \exp(Z^2/2) \exp \left[Za^+ - \sum_n S_n a^{+n} + \sum_n S_n a^{+n} + \sum_n S_n \left\{ \sum_{r=1}^n n C_r Z^r a^{+n-r} \right\} \right] \\ \times \exp(Za)$$

$$= F \exp(Z^2/2) \exp \left[Za^+ + \sum_n S_n \left\{ \sum_{r=1}^n n C_r Z^r a^{+n-r} \right\} \right] \\ \times \exp(Za)$$

$$= F \exp(Z^2/2) \exp \left[Za^+ + \sum_{n=1} S_n Z^n + \sum_n S_n \left\{ \sum_{r=1}^{n-1} n C_r Z^r a^{+n-r} \right\} \right] \\ \times \exp(Za)$$

$$= F \exp \left(Z^2/2 + \sum_{n=1} S_n Z^n \right) \exp \left[Za^+ + \sum_n S_n \left\{ \sum_{r=1}^{n-1} n C_r Z^r a^{+n-r} \right\} \right] \\ \times \exp(Za). \quad (3.2.18)$$

Defining a new index s via $a = n-r$ and **eliminating** r in **eq. (3.2.18)** gives us

$$\begin{aligned}
 &= F \exp \left(Z^2/2 + \sum_{n=1} S_n Z^n \right) \exp \left[Z a^+ + \sum_n S_n \left\{ \sum_{s=1}^{n-1} {}^n C_{n-s} Z^{n-s} a^{+s} \right\} \right] \\
 &\quad \times \exp(Za) \\
 &= F \exp \left(Z^2/2 + \sum_{n=1} S_n Z^n \right) \exp \left[Z a^+ + \sum_{s=1} a^{+s} \left\{ \sum_{n=s+1} S_n {}^n C_{n-s} Z^{n-s} \right\} \right] \\
 &\quad \times \exp(Za). \tag{3.2.19}
 \end{aligned}$$

We now define the following intermediate variables

$$Y_0 = F \exp \left(Z^2/2 + \sum_n S_n Z^n \right) \tag{3.2.20a}$$

$$Y_1 = Z + \sum_{n=2} S_n {}^n C_{n-1} Z^{n-2} \tag{3.2.20b}$$

$$Y_2 = \sum_{n=3} S_n {}^n C_{n-2} Z^{n-2} \tag{3.2.20c}$$

$$Y_s = \sum_{n=s+1} S_n {}^n C_{n-s} Z^{n-s} \quad s \geq 3. \tag{3.2.20d}$$

In terms of these equations, **eq. (3.2.20)** becomes

$$= Y_0 \exp \left(Y_1 a^+ + Y_2 a^{+2} + Y_3 a^{+3} + \dots \right) \exp(Za). \tag{3.2.21}$$

Combining the expressions (3.2.21) and (3.2.13) for the right hand side of the Schroedingers equation along with eq.(3.2.8) for the left hand side we obtain,

$$i \sum_n \dot{S}_n a^{+n} = \hbar\omega/4 - \hbar\omega/4 a^{+2} - \hbar\omega/4 \sum_n S_n n(n-1) a^{+(n-2)} \\ - \hbar\omega/8 \sum_{n,m} S_n S_m 2nm a^{+(n+m-2)} + Y_0 \exp \left[\sum_s Y_s a^{+s} \right]. \quad (3.2.22)$$

We now note that since the operator a acting on vacuum state gives zero, $a a$ operator does not contribute to the time evolution of that state. Similarly, $\exp(Za)$ on the right would not have any effect. To obtain explicit equations for S we have to expand the exponential in eq.(3.2.22). Thus,

$$i \sum_n \dot{S}_n a^{+n} = \hbar\omega/4 - \hbar\omega/4 a^{+2} - \hbar\omega/4 \sum_n S_n n(n-1) a^{+(n-2)} \\ - \hbar\omega/8 \sum_{n,m} S_n S_m 2nm a^{+(n+m-2)} \\ + Y_0 [1 + Y_1 a^{+} + Y_2 a^{+2} + Y_3 a^{+3} + \dots \\ + Y_1^2 a^{+2}/2! + Y_1 Y_2 a^{+3} + \dots + Y_1^3 a^{+3}/3! + \dots]. \quad (3.2.23)$$

Comparing the coefficients of a^{+n} on either side, we obtain the working equations of motion. We note that this requires no **approximations** or special mathematical techniques other than the **Hausdorff** expansion. The working equations of motion are given by

$$i\dot{S}_0 = -\hbar\omega/4 - \hbar\omega/2 S_2 - \hbar\omega/4 S_1^2 + Y_0 \quad (3.2.24a)$$

$$i\dot{S}_1 = -3\hbar\omega/2 S_3 + \hbar\omega/2 S_1 - \hbar\omega S_1 S_2 + Y_0 Y_1 \quad (3.2.24b)$$

$$i\dot{S}_2 = -3\hbar\omega S_4 + \hbar\omega/2 S_1 - 3\hbar\omega/2 S_1 S_3 - 2\hbar\omega S_2^2 + \hbar\omega S_2 + Y_0 [Y_1^2/2! + Y_2] \quad (3.2.24c)$$

and the **rest** of the equations can be obtained similarly. The auto-correlation function $A(t)$ is given by

$$A(t) = \langle 0 | U | 0 \rangle \quad (3.2.25a)$$

$$= \exp [S_0]. \quad (3.2.25b)$$

3.2b. Numerical Studies:

We have carried out calculations for three different values of $\alpha = 0.025, 0.05, 0.1$ and $F = 1$. In our calculations we have used the units in which $\hbar = 1$. Tables 3.2.1a, **3.2.1b**, 3.2.1c show the convergence of the **S-matrix** elements with respect to the truncation index N for the three different values of α after propagating the wave packet for ten time units. The values of the S-matrix elements converged very well. Although the values of the S-matrix elements at higher truncation indices are not identical, they are extremely small and do not contribute much in evaluating

TABLE 3.2.1a

S-matrix elements for the exponentially repulsive potential after 10 time units with $\alpha = 0.025$.

| N | S _n | S ₀ | S ₁ | S ₂ | S ₃ | S ₄ | S ₅ | S ₆ | S ₇ |
|---|----------------|----------------|---------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| 1 | | -0.1078e+0 | 0.3211e+0 (a) -0.1045e+3 (b) | | | | | | |
| 2 | | -0.3013e+1 | 0.7364e-1 | 0.4948e+0 | | | | | |
| | | -0.1066e+3 | 0.1975e+1 | 0.2687e-1 | | | | | |
| 3 | | -0.3018e+1 | 0.7743e-1 | 0.4943e+0 | 0.8378e-5 | | | | |
| | | -0.1067e+3 | 0.1992e+1 | 0.2407e-1 | 0.2341e-3 | | | | |
| 4 | | -0.3018e+1 | 0.7761e-1 | 0.4942e+0 | 0.1816e-4 | -0.2593e-8 | | | |
| | | -0.1067e+3 | 0.1993e+1 | 0.2386e-1 | 0.2623e-3 | -0.2159e-5 | | | |
| 5 | | -0.3018e+1 | 0.7761e-1 | 0.4942e+0 | 0.1706e-4 | -0.3672e-6 | 0.5456e-8 | | |
| | | -0.1067e+3 | 0.1993e+1 | 0.2384e-1 | 0.2645e-3 | -0.2411e-5 | 0.1948e-7 | | |
| 6 | | -0.3018e+1 | 0.7761e-1 | 0.4942e+0 | 0.1713e-4 | -0.3801e-6 | 0.6970e-8 | -0.9416e-10 | |
| | | -0.1067e+3 | 0.1993e+1 | 0.2384e-1 | 0.2646e-3 | -0.2433e-5 | 0.2169e-7 | -0.1830e-9 | |
| 7 | | -0.3018e+1 | 0.7761e-1 | 0.4942e+0 | 0.1713e-4 | -0.3812e-6 | 0.7153e-8 | -0.1154e-9 | 0.1483e-11 |
| | | -0.1067e+3 | 0.1993e+1 | 0.2384e-1 | 0.2646e-3 | -0.2434e-5 | 0.2186e-7 | -0.2028e-8 | 0.1817e-11 |

(a) real part of the S-matrix elements.

(b) imaginary part of the S-matrix elements.

TABLE 3.2.1b

S-matrix elements for the exponentially repulsive potential after 10 time units with $\alpha = 0.05$.

| N | S_n | S_0 | S_1 | S_2 | S_3 | S_4 | S_5 | S_6 | S_7 |
|---|-------|------------|--|------------|-----------|------------|-----------|------------|------------|
| 1 | | -0.1081e+0 | 0.2298e+0 ^(a) -0.5140e+2 | -0.3283e+0 | | | | | |
| 2 | | -0.2665e+1 | 0.1461e+0 | 0.4797e+0 | | | | | |
| | | -0.5357e+2 | 0.1966e+1 | 0.5191e-1 | | | | | |
| 3 | | -0.2669e+1 | 0.1531e+0 | 0.4776e+0 | 0.6589e-4 | | | | |
| | | -0.5359e+2 | 0.1979e+1 | 0.4679e-1 | 0.8903e-3 | | | | |
| 4 | | -0.2669e+1 | 0.1534e+0 | 0.4773e+0 | 0.1226e-3 | -0.3812e-5 | | | |
| | | -0.5359e+2 | 0.1980e+1 | 0.4647e-1 | 0.9851e-3 | -0.1580e-4 | | | |
| 5 | | -0.2669e+1 | 0.1534e+0 | 0.4773e+0 | 0.1284e-3 | -0.5280e-5 | 0.1516e-6 | | |
| | | -0.5359e+2 | 0.1980e+1 | 0.4645e-1 | 0.9908e-3 | -0.1701e-4 | 0.2512e-6 | | |
| 6 | | -0.2669e+1 | 0.1534e+0 | 0.4773e+0 | 0.1289e-3 | -0.5432e-5 | 0.1890e-6 | -0.4858e-8 | |
| | | -0.5359e+2 | 0.1980e+1 | 0.4645e-1 | 0.9912e-3 | -0.1709e-4 | 0.2666e-6 | -0.3621e-8 | |
| 7 | | -0.2669e+1 | 0.1534e+0 | 0.4773e+0 | 0.1289e-3 | -0.5446e-5 | 0.1929e-6 | -0.5769e-8 | 0.1372e-9 |
| | | -0.5359e+2 | 0.1980e+1 | 0.4645e-1 | 0.9912e-3 | -0.1709e-4 | 0.2666e-6 | -0.3613e-8 | 0.3522e-10 |

(a) real part of the S-matrix elements.

(b) imaginary part of the S-matrix elements.

TABLE 3.2.1c

S-matrix elements for the exponentially repulsive potential after 10 time units with $\alpha = 0.1$.

| S_n | S_0 | S_1 | S_2 | S_3 | S_4 | S_5 | S_6 | S_7 |
|-------|------------|----------------|------------|------------|------------|------------|------------|------------|
| 1 | -0.2789e+0 | 0.3369e+0 (a) | | | | | | |
| | -0.4848e+2 | -0.5842e+0 (b) | | | | | | |
| 2 | -0.1725e+2 | 0.2502e+1 | 0.3799e+0 | | | | | |
| | -0.6181e+2 | 0.4644e+1 | -0.7719e-1 | | | | | |
| 3 | -0.1829e+2 | 0.3104e+1 | 0.2860e+0 | 0.5785e-2 | | | | |
| | -0.5859e+2 | 0.3464e+1 | 0.8042e-1 | -0.4331e-2 | | | | |
| 4 | -0.1760e+2 | 0.2726e+1 | 0.3443e+0 | -0.1820e-2 | 0.3218e-3 | | | |
| | -0.5858e+2 | 0.3463e+1 | 0.5789e-1 | -0.3753e-2 | 0.8232e-5 | | | |
| 5 | -0.1764e+2 | 0.2768e+1 | 0.3289e+0 | 0.1051e-2 | 0.4536e-4 | 0.1148e-4 | | |
| | -0.5874e+2 | 0.3581e+1 | 0.2229e-1 | 0.1932e-2 | -0.5157e-3 | 0.2734e-4 | | |
| 6 | -0.1789e+2 | 0.2808e+1 | 0.3138e+0 | 0.4145e-2 | -0.3255e-3 | 0.3717e-4 | -0.7685e-6 | |
| | -0.5871e+2 | 0.3547e+1 | 0.3878e-1 | -0.2368e-2 | 0.1606e-3 | -0.3699e-4 | 0.3091e-5 | |
| 7 | -0.1767e+2 | 0.2789e+1 | 0.3245e+0 | 0.7757e-3 | 0.3220e-3 | -0.3979e-4 | 0.4528e-5 | -0.1715e-8 |
| | -0.5870e+2 | 0.3536e+1 | 0.4241e-1 | -0.2859e-2 | 0.1258e-3 | -0.1539e-4 | 0.1179e-8 | 0.1231e-8 |

(a) real part of the S-matrix elements.

(b) imaginary part of the S-matrix elements.

the dynamics of the systems. Convergence is good for $a = 0.025$ and 0.05 , but not so good for $\alpha = 0.1$. For large values of α , the potential becomes steeper resulting in greater **anharmonic** effects in the system, These effects cause the wave packet to dephase at a faster rate. **Consequently**, the convergence pattern seen for large values of a is slower than for smaller values of a .

The transition **probabilities** P for the transition from the ground to the n th eigenstate of the **hamiltonian** as a function of time are plotted in Fig. 3.2.1a, 3.2.1b and 3.2.1c for different values of α and compared with the basis set expansion method. The equation to obtain the transition **probabilities** in the algebraic formalism is given by

$$P_n = |\langle n | U_0 | 0 \rangle|^2, \quad (3.2.26)$$

These are evaluated by substituting for U_0 from **eq.** (3.2.5)

$$P_n = |\langle n | \exp \left[\sum_{k=1}^N a^{+k} S_k + S_0 \right] | 0 \rangle|^2. \quad (3.2.27)$$

For example the values of P for the first three transitions are evaluated to be

$$P_1 = |e^{S_0} [S_1]|^2 \quad (3.2.28a)$$

$$P_2 = |e^{S_0} [S_1^2/2! + S_2]|^2 \quad (3.2.28b)$$

$$P_3 = |e^{S_0} [S_1^3/3! + S_1 S_2 + S_3]|^2. \quad (3.2.28c)$$

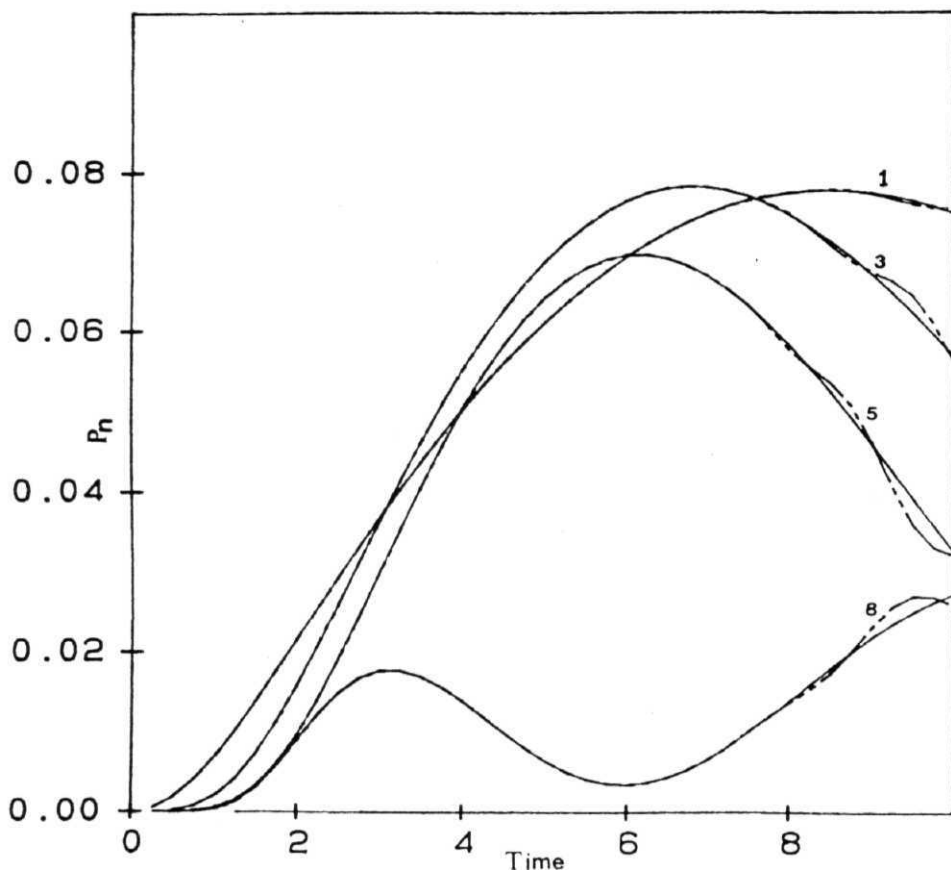


Fig. 3.2.1a: The transition probabilities P for $n = 8, 5, 3$ and 1 , which are marked above each curve, as a function of time for $\alpha = 0.025$ for the exponentially repulsive surface. Dash and double dot - basis set expansion and solid line - TDCCM at the truncation index $N = 7$.

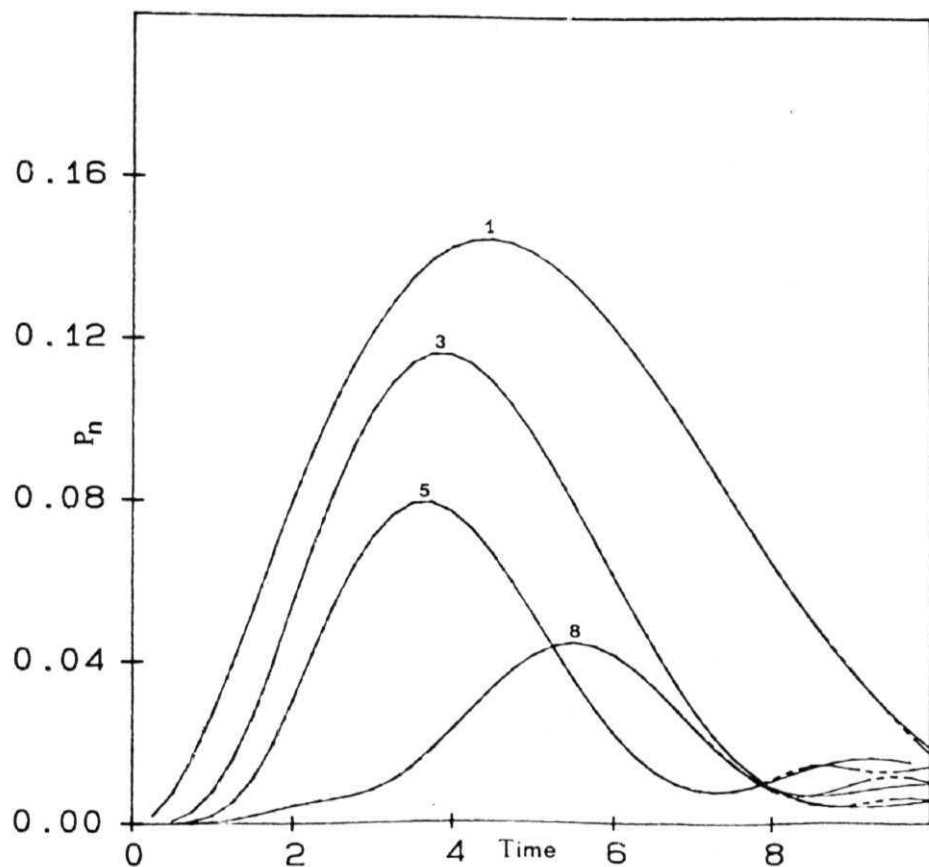


Fig. 3.2.1b: The transition probabilities P_n for $n = 8, 5, 3$ and 1 which are marked above each curve, as a function of **time** for $\alpha = 0.05$ for the **exponentially** repulsive surface. Dash and double dot - basis set expansion and solid line - TDCCM at the truncation index $N = 7$.

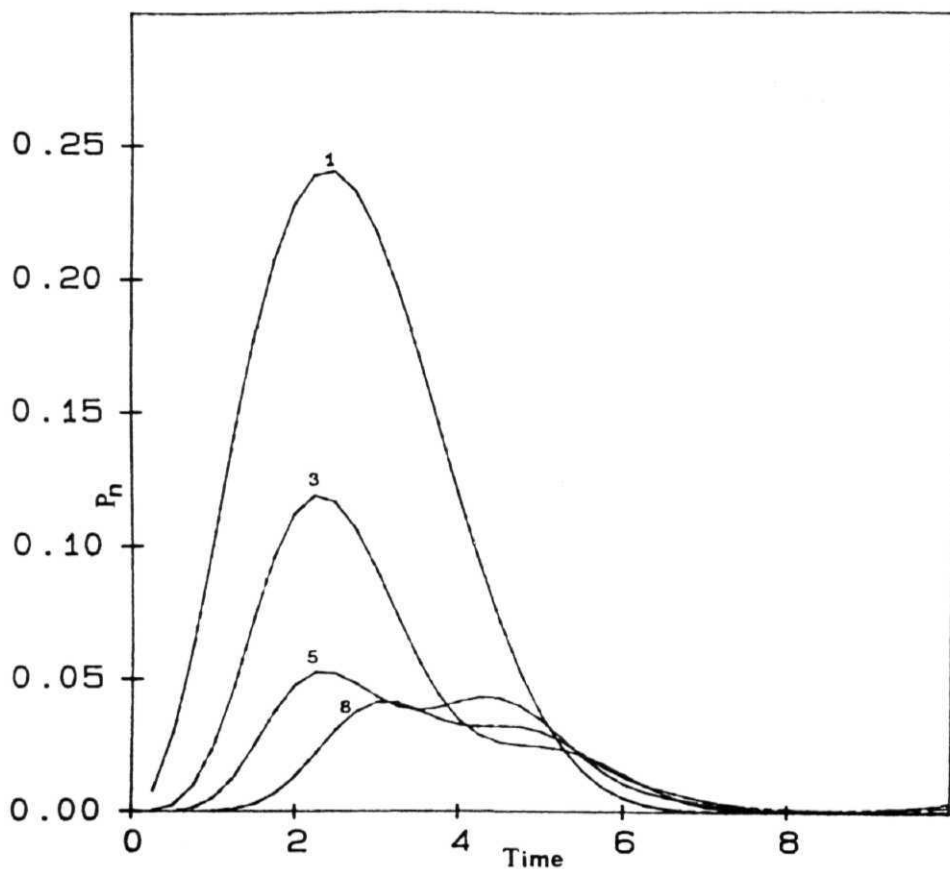


Fig. 3.2.1c: The transition probabilities P for $n = 8, 5, 3$ and 1 which are Marked above each curve, as a function of time for $\alpha = 0.1$ for the exponentially repulsive surface. Dash and double dot - basis set expansion and solid line - TDCCM at the truncation index $N = 7$.

Good agreement between the values obtained by the two approaches is seen. Nearing ten **time** units both the approaches do not tally as is seen from the figures. We attribute this to the **nonconvergence** of the basis set expansion approach which required large basis of about 120 functions. Due to lack of **computational** power we could not carry out the basis set calculations **until** convergence.

Figures 3.2.2a , 3.2.2b and 3.2.2c show the comparison of the modulus of the **autocorrelation** function plotted against time obtained from the algebraic theory at $N = 7$ with the linear **basis** set expansion method for different values of α . For carrying out calculations for longer times, the basis set expansion approach requires a still larger basis for convergence. Good agreement with the exact results is seen.

The modulus of the converged **autocorrelation** function obtained ~~from~~ the algebraic theory for longer times is shown in Fig. 3.2.3a, 3.2.3b and 3.2.3c for $\alpha = 0.025$, 0.05 and 0.1 respectively with the truncation index $N=7$. This is to show that the converged algebraic calculations could be easily carried out for longer times with as few as 7 equations in contrast to the basis set expansion method.

In **a**ll these calculations the algebraic approach **led** to a faster convergence pattern than the basis set expansion approach. Also the working equations of **m**otion in the algebraic approach were no more stiffer than the exact approach.

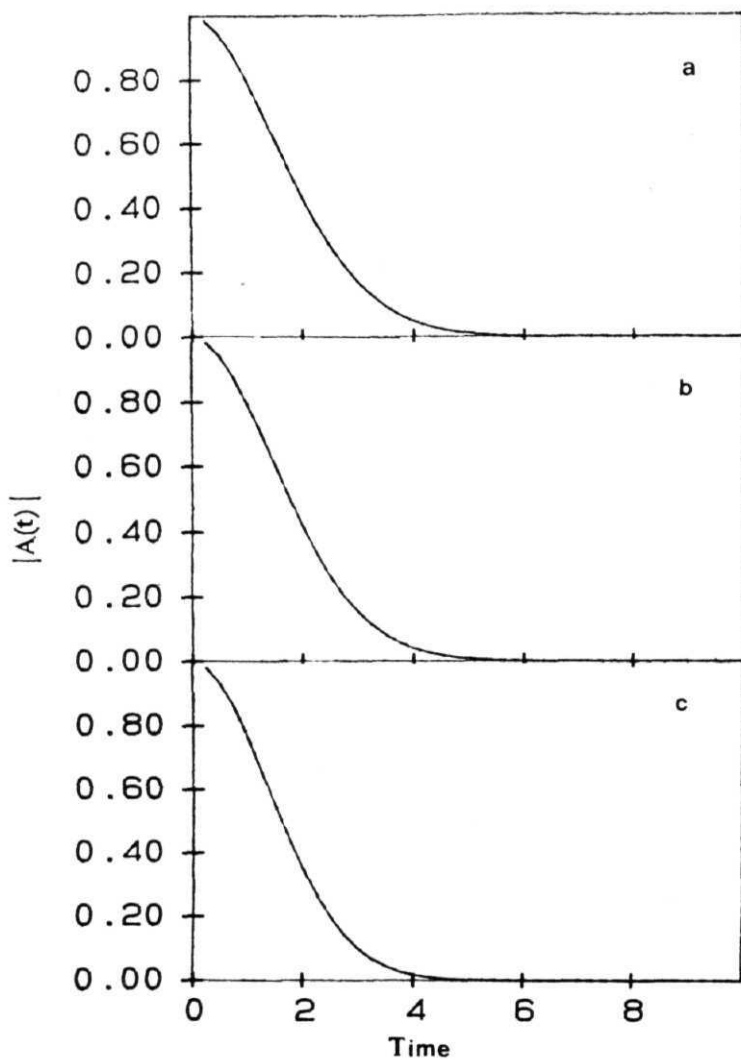


Fig. 3.2.2: Plots of the modulus of the autocorrelation function vs. time for the exponentially repulsive surface for different values of α . a) $\alpha = 0.025$, b) $\alpha = 0.05$ and c) $\alpha = 0.1$. Solid line - basis set expansion and dash and double dot - TDCCM at the truncation index $N = 7$.

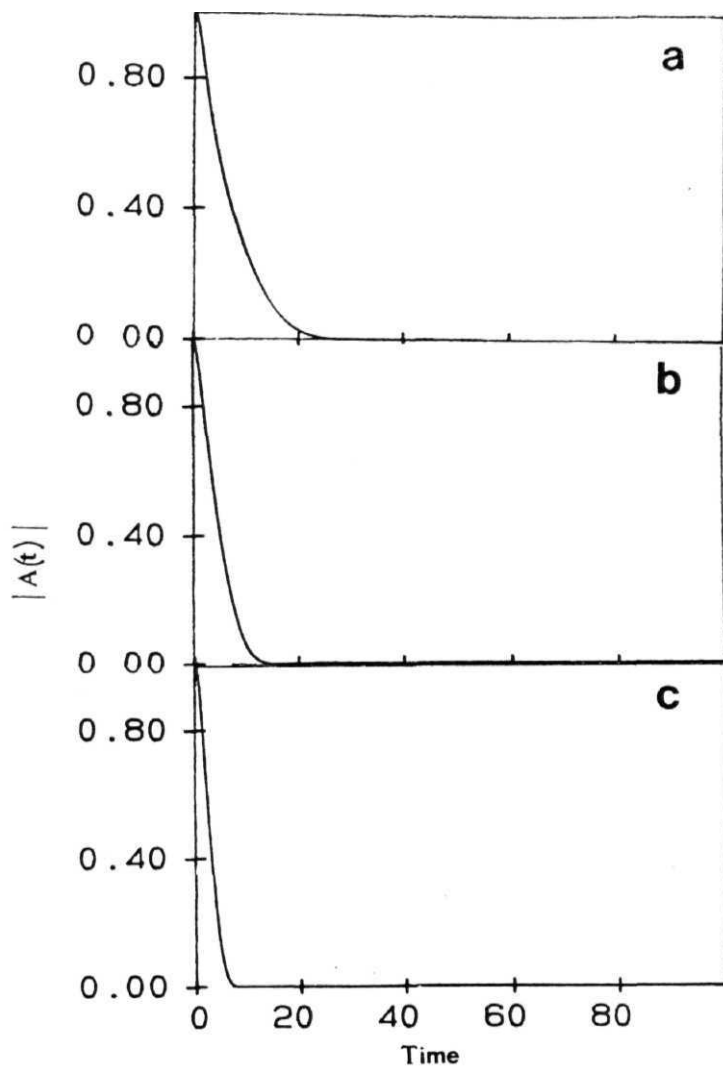


Fig. 3.2.3: Plots of the Modulus of the converged of the autocorrelation function vs. time obtained from TDCCH at the truncation index $N = 7$ for different values of α . a) $\alpha = 0.025$, b) $\alpha = 0.05$ and c) $\alpha = 0.1$.

3.3. DYNAMICS ON THE MORSE POTENTIAL ENERGY SURFACE.

As a second example, we studied the dynamics of gaussian states on a displaced Morse potential [2-6]. The Morse potential is a good **approximation** to the potential of diatomic molecules and has been the standard test potential for several dynamical studies [2]. The **hamiltonian** of this system is given by

$$H = (p - p_0)^2 / 2m + D [1 - \exp (-\alpha (q - q_0))]^2 \quad (3.3.1)$$

where D is the depth of the potential energy minimum and hence represents the dissociation energy of the system, p_0 and q are the initial momentum and initial position of the wave packet **respectively**. α is given by the equation

$$\alpha = \text{sqrt} (\mu / 2D) \omega \quad (3.3.2)$$

μ is the reduced mass of the system and ω is the harmonic frequency. The **spectroscopist's anharmonic** constant x is related to the parameters occurring in eq. (3.3.1) by

$$x_e = \omega / 4D . \quad (3.3.3)$$

The number of bound states n is given by

$$n = 2D / \hbar \omega - 1/2 . \quad (3.3.4)$$

3.3a. Working Equations.

Our next attempt is to perform convergence studies on the system. The equations of motion are obtained by solving the **time** dependent Schroedinger equation given by **eq.** (3.2.4). The corresponding evolution operator **is** given by **eq.** (3.2.5). The methodology is identical to the one described for the **exponentially** repulsive potential surface described in Sec. 3.2a. Converting the **hamiltonian** in terms of the harmonic **oscillator** ladder operators and substituting the expression for the time evolution operator in the TDSE for the R.H.S. we obtain

$$U^{-1} H U = U^{-1} \hbar\omega / 4 [2a^+a + 1 - a^{+2} - a^2] + Z (a^+ - a) + p_0^2 / 2\mu + D \exp [Z_1(a^+ + a)] - 2D \exp [Z_2(a^+ + a)] \quad (3.3.5)$$

where,

$$Z = -2p_0 \sqrt{(\mu\omega/\hbar)} i \quad (3.3.6)$$

$$Z_1 = -2\alpha \sqrt{(\hbar/2\mu\omega)} \quad (3.3.7)$$

and

$$Z_2 = 2 Z_1. \quad (3.3.8)$$

The evaluation of the first term in the equation (3.3.5) is similar to that discussed in Sec. 3.2a. The second term in the **hamiltonian** contains **linear** terms in a and a^+ . As a commutes with U the **multicommutator** Hausdorff expansion gives only Za the

rest being equal to zero. The operator a gives

$$U^{-1} a U = a + \sum S_n n a^{+(n-1)}. \quad (3.3.9)$$

The third term being a constant again commutes with S to give

$$U^{-1} p_0^2/2\mu U = p_0^2/2\mu. \quad (3.3.10)$$

The fourth term can be split into three terms as

$$U^{-1} D [1 - \exp(-\alpha(q-q_0))]^2 U = U^{-1} D U + U^{-1} D \exp[Z_1(a^+ + a)] U \\ - U^{-1} 2D \exp[Z_2(a^+ + a)] U. \quad (3.3.11)$$

Each term in the above equation is expanded as the Hausdorff expansion. From the first term we obtain

$$U^{-1} D U = D. \quad (3.3.12)$$

The second term in **eq.** (3.3.11) can be obtained similar to the derivation for the **exponentially** repulsive surface

$$U^{-1} D \exp[Z_1(a^+ + a)] U = R_0 [1 + R_1 a^+ + R_2 a^{+2} + R_3 a^{+3} + \dots \\ + R_1^2 a^{+2}/2! + R_1 R_2 a^{+3} + \dots + R_1^3 a^{+3}/3! + \dots], \quad (3.3.13)$$

The expressions for R ($r \geq 1$) are identical to those of Y in Sec.

3.2a given by the **eq.** (3.2.20), except that F and Z are replaced by D and **Z respectively**. The third term in **eq.** (3.3.11) is expanded using the Hausdorff expansion as

$$U \{-2D \exp [Z_2(a^+ + a)]\} U = X_0 [1 + X_1 a^+ + X_2 a^{+2} + X_3 a^{+3} + \dots \\ + X_1^2 a^{+2}/2! + X_1 X_2 a^{+3} + \dots + X_1^3 a^{+3}/3! + \dots \dots \dots] \quad (3.3.14)$$

Here the equations for X are again identical to the equations for Y_r given by equations (3.2.20) except that F and Z are replaced by -2D and **Z respectively**. The L.H.S. of the TDSE is given by **eq.** (3.2.8). The complete equation after **all** the expansions are done is now given by

$$i \sum S_n a^{+n} = \hbar\omega/4 - \hbar\omega/4 a^{+2} - \hbar\omega/4 \sum S_n n (n-1) a^{+(n-2)} \\ - \hbar\omega/8 \sum_{n,m} S_n S_m 2nm a^{+(n+m-2)} + \sum S_n n a^{+(n-1)} \\ + Z a^+ + p_0^2/2\mu + R_0 [1 + R_1 a^+ + R_2 a^{+2} + R_3 a^{+3} + \dots \\ + R_1^2 a^{+2}/2! + R_1 R_2 a^{+3} + \dots + R_1^3 a^{+3}/3! + \dots \dots \dots] \\ + X_0 [1 + X_1 a^+ + X_2 a^{+2} + X_3 a^{+3} + \dots \\ + X_1^2 a^{+2}/2! + X_1 X_2 a^{+3} + \dots + X_1^3 a^{+3}/3! + \dots \dots \dots] \quad (3.3.15)$$

TABLE 3.3.1a

S-matrix elements for the Morse potential after 10 time units with $q_0 = -13.45$.

| N | S_n | S_0 | S_1 | S_2 | S_3 | S_4 | S_5 | S_6 | S_7 |
|---|-------|------------|----------------|-----------|------------|------------|------------|------------|------------|
| 1 | | -0.1618e+1 | -0.3183e+0 (a) | | | | | | |
| | | -0.9348e+2 | 0.3320e+0 (b) | | | | | | |
| 2 | | -0.1858e+1 | 0.1647e+0 | 0.4403e+0 | | | | | |
| | | -0.9429e+2 | -0.1141e+1 | 0.2032e+0 | | | | | |
| 3 | | -0.1780e+1 | 0.1959e+0 | 0.4485e+0 | 0.3620e-2 | | | | |
| | | -0.9431e+2 | -0.1187e+1 | 0.1864e+0 | -0.4583e-2 | | | | |
| 4 | | -0.1786e+1 | 0.1911e+0 | 0.4489e+0 | 0.3121e-2 | -0.1528e-3 | | | |
| | | -0.9431e+2 | -0.1188e+1 | 0.1865e+0 | -0.4285e-2 | 0.5627e-4 | | | |
| 5 | | -0.1786e+1 | 0.1908e+0 | 0.4489e+0 | 0.3085e-2 | -0.1572e-3 | -0.2342e-5 | | |
| | | -0.9431e+2 | -0.1188e+1 | 0.1866e+0 | -0.4251e-2 | 0.7255e-4 | 0.4548e-5 | | |
| 6 | | -0.1786e+1 | 0.1908e+0 | 0.4488e+0 | 0.3112e-2 | -0.1488e-3 | 0.1638e-6 | 0.7575e-8 | |
| | | -0.9431e+2 | -0.1188e+1 | 0.1867e+0 | -0.4223e-2 | 0.7316e-4 | 0.3352e-5 | 0.2721e-7 | |
| 7 | | -0.1786e+1 | 0.1909e+0 | 0.4488e+0 | 0.3117e-2 | -0.1491e-3 | 0.2985e-6 | 0.5874e-8 | -0.4124e-7 |
| | | -0.9431e+2 | -0.1188e+1 | 0.1866e+0 | -0.4235e-2 | 0.7720e-4 | 0.4219e-5 | -0.1188e-6 | -0.4803e-7 |

(a) real part of the S-matrix elements.

(b) imaginary part of the S-matrix elements.

TABLE 3.3.1b

S -matrix elements for the Morse potential after 10 time units with $q_0 = -5.56$.

| N | S_0 | S_1 | S_2 | S_3 | S_4 | S_5 | S_6 | S_7 |
|---|--------------------------|-------------------------|------------------------|--------------------------|-------------------------|--------------------------|-------------------------|------------------------|
| 1 | -0.4122e+1 -0.3235e+2 | -0.1650e+1 0.1493e+1 | | | | | | |
| 2 | -0.7366e+1 -0.6906e+2 | 0.9936e+0 -0.3036e+1 | 0.3679e+0 0.3337e+0 | | | | | |
| 3 | -0.8805e+1 -0.7086e+2 | 0.7419e+0 -0.3459e+1 | 0.3364e+0 0.2617e+0 | -0.1335e-2 -0.1136e-1 | | | | |
| 4 | -0.7210e+1 -0.6987e+2 | 0.1099e+1 -0.3178e+1 | 0.3878e+0 0.3166e+0 | 0.4302e-2 -0.2029e-2 | 0.3859e-3 0.1303e-2 | | | |
| 5 | -0.7814e+1 -0.7086e+2 | 0.9708e+0 -0.3563e+1 | 0.3705e+0 0.2333e+0 | 0.2820e-2 -0.1680e-1 | 0.2581e-3 -0.9201e-3 | 0.2222e-4 -0.2824e-3 | | |
| 6 | -0.7717e+1 -0.7014e+2 | 0.9771e+0 -0.3253e+1 | 0.3678e+0 0.3050e+0 | 0.1375e-2 -0.3691e-2 | -0.7883e-4 0.1083e-2 | -0.5158e-4 -0.2898e-4 | -0.1484e-4 0.2158e-4 | |
| 7 | -0.7456e+1 -0.7057e+2 | 0.1110e+1 -0.3407e+1 | 0.4063e+0 0.2736e+0 | 0.1012e-1 -0.8157e-2 | 0.1535e-2 0.6360e-3 | 0.2034e-3 -0.5110e-4 | 0.2051e-4 0.2189e-4 | 0.4445e-5 0.2338e-6 |

(a) real part of the S -matrix elements.

(b) imaginary part of the S -matrix elements.

TABLE 3.3.1c

S-matrix elements for the Morse potential after 10 time units with $q_0 = -20.0$ and $p_0 = -8.0$

| S_n | S_0 | S_1 | S_2 | S_3 | S_4 | S_5 | S_6 | S_7 |
|-------|--------------------------|-------------------------|------------------------|--------------------------|-------------------------|-------------------------|--------------------------|-------------------------|
| 1 | -0.3264e+2 -0.9818e+2 | 0.9026e+1 0.7214e+1 | (a) (b) | | | | | |
| 2 | -0.3564e+2 -0.1092e+3 | -0.1659e+1 0.7638e+1 | 0.5241e+0 0.1330e+0 | | | | | |
| 3 | -0.3497e+2 -0.1082e+3 | -0.1748e+1 0.7880e+1 | 0.4913e+0 0.1439e+0 | -0.2425e-2 -0.2881e-2 | | | | |
| 4 | -0.3538e+2 -0.1058e+3 | -0.1831e+1 0.7814e+1 | 0.4934e+0 0.1281e+0 | -0.4777e-3 -0.3194e-2 | 0.8515e-4 0.2180e-3 | | | |
| 5 | -0.3532e+2 -0.1083e+3 | -0.1759e+1 0.7820e+1 | 0.4886e+0 0.1317e+0 | -0.1005e-2 -0.2473e-2 | -0.1782e-4 0.1745e-3 | 0.2360e-5 -0.1259e-4 | | |
| 6 | -0.3532e+2 -0.1082e+3 | -0.1787e+1 0.7828e+1 | 0.4863e+0 0.1310e+0 | -0.1082e-2 -0.2841e-2 | 0.2758e-4 0.1557e-3 | 0.5389e-5 -0.6998e-5 | -0.5852e-8 -0.5080e-6 | |
| 7 | -0.3532e+2 -0.1082e+3 | -0.1766e+1 0.7824e+1 | 0.4973e+0 0.1307e+0 | -0.8217e-3 -0.2727e-2 | 0.1865e-4 0.1803e-3 | 0.2071e-5 -0.7615e-5 | -0.5216e-8 0.7151e-7 | 0.5402e-7 -0.2420e-8 |

(a) real part of the S-matrix elements.

(b) imaginary part of the S-matrix elements.

Comparing the coefficients of a on either side of the equation we obtain the working equations of motion. The equations of motion for the first three S (n =1,2,3) are given by

$$i\dot{S}_0 = -\hbar\omega/4 - \hbar\omega/2 S_2 - \hbar\omega/4 S_1^2 + p_0^2/2\mu + R_0 + X_0 \quad (3.3.16a)$$

$$i\dot{S}_1 = -3\hbar\omega/2 S_3 + \hbar\omega/2 S_1 - \hbar\omega S_1 S_2 + Z + R_0 R_1 + X_0 X_1 \quad (3.3.16b)$$

$$i\dot{S}_2 = -3\hbar\omega S_4 + \hbar\omega/2 S_1 - 3\hbar\omega/2 S_1 S_3 - 2\hbar\omega S_2^2 + \hbar\omega S_2 + S_1 \\ + R_0 [R_1^2/2! + R_2] + X_0 [X_1^2/2! + X_2]. \quad (3.3.16c)$$

3.3b. Numerical Studies.

We have studied the convergence of the S-matrix elements which is shown in the Tables 3.3.1a, 3.3.1b and 3.3.1c for three sets of parameters corresponding to the values of $q_0 = -13.45$, -5.56 and -20.0 . \hbar , ω and μ were taken to be equal to 1. p_0 for the first two cases was taken to be zero and for the third case it was taken to be -6.0 [61]. The three values correspond to the position of the wave packet being close to the dissociation limit, half way to the dissociation limit and above the dissociation limit respectively. The convergence behaviour is seen to be good for $q = -13.45$ and -20.0 . But for $q = -5.56$, the S-matrix elements appear to be oscillating around a mean value. This may be attributed to the fact that when the initial wave packet is at -5.56 it is in the more anharmonic region of the potential

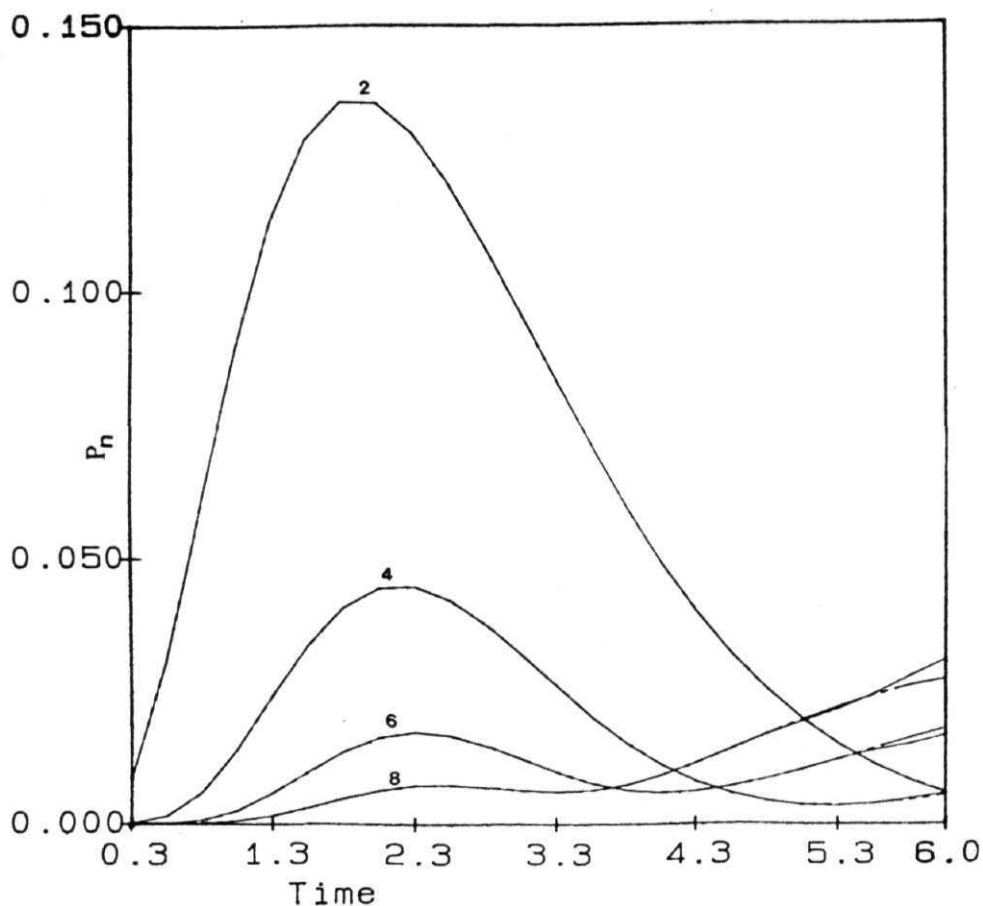


Fig. 3.3.1a: The transition probabilities P for $n = 8, 6, 4$ and 2 which are marked above each curve, as a function of time for $x_{\infty} = -13.45$ and $p_0 = 0.0$ for the Morse potential. Solid line - basis set expansion and dash and double dot - TDCCM at the truncation index $N = 7$.

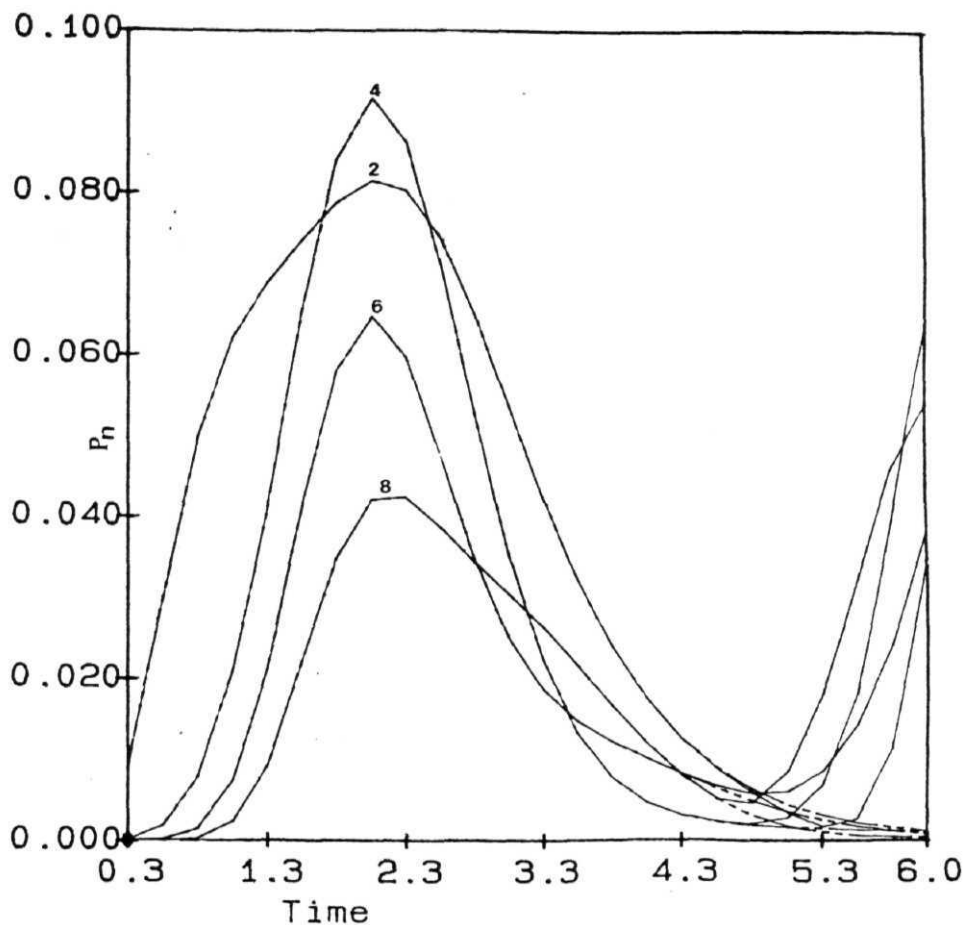


Fig. 3.3.1b: The transition probabilities P_n for $n = 8, 6, 4$ and 2 which are marked above each curve, as a function of time for $x_a = -5.56$ and $p = 0.0$ for the Morse potential. Solid line - basis set expansion and dash and double dot - TDCCM at the truncation index $N = 7$.

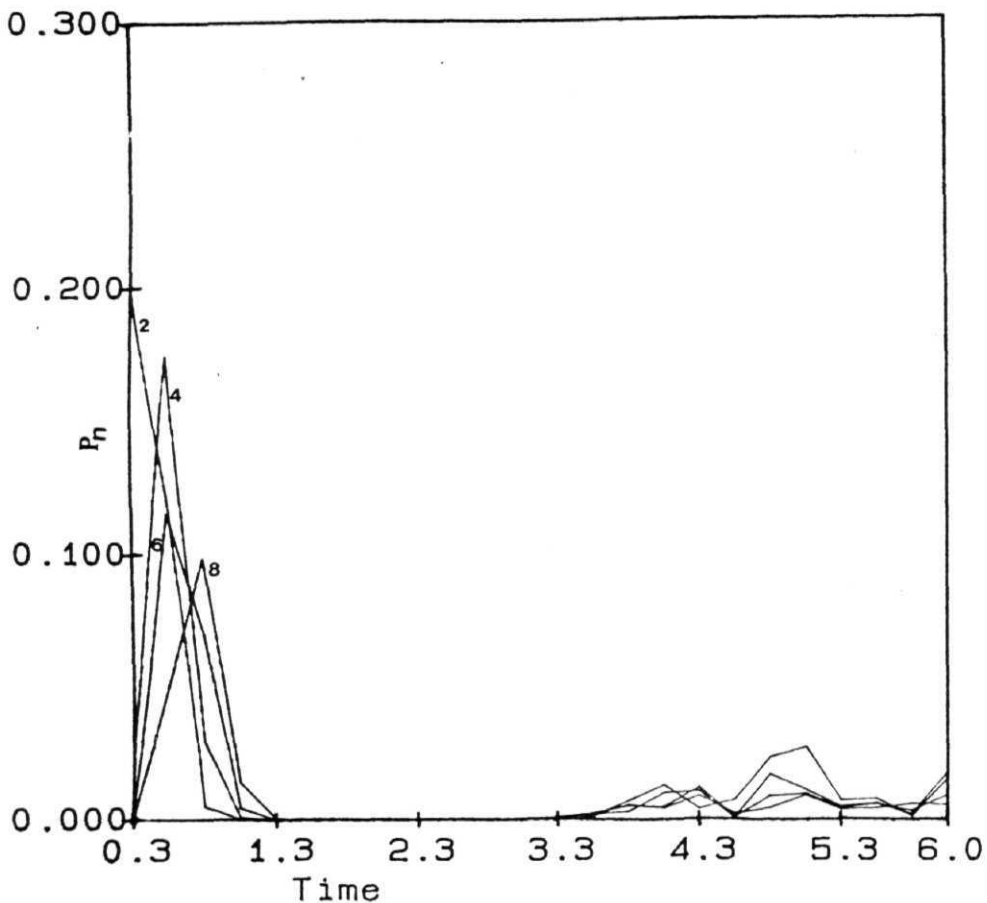


Fig. 3.3.1c: The transition probabilities P for $n = 8, 6, 4$ and 2 which are marked above each curve, as a function of time for $x_0 = -20.0$ and $p_0 = -6.0$ for the Morse potential. Solid line - basis set expansion and dash and double dot - TDCCM at the truncation index $N = 7$.

compared to the other two cases. Hence, the wave packet **experiences** greater **anharmonicities** due to which the S-matrix convergence is slightly oscillatory. Like in the case of the single **exponentially** repulsive surface, the higher **S-matrix** elements are very small in magnitude, leading to negligible contribution to the dynamics of the system.

The transition **probabilities** P from the ground to the n th eigenstate of the **hamiltonian** for $n = 2, 4, 6, 8$ obtained from the algebraic and the basis set expansion methods are shown in Fig 3.3.1a, 3.3.1b and 3.3.1c where P are plotted against time for the three sets of parameters. Both the approaches are comparable. The **deviation** of the basis set expansion method from the algebraic method is due to insufficient basis.

In Fig.3.3.2a, 3.3.2b and 3.3.2c, we have plotted the modulus of the **auto-correlation** function obtained from both the methods, against time. Good agreement is seen except that the basis set expansion approach requires even higher basis to approach convergence.

The plot of the modulus of the **autocorrelation** function obtained **from** the algebraic method at $N=7$ vs.time is shown in Fig. 3.3.3a, b and c for the three sets of parameters. **This** is to show that the converged calculations from the algebraic method could be carried out for longer times with only 7 equations of motion.

Similar to the case of the **exponentially** repulsive surface discussed in Sec. 3.2, the algebraic results show a faster convergence pattern than the basis set expansion method.

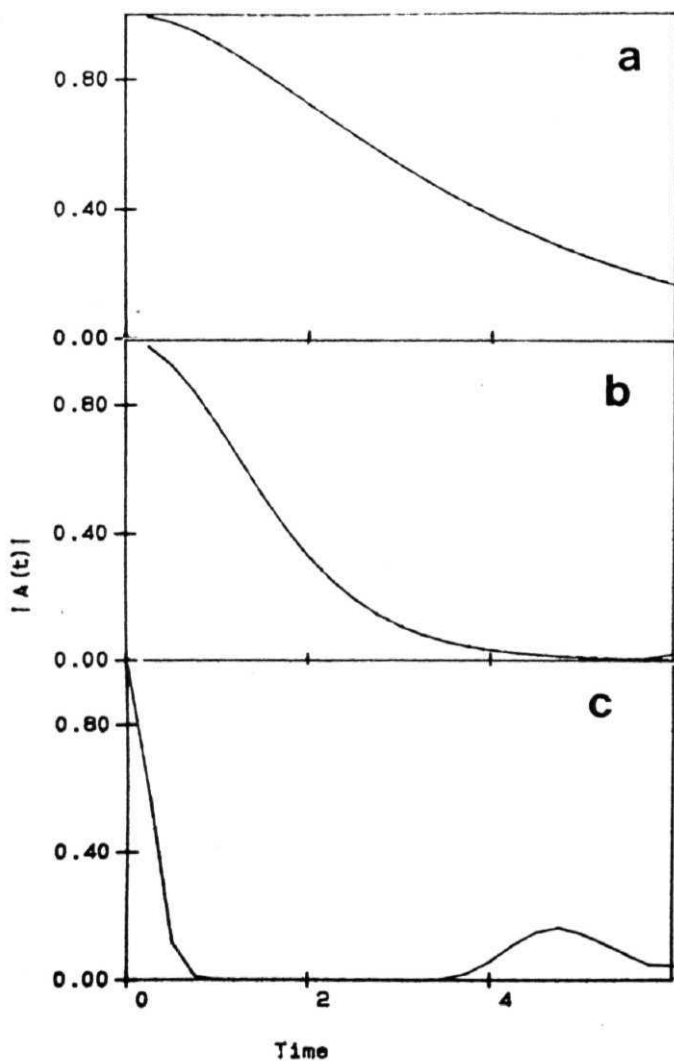


Fig. 3.3.2: Plots of the Modulus of the autocorrelation function vs. time for the Morse potential for different values of x_0 and p_0 . a) $x_0 = -13.45$ and $p_0 = 0.0$ b) $x_0 = -5.56$ and $p_0 = 0.0$ and c) $x_0 = -20.0$ and $p_0 = -6.0$. Solid line - basis set expansion and dash and double dot •• TDCCM at the truncation index $N = 7$.

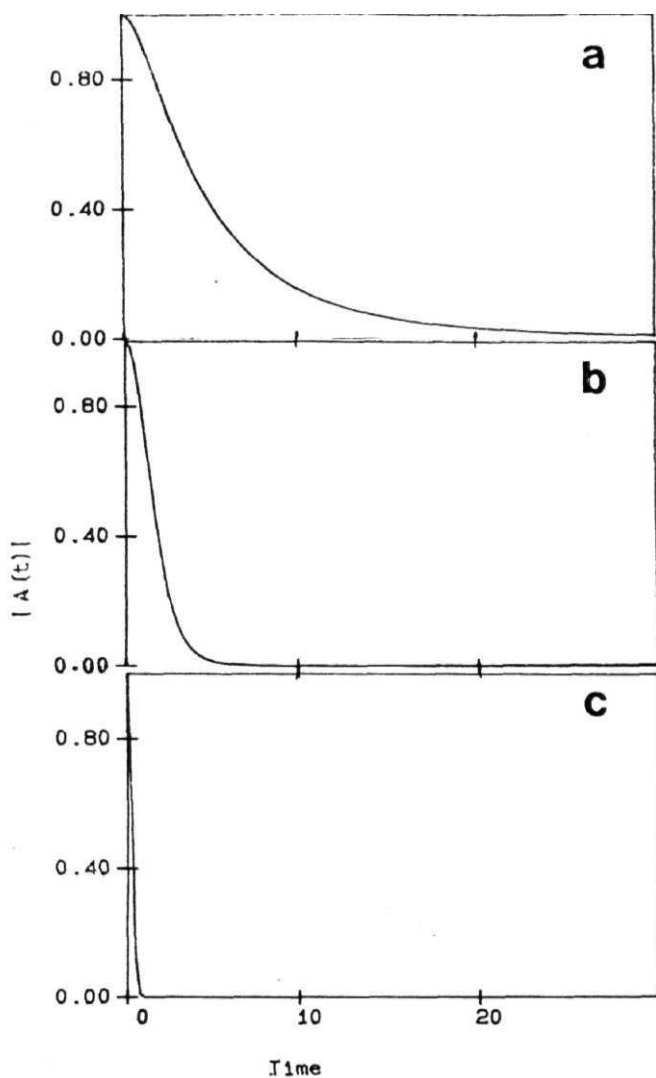


Fig. 3.3.3: Plots of the modulus of the converged autocorrelation function vs. time for the Morse potential at the truncation index $N = 7$. a) $x_0 = -13.45$ and $p_0 = 0.0$, b) $x_0 = -5.56$ and $p_0 = 0.0$ and c) $x_0 = -20.0$ and $p_0 = -8.0$.

3.4. DYNAMICS OF ATOM - DIATOM COLLISION IN THE CLASSICAL PATH APPROXIMATION.

In this section we study the dynamics of a collinear collision between an atom A and a diatomic molecule BC. This system has been studied by several authors [7-23]. The collision can be described by the equation



The diatom is approximated as a harmonic oscillator. The interaction potential between A and BC is described by an exponential potential given by

$$V(r_{AB}) = D \exp(-r_{AB}/a) \quad (3.4.2)$$

where r is the distance between A and B and D and a are constants. Let the distance between A and the centre of mass of BC be R, then R can be written as

$$R = r_{AB} - \gamma(r_e - q) \quad (3.4.3)$$

where q is the deviation of the bond distances of BC from the equilibrium position r and γ is given by

$$\gamma = m_c / (m_c + m_b). \quad (3.4.4)$$

The potential now has the form

$$V(\mathbf{R}, \mathbf{q}) = E \exp(-\mathbf{R}(t)/a) \exp(\gamma \mathbf{q}/a) \quad (3.4.5)$$

where, E is the initial collision energy and a is a system constant. Treating the **translational** motion classically, the classical trajectory $\mathbf{R}(t)$ is obtained by neglecting BC vibrations during collision and is given by [22,23]

$$\exp(-\mathbf{R}(t)/a) = \text{sech}^2[(E/2\mu)^{1/2} t/a] \quad (3.4.6)$$

where μ is the collision mass. The hamiltonian of the system is written as,

$$H = \hbar \omega a^\dagger a + F(t) \exp[Z(a^\dagger + a)] \quad (3.4.7a)$$

where

$$F(t) = E \text{Sech}^2[(E/2m)^{1/2} t/a] \quad (3.4.7b)$$

and

$$Z = (\gamma/a) \sqrt{\hbar/(2 M \omega)}. \quad (3.4.7c)$$

Most of the Lie-algebraic studies to date have been tested on this **hamiltonian** which have invoked either a **linear** or a quadratic **approximation** to the potential [7-12,15-21]. Since microscopic **reversibility** is lost in the classical path **approximation**, it is customary to define the total energy of the system E as [7].

$$E = (1/8) m (\mathbf{v}_f + \mathbf{v}_i)^2. \quad (3.4.8)$$

Here v_i and v_f are the initial and final velocities appropriate to the transition under **consideration**, m and M are the reduced mass and the mass of the **diatom** respectively, and ω is the angular frequency of the **diatom**. γ and α characterize the interaction strength. The collision energy for the systems under study was varied in the range $2 \leq E \leq 20 \hbar\omega$. The working equations of motion are obtained in the next subsection.

3.4a. Working Equations.

Since we are interested in the dynamics of the vacuum state, the evolution operator takes the form

$$U_0 = \exp \left[\sum_{n=1}^N S_n (a^\dagger)^n + S_0 \right]. \quad (3.4.9)$$

In **all** the **calculations**, the truncation index N was varied between 2 and 7. These were integrated from $-T$ to $+T$ ensuring that the appropriate transition **probabilities** were converged. The propagation of the vacuum state requires solving

$$i U_0^{-1} \dot{U}_0 = U_0^{-1} H U_0. \quad (3.4.10)$$

For the **exponentially** perturbed harmonic oscillator, we get

$$i \sum_m \dot{S}_m a^{+m} = \exp[-\sum_m S_m a^{+m}] [(a^\dagger a + 1/2)\hbar\omega + F(t)\exp\{Z(a^\dagger + a)\}] \\ \times \exp[\sum_m S_m a^{+m}]. \quad (3.4.11)$$

Using the BCH [1] formula we obtain the working equations for the

cluster amplitudes S_m

$$i \sum_m \dot{S}_m a^{+m} = (a^+ a + 1/2) \hbar \omega + \sum_m S_m a^{+m} \hbar \omega + Y_0 \exp[Z a^+ + \sum_{n \geq 2} S_n \sum_{m \geq 1} C_m Z^{n-m} a^{+m}] \exp(Z a) \quad (3.4.12)$$

where

$$Y_0 = F(t) \exp(Z^2/2) \exp(\sum_{n \geq 1} S_n Z^n) \quad (3.4.13)$$

Comparing the coefficients of a^m we obtain the following equations for the coefficients S_m .

$$i \dot{S}_0 = 1/2 \hbar \omega + Y_0 \quad (3.4.14a)$$

$$i \dot{S}_1 = S_1 \hbar \omega + Y_0 Y_1 \quad (3.4.14b)$$

$$i \dot{S}_2 = 2 S_2 \hbar \omega + Y_0 [Y_1^2/2! + Y_2] \quad (3.4.14c)$$

$$i \dot{S}_3 = 3 S_3 \hbar \omega + Y_0 [Y_1^3/3! + Y_1 Y_2 + Y_3] \quad (3.4.14d)$$

$$i \dot{S}_4 = 4 S_4 \hbar \omega + Y_0 [Y_1^4/4! + Y_1 Y_3 + Y_2^2/2! + Y_4 + Y_1^2 Y_2/2!] \quad (3.4.14e)$$

$$i \dot{S}_5 = 5 S_5 \hbar \omega + Y_0 [Y_1^5/5! + Y_1^3 Y_2/3! + Y_1^2 Y_3/2! + Y_1 Y_4 + Y_2 Y_3 + Y_5 + Y_2^2 Y_1/2!], \quad (3.4.14f)$$

TABLE - 3.4.1a

S-MATRIX ELEMENTS AT THE END OF THE TIME DEVELOPMENT FOR DIFFERENT VALUES OF TRUNCATION INDEX N.

| N | S _n | | | | | | |
|-----------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|---------------------------|--|
| | 2 | 3 | 4 | 5 | 6 | 7 | |
| n | | | | | | | |
| <hr/> | | | | | | | |
| E = 7 <i>h</i> _w | | | | | | | |
| 0 | -0.7183 -0.4109E+2 | -0.7215 -0.4108E+2 | -0.7214 -0.4108E+2 | -0.7214 -0.4108E+2 | -0.7214 -0.4108E+2 | -0.7214 -0.4109E+2 | |
| 1 | -0.6680E-1 -0.1180E+1 | -0.7356E-1 -0.1190E+1 | -0.7342E-1 -0.1190E+1 | -0.7342E-1 -0.1190E+1 | -0.7342E-1 -0.1190E+1 | -0.7342E-1 -0.1190E+1 | |
| 2 | -0.9601E-2 -0.6982E-2 | -0.8224E-2 -0.7845E-2 | -0.8177E-2 -0.7836E-2 | -0.8183E-2 -0.7832E-2 | -0.8183E-2 -0.7832E-2 | -0.8183E-2 -0.7832E-2 | |
| 3 | 0.1671E-4 0.7837E-4 | -0.1273E-1 0.1073E-3 | -0.1273E-1 0.1073E-3 | -0.1525E-4 0.1046E-3 | -0.1501E-2 0.1044E-3 | -0.1499E-2 0.1044E-3 | |
| 4 | | 0.4216E-6 -0.1162E-5 | 0.4216E-6 -0.1162E-5 | 0.1421E-5 -0.1722E-5 | 0.1499E-5 -0.1593E-5 | 0.1484E-5 -0.1587E-5 | |
| 5 | | | | -0.2177E-7 0.2703E-8 | -0.5331E-7 0.8326E-8 | -0.5479E-7 0.2900E-8 | |
| 6 | | | | | 0.2800E-9 0.6366E-9 | 0.1124E-8 0.7951E-9 | |
| 7 | | | | | | 0.1183E-10 -0.2445E-10 | |

TABLE 3.4.1a contd.

| $E = 20 \hbar\omega$ | | | | | | | | | |
|----------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| 0 | -0.4346E+1 -0.4847E+2 | -0.4389E+1 -0.4832E+2 | -0.4393E+1 -0.4832E+2 | -0.4393E+1 -0.4832E+2 | -0.4393E+1 -0.4832E+2 | -0.4393E+1 -0.4832E+2 | -0.4393E+1 -0.4832E+2 | -0.4393E+1 -0.4832E+2 | -0.4393E+1 -0.4832E+2 |
| 1 | -0.4089 -0.2747E+1 | -0.4964 -0.2808E+1 | -0.4931 -0.2814E+1 | -0.4919 -0.2813E+1 | -0.4921 -0.2813E+1 | -0.4921 -0.2813E+1 | -0.4921 -0.2813E+1 | -0.4921 -0.2813E+1 | -0.4921 -0.2813E+1 |
| 2 | -0.5806E-1 -0.4255E-1 | -0.4670E-1 -0.5553E-1 | -0.4337E-1 -0.5396E-1 | -0.4392E-1 -0.5331E-1 | -0.4404E-1 -0.5344E-1 | -0.4404E-1 -0.5344E-1 | -0.4404E-1 -0.5344E-1 | -0.4404E-1 -0.5344E-1 | -0.4404E-1 -0.5344E-1 |
| 3 | -0.4350E-4 0.81216E-3 | -0.4858E-3 0.1333E-2 | -0.6531E-3 0.1158E-2 | -0.5946E-3 0.1116E-2 | -0.5869E-3 0.1134E-2 | -0.5869E-3 0.1134E-2 | -0.5869E-3 0.1134E-2 | -0.5869E-3 0.1134E-2 | -0.5869E-3 0.1134E-2 |
| 4 | | 0.1571E-4 -0.1145E-4 | 0.4254E-4 -0.2511E-4 | 0.4898E-4 -0.1210E-4 | 0.4374E-4 -0.1041E-4 | 0.4374E-4 -0.1041E-4 | 0.4374E-4 -0.1041E-4 | 0.4374E-4 -0.1041E-4 | 0.4374E-4 -0.1041E-4 |
| 5 | | | -0.6453E-6 -0.7009E-6 | -0.1754E-5 -0.5473E-6 | -0.1864E-5 -0.1332E-5 | -0.1864E-5 -0.1332E-5 | -0.1864E-5 -0.1332E-5 | -0.1864E-5 -0.1332E-5 | -0.1864E-5 -0.1332E-5 |
| 6 | | | | -0.6756E-8 0.6637E-7 | 0.2397E-7 0.7282E-7 | 0.2397E-7 0.7282E-7 | 0.2397E-7 0.7282E-7 | 0.2397E-7 0.7282E-7 | 0.2397E-7 0.7282E-7 |
| 7 | | | | | 0.2539E-8 -0.2812E-8 | 0.2539E-8 -0.2812E-8 | 0.2539E-8 -0.2812E-8 | 0.2539E-8 -0.2812E-8 | 0.2539E-8 -0.2812E-8 |

a) Real part of S.
b) Imaginary part of S.

TABLE 3.4.1b

S-MATRIX ELEMENTS AT THE END OF THE TIME DEVELOPMENT FOR DIFFERENT VALUES OF TRUNCATION INDEX N FOR C + D₂ COLLISION.

| N | S _n | | | | | | |
|---------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|----------------------------|--|
| | 2 | 3 | 4 | 5 | 6 | 7 | |
| E = 7h _ω | | | | | | | |
| 0 | -0.2897 -0.2287e+2 | -0.2899 -0.2287e+2 | -0.2899 -0.2287e+2 | -0.2899 -0.2287e+2 | -0.2899 -0.2287e+2 | -0.2899 -0.2287e+2 | |
| 1 | -0.7151 0.2836 | -0.7157 0.2835 | -0.7157 0.2835 | -0.7157 0.2835 | -0.7157 0.2835 | -0.7157 0.2835 | |
| 2 | 0.7844e-2 0.6518e-2 | 0.7467e-2 0.6213e-2 | 0.7474e-2 0.6219e-2 | 0.7474e-2 0.6219e-2 | 0.7474e-2 0.6219e-2 | 0.7474e-2 0.6219e-2 | |
| 3 | -0.1280e-4 -0.5781e-4 | -0.1280e-4 -0.5491e-4 | -0.1159e-4 -0.5491e-4 | -0.1182e-4 -0.5497e-4 | -0.1182e-4 -0.5497e-4 | -0.1182e-4 -0.5497e-4 | |
| 4 | | | 0.9887e-7 0.3614e-6 | 0.8089e-7 0.3481e-6 | 0.8182e-7 0.3484e-6 | 0.8159e-7 0.3484e-6 | |
| 5 | | | | -0.8812e-8 -0.3070e-8 | -0.8528e-8 -0.2911e-8 | -0.8631e-8 -0.2914e-8 | |
| 6 | | | | | 0.1059e-10 0.2836e-10 | 0.8071e-11 0.2710e-10 | |
| 7 | | | | | | -0.1228e-12 -0.2849e-12 | |

Table 3.4.1b contd.

E = 20^{fm}

| | | | | | | |
|---|--------------------------|--------------------------|--------------------------|--------------------------|----------------------------|----------------------------|
| 0 | -0.1616e+1 -0.3298e+2 | -0.1619e+1 -0.3298e+2 | -0.1619e+1 -0.3298e+2 | -0.1619e+1 -0.3298e+2 | -0.1619e+1 -0.3298e+2 | -0.1619e+1 -0.3298e+2 |
| 1 | -0.1598e+1 0.7947 | -0.1604e+1 0.7912 | -0.1604e+1 0.7913 | -0.1604e+1 0.7913 | -0.1604e+1 0.7913 | -0.1604e+1 0.7913 |
| 2 | 0.3921e-1 0.2710e-1 | 0.3625e-1 0.2446e-1 | 0.3635e-1 0.2459e-1 | 0.3635e-1 0.2459e-1 | 0.3635e-1 0.2459e-1 | 0.3635e-1 0.2459e-1 |
| 3 | -0.1864e-3 -0.5388e-3 | -0.1574e-3 -0.4931e-3 | -0.1595e-3 -0.4951e-3 | -0.1595e-3 -0.4951e-3 | -0.1595e-3 -0.4951e-3 | -0.1595e-3 -0.4951e-3 |
| 4 | | 0.3254e-5 0.6289e-5 | 0.2478e-5 0.5719e-5 | 0.2554e-5 0.5749e-5 | 0.2549e-5 0.5748e-5 | 0.2549e-5 0.5748e-5 |
| 5 | | | -0.7018e-7 -0.1049e-8 | -0.5099e-7 -0.9594e-7 | -0.5309e-7 -0.9629e-7 | -0.5309e-7 -0.9629e-7 |
| 6 | | | | 0.1704e-8 0.1738e-8 | 0.1236e-8 0.1639e-8 | 0.1236e-8 0.1639e-8 |
| 7 | | | | | -0.4125e-10 -0.3064e-10 | -0.4125e-10 -0.3064e-10 |

a) Real part of S.

b) Imaginary part of S.

$$i\dot{S}_6 = 6S_6 \hbar\omega + Y_0 [Y_1^6/6! + Y_1^4 Y_2/4! + Y_1^3 Y_3/3! + Y_1^2 Y_4/2! + Y_1 Y_5 + Y_2^3/3! + Y_2^2 Y_1^2/2!2! + Y_2 Y_4 + Y_3^2/2! + Y_6 + Y_1 Y_2 Y_3] \quad (3.8g)$$

(3.4.14g)

$$i\dot{S}_7 = 7S_7 \hbar\omega + Y_0 [Y_1^7/7! + Y_1^5 Y_2/5! + Y_1^4 Y_3/4! + Y_1^3 Y_4/3! + Y_1^2 Y_5/2! + Y_1 Y_6 + Y_2^3 Y_1/3! + Y_2^2 Y_3/2! + Y_2 Y_5 + Y_3^2 Y_1/2! + Y_3 Y_4 + Y_5 Y_2 + Y_4 Y_1 Y_2 + Y_1^2 Y_2 Y_3/2! + Y_1^3 Y_2^2/3!2!] \quad (3.4.14h)$$

Here, the Y are as defined in eq. (3.2.20) except that instead of the time independent constant F we now have a time dependent function $F(t)$ and the expressions for the constant Z differ. they are given by equations (3.4.7b) and (3.4.7c) for the two cases respectively.

The transition probabilities $P_{\alpha \rightarrow \beta}$ are given by

$$P_{0 \rightarrow n} = |\langle n | U_0 | 0 \rangle|^2 \quad (3.4.15)$$

where the evaluation for the first three **probabilities** is shown in section 3.2a which correspond to the coefficients P .

3.4b. Numerical Studies.

As an initial step we studied the sensitivity of S -matrix elements on the truncation index N in eq(3.4.12) for the collision between $He + H_2$ and $C + D_2$. The results of the two systems are

TABLE - 3.4.2a

TRANSITION PROBABILITIES $0 \rightarrow 0, 1, 2, 3$ AS A FUNCTION OF THE TRUNCATION INDEX N.

| N | 2 | 3 | 4 | 5 | 6 | 7 |
|--------|--|---|--|--|--|--|
| ENERGY | | | | | | |
| 4 | 0.7544 ^a 0.1404 ^b 0.2764E-2 ^c | 0.7538 0.1415 0.2791E-2 0.8219E-6 ^d | 0.7538 0.1415 0.2791E-2 0.8221E-6 | 0.7538 0.1415 0.2791E-2 0.8221E-6 | 0.7538 0.1415 0.2791E-2 0.8221E-6 | 0.7538 0.1415 0.2791E-2 0.8221E-6 |
| 10 | 0.4742E-1 0.1666 0.2431 | 0.4659E-1 0.1680 0.2495 0.1941 | 0.4659E-1 0.1680 0.2493 0.1939 | 0.4659E-1 0.1680 0.2493 0.1939 | 0.4659E-1 0.1680 0.2493 0.1939 | 0.4659E-1 0.1680 0.2493 0.1939 |
| 16 | 0.1496E-2 0.1132E-1 0.4038E-1 | 0.1418E-2 0.1120E-1 0.4126E-1 0.9377E-1 | 0.1414E-2 0.1119E-1 0.4125E-1 0.9370E-1 | 0.1415E-2 0.1119E-1 0.4125E-1 0.9370E-1 | 0.1415E-2 0.1119E-1 0.4125E-1 0.9370E-1 | 0.1415E-2 0.1119E-1 0.4125E-1 0.9370E-1 |
| 20 | 0.1676E-3 0.1644E-2 0.7816E-2 | 0.1538E-3 0.1594E-2 0.7910E-2 0.2499E-1 | 0.1525E-3 0.1588E-2 0.7898E-2 0.2497E-1 | 0.1527E-3 0.1588E-2 0.7897E-2 0.2497E-1 | 0.1527E-3 0.1588E-2 0.7897E-2 0.2497E-1 | 0.1527E-3 0.1588E-2 0.7897E-2 0.2497E-1 |

a, b, c and d represent $0 \rightarrow 0, 0 \rightarrow 1, 0 \rightarrow 2$ and $0 \rightarrow 3$ transition probabilities respectively.

TABLE 3.4.2b

TRANSITION PROBABILITIES $0 \rightarrow 0, 1, 2, 3$ AS A FUNCTION OF THE TRUNCATION INDEX N FOR $D_2 + C$ COLLISION.

| N | 2 | 3 | 4 | 5 | 6 | 7 |
|----------------------------------|---|--|---|---|---|---|
| Energy ($\hbar\omega$ units) | | | | | | |
| 4.0 | 0.8549 ^a 0.9299e-1 ^b 0.1466e-2 ^c | 0.8549 0.9299e-1 0.1466e-2 0.7897e-6 ^d | 0.8549 0.9299e-1 0.1466e-2 0.7897e-6 | 0.8549 0.9299e-1 0.1466e-2 0.7897e-6 | 0.8549 0.9299e-1 0.1466e-2 0.7897e-6 | 0.8549 0.9299e-1 0.1466e-2 0.7897e-6 |
| 10.0 | 0.3137 0.3652 0.1759 | 0.3133 0.3656 0.1763 0.4533e-1 | 0.3133 0.3656 0.1763 0.4533e-1 | 0.3133 0.3656 0.1763 0.4533e-1 | 0.3133 0.3656 0.1763 0.4533e-1 | 0.3133 0.3656 0.1763 0.4533e-1 |
| 16.0 | 0.9135e-1 0.2301 0.2643 | 0.9129e-1 0.2301 0.2653 0.1856 | 0.9129e-1 0.2301 0.2653 0.1856 | 0.9129e-1 0.2301 0.2652 0.1856 | 0.9129e-1 0.2301 0.2652 0.1856 | 0.9129e-1 0.2301 0.2652 0.1856 |
| 20.0 | 0.3943e-1 0.1351 0.2172 | 0.3918e-1 0.1349 0.2172 0.2184 | 0.3918e-1 0.1349 0.2172 0.2184 | 0.3918e-1 0.1349 0.2172 0.2184 | 0.3918e-1 0.1349 0.2172 0.2184 | 0.3918e-1 0.1349 0.2172 0.2184 |

a, b, c and d represent $0 \rightarrow 0, 0 \rightarrow 1, 0 \rightarrow 2$ and $0 \rightarrow 3$ transition probabilities respectively.

presented in Tables 3.4.1a and 3.4.1b (for $E = 7\hbar\omega$ and $20\hbar\omega$) respectively. From the tables, it is apparent that the cluster amplitudes are fairly insensitive to the truncation index after about $N > 4$.

The transition **probabilities** from $0 \rightarrow 0, 1, 2, 3$ for some **representative** energies as a function of N are presented in tables 3.4.2a, and 3.4.2b for $\text{He} + \text{H}_2$ and $\text{C} + \text{D}_2$ systems **respectively**. As can be seen, these are also insensitive to the truncation index for $N > 4$. It is seen that for higher energies **S** is inadequate, indicating the **importance** of higher S-matrix elements.

Lastly, in Tables 3.4.3a and 3.4.3b, we present $0 \rightarrow n$ transition **probabilities** for several energies with $N=7$ for both the systems. We have also calculated the transition **probabilities** by expanding the wave function as a **linear superposition** of harmonic oscillator basis functions which are also shown in the same Tables. Good agreement of the algebraic method is seen with the basis set expansion approach.

3.5. CONCLUSIONS.

All the three systems studied in this chapter differ in the **final state interactions**. The final state interactions in the **hamiltonian** described by the **exponentially** repulsive potential are purely kinetic. The Morse potential has **anharmonic** final state **interactions**. In contrast, the system described by the **time** driven harmonic oscillator has purely harmonic final state **interactions**. In spite of having different **final** state interactions the

TABLE - 3.4.3a

TRANSITION PROBABILITIES FOR $H_2(m) + He \rightarrow H_2(n) + He$

| m-->n ENERGY (in units) | 0-->0 | 0-->1 | 0-->2 | 0-->3 | 0-->4 | 0-->5 | 0-->6 | 0-->7 | 0-->8 | 0-->9 | 0-->10 |
|-------------------------------|--|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| 2.0 | 0.9896 ^a 0.9896 ^b | 0.7875E-3 0.7875E-3 | | | | | | | | | |
| 4.0 | 0.7538 0.7538 | 0.1415 0.1415 | 0.2791E-2 0.2791E-2 | 0.8221E-6 0.8221E-6 | | | | | | | |
| 6.0 | 0.3752 0.3752 | 0.3534 0.3534 | 0.9179E-1 0.9179E-1 | 0.6502E-2 0.6535E-2 | 0.7354E-4 0.7354E-4 | 0.1512E-7 0.1512E-7 | | | | | |
| 8.0 | 0.1416 0.1416 | 0.3064 0.3064 | 0.2417 0.2417 | 0.8371E-1 0.8371E-1 | 0.1215E-1 0.1215E-1 | 0.5866E-3 0.5866E-3 | 0.5125E-5 0.5125E-5 | 0.9000E-9 0.9000E-9 | | | |
| 10.0 | 0.4659E-1 0.4659E-1 | 0.1680 0.1680 | 0.2493 0.2493 | 0.1939 0.1939 | 0.8337E-1 0.8337E-1 | 0.1905E-1 0.1905E-1 | 0.2056E-2 0.2056E-2 | 0.8045E-4 0.8045E-4 | 0.6124E-6 0.6124E-6 | 0.1125E-9 0.1014E-9 | |
| 12.0 | 0.1453E-1 0.1453E-1 | 0.7404E-1 0.7404E-1 | 0.1654 0.1654 | 0.2110 0.2110 | 0.1673 0.1673 | 0.8416E-1 0.8412E-1 | 0.2618E-1 0.2618E-1 | 0.4699E-2 0.4699E-2 | 0.4233E-3 0.4233E-3 | 0.1492E-4 0.1145E-4 | 0.1035E-6 0.1029E-6 |
| 14.0 | 0.4499E-2 0.4499E-2 | 0.2942E-1 0.2942E-1 | 0.8760E-1 0.8760E-1 | 0.1561 0.1561 | 0.1842 0.1842 | 0.1498 0.1498 | 0.8472E-1 0.8472E-1 | 0.3276E-1 0.3276E-1 | 0.8297E-2 0.8297E-2 | 0.1282E-2 0.1269E-2 | 0.1023E-3 0.1013E-3 |
| 16.0 | 0.1414E-2 0.1414E-2 | 0.1119E-1 0.1119E-1 | 0.4125E-1 0.4125E-1 | 0.9370E-1 0.9370E-1 | 0.1460 0.1460 | 0.1644 0.1644 | 0.1368 0.1368 | 0.8453E-1 0.8453E-1 | 0.3833E-1 0.3833E-1 | 0.1247E-1 0.1240E-1 | 0.2757E-2 0.2722E-2 |
| 18.0 | 0.4572E-3 0.4572E-3 | 0.4205E-2 0.4205E-2 | 0.1829E-1 0.1829E-1 | 0.4999E-1 0.4999E-1 | 0.9588E-1 0.9588E-1 | 0.1366 0.1366 | 0.1491 0.1491 | 0.1265 0.1265 | 0.8363E-1 0.8363E-1 | 0.4286E-1 0.4275E-1 | 0.1684E-1 0.1658E-1 |
| 20.0 | 0.1527E-3 0.1527E-3 | 0.1588E-2 0.1588E-2 | 0.7897E-2 0.7897E-2 | 0.2497E-1 0.2497E-1 | 0.5627E-1 0.5627E-1 | 0.9596E-1 0.9596E-1 | 0.1282 0.1282 | 0.1368 0.1368 | 0.1179 0.1179 | 0.8291E-1 0.8216E-1 | 0.4691E-1 0.4607E-1 |

a) Results of Lie-algebraic calculations with operators upto S_1 included.

b) Results of converged basis set calculations.

TABLE 3. 4. 3b

TRANSITION PROBABILITIES FOR $D_2(m) + C \rightarrow D_2(n) + C$.

| $m \rightarrow n$ | 0 \rightarrow 0 | 0 \rightarrow 1 | 0 \rightarrow 2 | 0 \rightarrow 3 | 0 \rightarrow 4 | 0 \rightarrow 5 | 0 \rightarrow 6 | 0 \rightarrow 7 | 0 \rightarrow 8 | 0 \rightarrow 9 | 0 \rightarrow 10 |
|----------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|--------------------|
| Energy (few units) | | | | | | | | | | | |
| 2.0 | 0.9885e+0 | 0.1547e-2 | a | | | | | | | | |
| | 0.9885e+0 | 0.1547e-2 | b | | | | | | | | |
| 4.0 | 0.8549e+0 | 0.8290e-1 | 0.1486e-2 | 0.7897e-8 | | | | | | | |
| | 0.8549e+0 | 0.9288e-1 | 0.1486e-2 | 0.7897e-8 | | | | | | | |
| 6.0 | 0.8485e+0 | 0.2480e+0 | 0.2895e-1 | 0.1085e-2 | 0.9108e-5 | 0.3081e-8 | | | | | |
| | 0.8485e+0 | 0.2430e+0 | 0.2895e-1 | 0.1095e-2 | 0.9118e-5 | 0.3107e-8 | | | | | |
| 8.0 | 0.4590e+0 | 0.3445e+0 | 0.9744e-1 | 0.1284e-1 | 0.7828e-3 | 0.1876e-4 | 0.2947e-5 | 0.2912e-10 | | | |
| | 0.4590e+0 | 0.3445e+0 | 0.9744e-1 | 0.1284e-1 | 0.7828e-3 | 0.1876e-4 | 0.1112e-8 | 0.2922e-10 | | | |
| 10.0 | 0.3133e+0 | 0.3658e+0 | 0.1783e+0 | 0.4583e-1 | 0.6649e-2 | 0.5505e-3 | 0.2528e-4 | 0.4312e-8 | 0.2059e-8 | 0.2172e-11 | |
| | 0.3133e+0 | 0.3658e+0 | 0.1783e+0 | 0.4583e-1 | 0.6649e-2 | 0.5505e-3 | 0.2364e-4 | 0.4312e-8 | 0.2059e-8 | 0.4572e-12 | |
| 13.0 | 0.1708e+0 | 0.3131e+0 | 0.2538e+0 | 0.1197e+0 | 0.3635e-1 | 0.7397e-2 | 0.1083e-2 | 0.9189e-4 | 0.5186e-5 | 0.2550e-8 | 0.2877e-8 |
| | 0.1708e+0 | 0.3131e+0 | 0.2538e+0 | 0.1197e+0 | 0.3635e-1 | 0.7397e-2 | 0.1015e-2 | 0.9189e-4 | 0.5186e-5 | 0.1833e-8 | 0.2312e-8 |
| 14.0 | 0.1388e+0 | 0.2880e+0 | 0.2642e+0 | 0.1445e+0 | 0.5197e-1 | 0.1288e-1 | 0.2384e-2 | 0.2703e-3 | 0.2205e-4 | 0.1819e-5 | 0.3680e-7 |
| | 0.1388e+0 | 0.2880e+0 | 0.2642e+0 | 0.1445e+0 | 0.5197e-1 | 0.1288e-1 | 0.2237e-2 | 0.2703e-3 | 0.2205e-4 | 0.1141e-5 | 0.3347e-7 |
| 16.0 | 0.9129e-1 | 0.2301e+0 | 0.2852e+0 | 0.1856e+0 | 0.8799e-1 | 0.2987e-1 | 0.7942e-2 | 0.1387e-2 | 0.1906e-3 | 0.2403e-4 | 0.1508e-5 |
| | 0.9129e-1 | 0.2301e+0 | 0.2852e+0 | 0.1856e+0 | 0.8799e-1 | 0.2987e-1 | 0.7468e-2 | 0.1387e-2 | 0.1906e-3 | 0.1894e-4 | 0.1319e-5 |
| 18.0 | 0.5985e-1 | 0.1784e+0 | 0.2489e+0 | 0.2109e+0 | 0.1245e+0 | 0.5394e-1 | 0.1881e-1 | 0.4496e-2 | 0.8885e-3 | 0.1805e-3 | 0.1790e-4 |
| | 0.5985e-1 | 0.1784e+0 | 0.2489e+0 | 0.2109e+0 | 0.1245e+0 | 0.5394e-1 | 0.1772e-1 | 0.4466e-2 | 0.8885e-3 | 0.1546e-3 | 0.1578e-4 |
| 20.0 | 0.3918e-1 | 0.1340e+0 | 0.2178e+0 | 0.2187e+0 | 0.1554e+0 | 0.8201e-1 | 0.3546e-1 | 0.1081e-1 | 0.2794e-2 | 0.6814e-3 | 0.1090e-3 |
| | 0.3918e-1 | 0.1339e+0 | 0.2178e+0 | 0.2187e+0 | 0.1554e+0 | 0.8201e-1 | 0.3347e-1 | 0.1081e-1 | 0.2794e-2 | 0.5810e-3 | 0.9898e-4 |

a) Results of Lie-algebraic calculations with operators upto S_7 included.

b) Results of converged basis set calculations.

algebraic method shows a good convergence pattern of the S-matrix elements for **all** the three systems.

In all the systems, the algebraic results converge to the exact value by about 5. while the calculations based on basis set expansion required functions between 40 to 120 for convergence. These results indicate that the **Lie-algebraic** approach has a far better convergence pattern than a **linear** basis set expansion. A few comments on the **computational** resources required for the **Lie-algebraic** approach are in order. In general, we found that the working equations by the Lie-algebraic method were no stiffer than the **corresponding** basis set expansion approach. Thus, step lengths of the same magnitude were required in both cases. Though the Lie-algebraic approach requires the integration of **nonlinear** equations, these require much less time than the basis set expansion since the number of variables required to achieve convergence is much less in this case. Also the converged algebraic calculations could be carried out for longer times with very few variables contrary to the basis set expansion method.

We now comment on the accuracy of the algebraic approach. The correlation functions were found to be very close to the exact which we have shown in sections 3.2 and 3.3. The P_n coefficients obtained from the linear basis set expansion approach and the **corresponding** coefficients obtained from the algebraic approach were also in close agreement. These results have been presented in sections 3.2 and 3.3. The transition **probabilities** calculated in the atom-diatom collisions from the algebraic method for all numerical purposes were found to be exact.

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CHAPTER 4.

APPLICATION TO MODEL TWO-DIMENSIONAL SYSTEMS

4.1. INTRODUCTION

In this chapter, we study the **applicability** of the time dependent coupled cluster method to **two-dimensional** potentials modelling realistic systems and obtain the absorption spectra. We have applied the formalism to the **photodissociation** dynamics of **linear triatomics** in the Beswick and Jortner model [1] and to the two dimensional **Henon-Heils** potential energy surface [2].

The standard approach for the calculation of absorption spectrum is via basis set expansion. In this approach, the Franck-Condon spectrum of the electronic state e is given by

$$P(\omega) = \mu_{eg}^2 \sum_n |\langle \chi_n | \chi_g \rangle|^2 \delta(\omega_n - \omega_g - \omega). \quad (4.1.1)$$

Here, χ is the vibrational ground state of the lower electronic surface and χ are the vibrational wave functions associated with the upper surface. ω and ω are the respective vibrational frequencies. The Condon **approximation** that the dipole matrix element is weakly dependent on the nuclear coordinates and hence can be approximated as a constant is invoked. Knowledge of χ and ω is required to obtain the spectrum. These are obtained by **diagonalising** the vibrational **hamiltonian** of the upper surface H in an appropriate **Hilbert** space [3]. The **computational** resources required for such a calculation scales **exponentially** with the number of degrees of freedom in the system. This provides the motivation for the development of alternate methods. One way of obtaining a spectrum is the time dependent formulation of eq.(4.1.1) in which the absorption spectrum is given by the **fourier** transform of the **autocorrelation** function $A(t)$ of χ

propagated on the upper electronic surface [4].

The expression for the absorption spectrum is obtained by making the substitution

$$\delta(\omega_n - \omega) = 1/(2\pi) \int_{-\infty}^{\infty} e^{-i(\omega_n - \omega)t} dt. \quad (4.1.2)$$

Substituting the above equation in the eq. (4.1.1) for $P(\omega)$ we obtain

$$\begin{aligned} P(\omega) &= \mu_{eg}^2 \int_{-\infty}^{\infty} e^{-i(\omega_n - \omega)t} \sum \langle \chi_g | \chi_n \rangle \langle \chi_n | \chi_g \rangle dt \\ &= \mu_{eg}^2 \int_{-\infty}^{\infty} e^{i\omega t} \langle \chi_g | e^{-i H_e t} \sum_n | \chi_n \rangle \langle \chi_n | \chi_g \rangle dt. \end{aligned} \quad (4.1.3)$$

Invoking the **completeness** theorem according to which

$$\sum_n | \chi_n \rangle \langle \chi_n | = 1. \quad (4.1.4)$$

we obtain

$$P(\omega) = \int_{-\infty}^{\infty} e^{i\omega t} \langle \chi_g | e^{-i H_e t} | \chi_g \rangle dt. \quad (4.1.5)$$

The term $\langle \chi_g | e^{-i H_e t} | \chi_g \rangle$ in the above equation is the probability amplitude that the **vibrational** wave function χ_g remains in the ground state and is called the **auto-correlation** function $A(t)$. Hence,

$$A(t) = \langle \chi_g | e^{-i H_e t} | \chi_g \rangle, \quad (4.1.6)$$

The equation for the absorption spectrum is now rewritten as

$$P(\omega) = \mu_{eg}^2 \int A(t) \exp(i\omega t) dt. \quad (4.1.7)$$

The propagation of χ on the upper electronic surface is carried out by solving the time dependent Schroedinger equation. Because of the large density of states, it is often not possible to resolve the individual vibrational levels in the spectra of dissociative surfaces and only the homogeneously broadened envelope of the spectrum is observed. The time dependent approach is particularly suited for the calculations of such spectra since one needs only short time dynamics for evaluating such envelopes.

In Sec. 4.2 we apply the TDCCM to the photodissociation dynamics of a few dissociative surfaces in the Beswick and Jortner model [1]. TDCCM converges faster than a linear superposition of basis functions since the evolution operator is taken to be an exponential ansatz. To test the validity of this, we calculate the autocorrelation functions and spectra and compare with the basis set expansion approach. The methodology is presented in Sec.4.2a and the results along with our conclusions are presented in Sec. 4.2b. In Sec.4.3a we discuss the application of the TDCCM to the Henon-Heils potential and study the norm conservation in Sec. 4.3b. In Sec.4.4 we present a few concluding remarks.

4.2. Application to **photodissociation** dynamics in the **Beswick** and Jortner model.

Study of **photodissociation** dynamics has been one of the main attractions for chemists for long [5]. As considerable **experimental** data is available, theoretical chemists find **photodissociation** dynamics, a valuable tool for the development of theory. For example, using the available **experimental** absorption spectra," we can deduce the approximate potential energy surfaces. The absorption spectra which arise due to the excitation from the ground to a repulsive state are of prime importance in understanding the **photofragmentation** dynamics of the polyatomic molecules.

4.2a. The Model **Hamiltonian**.

The Beswick and Jortner model [1] describing the **photodissociation** of a **triatomic** molecule ABC into A and BC in collinear geometry assumes that the potential surface from which excitation takes place is a harmonic surface. The ground state **hamiltonian** of the system is given by

$$H_g = -\hbar^2/2\mu_{A,BC} - \hbar^2/2\mu_{BC} + V_g \quad (4.2.1)$$

where $\mu_{A,BC}$ and μ_{BC} are the reduced masses.

$$\mu_{A,BC} = m_A(m_B + m_C)/(m_A + m_B + m_C) \quad (4.2.2)$$

$$\mu_{BC} = m_B m_C / (m_B + m_C), \quad (4.2.3)$$

The ground state potential is given by

$$V_g = 1/2 k_{BC}^{(s)} (R_{BC} - \bar{R}_{BC}^{(s)})^2 + 1/2 k_{AB}^{(s)} (R_{AB} - \bar{R}_{AB}^{(s)})^2 \quad (4.2.4)$$

where R_{AB} and R_{BC} correspond to AB and BC stretch **respectively**. $\bar{R}_{AB}^{(s)}$ and $\bar{R}_{BC}^{(s)}$ are the bond lengths of AB and BC **respectively**. $k_{BC}^{(s)}$ and $k_{AB}^{(s)}$ are the force constants corresponding to the BC and AB bonds in the ground state respectively and are evaluated from the experimental **normal** mode frequencies ω_1 and ω_2 from the **relations**

$$(k_{AB}^{(s)} / \mu_{AB}) + (k_{BC}^{(s)} / \mu_{BC}) = \omega_1^2 + \omega_2^2 \quad (4.2.5a)$$

$$k_{AB}^{(s)} k_{BC}^{(s)} = [m_A m_B m_C / (m_A + m_B + m_C)] \omega_1^2 \omega_2^2. \quad (4.2.5b)$$

Converting the ground state **hamiltonian** in terms of normal coordinates

$$H_g = \hbar \omega_1 [-1/2 \partial^2 / \partial q_1^2 + q_1^2] + \hbar \omega_2 [-1/2 \partial^2 / \partial q_2^2 + q_2^2]$$

where q_1 and q_2 are the normal coordinates of the bound system and g denotes the ground state. The excited state **hamiltonian** is taken to be [1]

$$H_e = -\hbar^2 / 2\mu_{A,BC} \partial^2 / \partial R^2 - \hbar^2 / 2\mu_{BC} \partial^2 / \partial R_{BC}^2 + 1/2 \mu_{BC} \omega_{BC}^{(d)2} (R_{BC} - R_{BC}^{(d)})^2 + A \exp(-aR) \exp(am_C / (m_B + m_C)) R_{BC} \quad (4.2.6b)$$

where

$$R = R_{AB} + [m_C / (m_B + m_C)] R_{BC}. \quad (4.2.7)$$

A is the **pre-exponential** factor and α gives the AB potential range. We now rewrite the **hamiltonian** of the dissociative surface in terms of the ground state normal coordinates. The advantage of using this coordinate **representation** is that the equation for the **autocorrelation function** turns out to be particularly simple. Converting the excited state **hamiltonian** in terms of the harmonic **oscillator** ladder operators a and a^+ given by

$$a_i = (q_i + \partial/\partial q_i) / \sqrt{2}, \quad (4.2.8a)$$

$$a_i^+ = (q_i - \partial/\partial q_i) / \sqrt{2}, \quad (4.2.8b)$$

we obtain the **following hamiltonian** equation for the **dissociative surface**.

$$\begin{aligned} H_e = & Z_0 + Z_1 a_1^+ a_1 + Z_2 a_2^+ a_2 + Z_3 (a_1^{+2} + a_1^2) + Z_4 (a_2^{+2} + a_2^2) \\ & + Z_5 (a_1^+ a_2^+ + a_1^+ a_2 + a_2^+ a_1 + a_1 a_2) + Z_6 (a_1^+ + a_1) \\ & + Z_7 (a_2^+ + a_2) + Z \exp [Z_8 (a_1^+ + a_1) + Z_9 (a_2^+ + a_2)] \end{aligned} \quad (4.2.9)$$

where, Z_i and Z are the coefficients of the operators which arise when converting the excited state **hamiltonian** in terms of the ladder operators. These coefficients are given by the equations

$$Z_3 = -\hbar\omega_1 / 4 + [k(c_{21} / (c_{12}c_{21} - c_{11}c_{22})^2)] / 4 \quad (4.2.10a)$$

$$Z_4 = -\hbar\omega_2 / 4 + [k(c_{11} / (c_{12}c_{21} - c_{11}c_{22})^2)] / 4 \quad (4.2.10b)$$

$$Z_1 = \hbar\omega_1 + 2 Z_3 \quad (4.2.10c)$$

$$Z_2 = \hbar\omega_2 + 2 Z_4 \quad (4.2.10d)$$

$$Z_5 = -kc_{21}c_{11} / [2(c_{12}c_{21} - c_{11}c_{22})^2] \quad (4.2.10e)$$

$$Z_6 = -k R c_{21} / [2(c_{12}c_{21} - c_{11}c_{22})] \quad (4.2.10f)$$

$$Z_7 = -k R c_{11} / [2(c_{12}c_{21} - c_{11}c_{22})] \quad (4.2.10g)$$

$$Z_8 = -a c_{22} / [2(c_{11}c_{22} - c_{21}c_{12})] \quad (4.2.10h)$$

$$Z_9 = -a c_{12} / [2(c_{11}c_{22} - c_{21}c_{12})] \quad (4.2.10i)$$

$$Z_0 = \hbar\omega_1 / 2 + \hbar\omega_2 / 2 + Z_3 + Z_4 + k R^2 / 2 + V_0 \quad (4.2.10j)$$

and

$$R = \bar{R}_{bc}^{(s)} - \bar{R}_{bc}^{(d)} \quad (4.2.11a)$$

$$Z = A \exp(-a \bar{R}_{ab}^{(s)}) \quad (4.2.11b)$$

$$k = 1/2 \mu_{BC} (\omega_{BC}^{(d)})^2. \quad (4.2.11c)$$

The coefficients c_{11} , c_{12} , c_{21} and c_{22} , the distances $\bar{R}_{bc}^{(d)}$ and $\bar{R}_{bc}^{(s)}$, the force constant k corresponding to the BC stretch in the excited state, the exponential constants A and a and the normal coordinates q_i are as given in ref.[1]. The time evolution operator in the TDCCM [6-9] is given by,

$$U = \exp [S^0] \quad (4.2.12a)$$

$$S^0 = \sum_{m,n} s_{mn} a_1^{+m} a_2^{+n}.$$

The autocorrelation function is given by

$$A(t) = \langle 0,0 | U | 0,0 \rangle \cdot \exp(s_{00}). \quad (4.2.13)$$

The equations of motion for the cluster amplitudes s are

obtained by substituting eq (4.2.12a) and eq.(4.2.12b) in the TDSE and **premultiplying** both sides of the equation by U and projecting on the eigenstates of the number operators:

$$\langle m, n | (iU^{-1} \dot{U} - U^{-1} H U) | 0, 0 \rangle = 0. \quad (4.2.14)$$

The resulting **expression** is evaluated by the Hausdorff expansion [10] which is shown in detail in Appendix 4.2. Explicitly the working equations are given by

$$\begin{aligned} & \langle p, q | i \sum_{m,n} \dot{S}_{mn} a_1^{+m} a_2^{+n} | 0, 0 \rangle \\ &= \langle p, q | \{ \sum_{m,n} S_{mn} Z_1^m a_1^{+m} a_2^{+n} + \sum_{m,n} S_{mn} Z_2^n a_1^{+m} a_2^{+n} \\ &+ Z_3 a_1^{+2} + \sum_{m,n} S_{m+2,n} (m+2)(m+1) a_1^{+m} a_2^{+n} \\ &+ Z_3 \sum_{m,n,x,y} S_{m-x+2,n-y} S_{x-m+2,y-n} (m-x+2)(x-m+2) a_1^{+m} a_2^{+n} \\ &+ Z_4 a_2^{+2} + Z_4 \sum_{m,n} S_{n,m+2} (m+1)(m+2) a_2^{+m} a_1^{+n} \\ &+ Z_4 [\sum_{m,n,x,y} S_{m-x,n-y+2} S_{x-m,y-n+2} (n-y+2)(x-n+2) a_1^{+m} a_2^{+n}] \\ &+ Z_5 a_1^{+} a_2^{+} + Z_5 \sum_{m,n} S_{m-1,n+1} (n+1) a_1^{+m} a_2^{+n} \\ &+ Z_5 \sum_{m,n} S_{m+1,n-1} (m+1) a_1^{+m} a_2^{+n} + Z_5 \sum_{m,n} S_{mn} (m+1)(n-1) a_1^{+m} a_2^{+n} \\ &+ Z_5 \sum_{m,n,x,y} S_{m-x+1,n-y+1} S_{x-m+1,y-n+1} [(n-y+1)(x-m+1) + \\ &(m-x+1)(y-n+1)] a_1^{+m} a_2^{+n} + Z_6 a_1^{+} \\ &+ Z_6 \sum_{m,n} S_{m+1,n} (m+1) a_1^{+m} a_2^{+n} + Z_7 a_2^{+} + Z_7 \sum_{m,n} S_{m,n+1} (n+1) a_1^{+m} a_2^{+n} \\ &+ A' \exp(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}) \exp(Z_8 a_1^{+}) \exp(Z_9 a_2^{+}) \\ &\times \sum_{l,n} Y_{ln} \sum_{m=0}^l C_m Z_8^{l-m} a_1^{+m} a_2^{+n} \rangle | 0, 0 \rangle \quad (4.2.15) \end{aligned}$$

where

$$Y_{ln} = \sum_{m=n} S_{lm} {}^m C_n z_9^{m-n}. \quad (4.2.16)$$

Here $A' = Z \exp(Z_8^2/2 + Z_9^2/2)$ The initial conditions are $s(0) = 0$. The rest of the operators do not contribute as they have the annihilation operators a and a_{\perp} to the right which give zero when acting on vacuum state.

4.2b. Results and Discussion.

We have calculated the autocorrelation functions and spectra for the photodissociation of ICN, BrCN and ClCN. The values of the parameters appearing in the hamiltonian are taken from the work of Beswick and Jortner [1] and are collected in Table 4.2.1. System I corresponds to ICN undergoing direct photodissociation. In addition we have also studied another system corresponding to ICN in the predissociation limit in which the parameter A is reduced to 0.2×10^{-4} eV (System II). This system was studied earlier by Coalson and Karplus [11]. System III corresponds to BrCN and System IV corresponds to ClCN. The TDCCM calculations were carried at various levels of approximation to the cluster operator S to understand its convergence pattern. Truncations were made such that all $s_{lm}, 0 \leq m, n < N$ were included for a given value of N where N is the

TABLE 4.2.2a

SOME S-MATRIX ELEMENTS AT THE END OF 30 FEMTO SECONDS FOR DIFFERENT VALUES OF TRUNCATION INDEX N FOR ICN IN THE PHOTODISSOCIATION LIMIT (SYSTEM I).

| | S_{nn} | | | | | | |
|-----|----------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| | N | 2 | 3 | 4 | 5 | 6 | 7 |
| 000 | | -0.1242e+2 -0.2293e+2 | -0.1303e+2 -0.2328e+2 | -0.1294e+2 -0.2337e+2 | -0.1284e+2 -0.2333e+2 | -0.1295e+2 -0.2334e+2 | -0.1284e+2 -0.2334e+2 |
| 001 | | 0.1228e+1 -0.5147e+0 | 0.1203e+1 -0.5349e+0 | 0.1211e+1 -0.5284e+0 | 0.1208e+1 -0.5306e+0 | 0.1208e+1 -0.5307e+0 | 0.1208e+1 0.5300e+0 |
| 100 | | 0.3331e+1 0.2004e+1 | 0.3408e+1 0.2182e+1 | 0.3339e+1 0.2201e+1 | 0.3348e+1 0.2173e+1 | 0.3353e+1 0.2182e+1 | 0.3348e+1 0.2182e+1 |
| 111 | | 0.2444e-2 -0.5445e-1 | -0.2635e-2 -0.3985e-1 | -0.2671e-2 -0.4831e-1 | -0.4236e-2 -0.4612e-1 | -0.5572e-2 -0.4828e-1 | -0.5008e-2 -0.4678e-1 |
| 003 | | | -0.3707e-3 -0.4403e-3 | -0.1155e-3 -0.2484e-3 | -0.2053e-3 -0.1088e-3 | -0.2508e-3 -0.1539e-3 | -0.2205e-3 -0.1888e-3 |
| 300 | | | 0.7604e-2 0.6593e-2 | 0.8557e-2 0.5732e-2 | 0.6848e-2 0.5253e-2 | 0.7183e-2 0.5666e-2 | 0.8745e-2 0.5579e-2 |
| 005 | | | | 0.4048e-5 0.8121e-5 | 0.4048e-5 0.8121e-5 | -0.3855e-5 0.5307e-5 | -0.2364e-5 0.4699e-7 |
| 500 | | | | -0.2171e-4 -0.2616e-4 | -0.2171e-4 -0.2616e-4 | -0.1124e-4 -0.1863e-4 | -0.1336e-4 -0.2104e-4 |
| 007 | | | | | | | -0.1832e-6 -0.8738e-7 |
| 700 | | | | | | | 0.1502e-8 0.1734e-8 |

a) Real part of S_{nn}

b) Imaginary part of S_{nn}

TABLE 4. 2. 2b

SOME S-MATRIX ELEMENTS AT THE END OF 30 PICO SECONDS FOR DIFFERENT VALUES OF TRUNCATION INDEX N FOR ICN IN THE DISSOCIATION LIMIT (SYSTEM II).

| N | S_{nn} | | | | | | |
|----|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--|
| | 2 | 3 | 4 | 5 | 6 | 7 | |
| 00 | -0.4327e+1 -0.1381e+2 | -0.4285e+1 -0.1387e+2 | -0.4287e+1 -0.1386e+2 | -0.4287e+1 -0.1386e+2 | -0.4287e+1 -0.1386e+2 | -0.4287e+1 -0.1386e+2 | |
| 01 | 0.1622e+1 -0.7931e+0 | 0.1614e+1 -0.7946e+0 | 0.1614e+1 -0.7946e+0 | 0.1614e+1 -0.7945e+0 | 0.1614e+1 -0.7945e+0 | 0.1614e+1 -0.7945e+0 | |
| 10 | 0.1487e+1 0.1707e+1 | 0.1393e+1 0.1695e+1 | 0.1392e+1 0.1694e+1 | 0.1391e+1 0.1695e+1 | 0.1391e+1 0.1695e+1 | 0.1391e+1 0.1695e+1 | |
| 11 | 0.2126e-3 -0.1322e-1 | 0.5049e-3 -0.2013e-1 | -0.1270e-4 -0.1989e-1 | -0.2532e-4 -0.1996e-1 | -0.7049e-4 -0.1996e-1 | -0.4632e-4 -0.1996e-1 | |
| 03 | | -0.7537e-4 -0.3087e-3 | -0.1084e-3 -0.3539e-3 | -0.1215e-3 -0.3408e-3 | -0.1221e-3 -0.3392e-3 | -0.1218e-3 -0.3390e-3 | |
| 30 | | 0.8626e-2 0.4987e-2 | 0.9384e-2 0.6357e-2 | 0.8984e-2 0.5578e-2 | 0.9180e-2 0.5619e-2 | 0.9100e-2 0.5756e-2 | |
| 05 | | | | -0.8159e-7 -0.7281e-7 | -0.1119e-6 -0.2353e-6 | -0.9510e-7 -0.1761e-6 | |
| 50 | | | | 0.2894e-4 -0.4300e-4 | 0.3541e-5 -0.2529e-4 | 0.1187e-4 -0.3782e-4 | |
| 07 | | | | | | 0.8011e-9 0.4376e-9 | |
| 70 | | | | | | -0.6575e-6 0.5517e-6 | |

a) Real part of S_{nn} .b) Imaginary part of S_{nn} .

TABLE 4.2.2c

SOME S-MATRIX ELEMENTS AT THE END OF 30 FERMI SECONDS FOR DIFFERENT VALUES OF TRUNCATION INDEX N FOR B-CN (SYSTEM III).

| m | N | S_{mn} | | | | | | |
|----|---|-------------------------|------------|------------|------------|------------|------------|--|
| | | 2 | 3 | 4 | 5 | 6 | 7 | |
| 00 | | -0.2441e+2 ^a | -0.2556e+2 | -0.2581e+2 | -0.2574e+2 | -0.2371e+2 | -0.2373e+2 | |
| | | -0.3080e+2 ^b | -0.3058e+2 | -0.3075e+2 | -0.3082e+2 | -0.3078e+2 | -0.3078e+2 | |
| 01 | | 0.7916e+0 | 0.7541e+0 | 0.7526e+0 | 0.7485e+0 | 0.7498e+0 | 0.7502e+0 | |
| | | -0.3066e+0 | -0.2268e+0 | -0.2373e+0 | -0.2365e+0 | -0.2377e+0 | -0.2372e+0 | |
| 10 | | 0.4986e+1 | 0.4838e+1 | 0.4910e+1 | 0.4882e+1 | 0.4873e+1 | 0.4881e+1 | |
| | | 0.1948e+1 | 0.1874e+1 | 0.1938e+1 | 0.1957e+1 | 0.1844e+1 | 0.1841e+1 | |
| 11 | | -0.4929e-1 | -0.1371e-1 | -0.1856e-1 | -0.1652e-1 | -0.1710e-1 | -0.1686e-1 | |
| | | -0.4512e-1 | -0.4839e-1 | -0.4536e-1 | -0.4484e-1 | -0.4554e-1 | -0.4556e-1 | |
| 03 | | -0.2745e-3 | -0.4467e-3 | -0.4467e-3 | -0.2818e-3 | -0.2584e-3 | -0.2968e-3 | |
| | | 0.4363e-3 | 0.4363e-3 | -0.7066e-5 | -0.1594e-3 | -0.8693e-4 | -0.8177e-4 | |
| 30 | | 0.7066e-2 | 0.7066e-2 | 0.831e-2 | 0.7169e-2 | 0.6568e-2 | 0.6772e-2 | |
| | | 0.6817e-2 | 0.6817e-2 | 0.5555e-2 | 0.5859e-2 | 0.5759e-2 | 0.5580e-2 | |
| 05 | | | | | -0.9155e-5 | -0.3197e-5 | -0.7374e-7 | |
| | | | | | 0.3306e-5 | -0.2763e-6 | -0.7862e-8 | |
| 50 | | | | | -0.1693e-4 | -0.5835e-5 | -0.1159e-4 | |
| | | | | | -0.8112e-5 | -0.7443e-5 | -0.8102e-5 | |
| 07 | | | | | | -0.2195e-6 | -0.7341e-7 | |
| | | | | | | 0.5901e-7 | 0.2316e-7 | |
| 70 | | | | | | | | |
| | | | | | | | | |

a) Real part of S_{mn} .b) Imaginary part of S_{mn} .

TABLE 4.2.1d

SOME S-MATRIX ELEMENTS AT THE END OF 30 PICO SECONDS FOR DIFFERENT VALUES OF TRUNCATION INDEX N FOR C1CN (SYSTEM IV).

| m | N | | | | | | |
|----|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 00 | -0.2801e+2 -0.2815e+2 | -0.2815e+2 -0.2893e+2 | -0.2841e+2 -0.2895e+2 | -0.2840e+2 -0.3004e+2 | -0.2837e+2 -0.3004e+2 | -0.2837e+2 -0.3002e+2 | -0.2837e+2 -0.3002e+2 |
| 01 | 0.3991e+0 -0.1868e+0 | 0.4520e+0 -0.9755e-1 | 0.4715e+0 -0.9483e-1 | 0.4597e+0 -0.8000e-1 | 0.4589e+0 -0.8189e-1 | 0.4840e+0 -0.9128e-1 | 0.4840e+0 -0.9128e-1 |
| 10 | 0.5322e+1 0.1334e+1 | 0.4874e+1 0.1447e+1 | 0.4935e+1 0.1472e+1 | 0.4923e+1 0.1488e+1 | 0.4918e+1 0.1494e+1 | 0.4917e+1 0.1489e+1 | 0.4917e+1 0.1489e+1 |
| 11 | -0.6834e-1 -0.1900e-1 | -0.3048e-1 -0.4001e-1 | -0.4111e-1 -0.4211e-1 | -0.3534e-1 -0.4727e-1 | -0.3812e-1 -0.4431e-1 | -0.3920e-1 -0.4489e-1 | -0.3920e-1 -0.4489e-1 |
| 03 | | 0.6084e-3 -0.1713e-4 | -0.4528e-3 -0.1300e-3 | -0.4091e-3 -0.4208e-3 | -0.3807e-3 -0.2858e-3 | -0.4274e-3 -0.1713e-3 | -0.4274e-3 -0.1713e-3 |
| 30 | | 0.8921e-2 0.6430e-2 | 0.8804e-2 0.6268e-2 | 0.7437e-2 0.5312e-2 | 0.7153e-2 0.5986e-2 | 0.7208e-2 0.5953e-2 | 0.7208e-2 0.5953e-2 |
| 05 | | | | 0.9389e-5 0.1291e-4 | -0.6523e-8 0.2812e-5 | -0.5830e-8 0.5029e-7 | -0.5830e-8 0.5029e-7 |
| 50 | | | | -0.7005e-5 -0.1286e-4 | -0.6487e-5 -0.1634e-4 | -0.7888e-5 -0.1688e-4 | -0.7888e-5 -0.1688e-4 |
| 07 | | | | | | 0.1831e-8 0.1632e-8 | 0.1831e-8 0.1632e-8 |
| 70 | | | | | | -0.1709e-7 -0.1288e-7 | -0.1709e-7 -0.1288e-7 |

a) Real part of S_{mn}
b) Imaginary part of S_{mn}

TABLE 4.2.1

Spectroscopic parameters for ABC used in the calculations.

| | ω_1 (cm^{-1}) | ω_2 (cm^{-1}) | $\bar{R}_{\text{CN}}^{(\text{s})}$ (\AA) | $\bar{R}_{\text{IC}}^{(\text{s})}$ (\AA) | $\omega_{\text{CN}}^{(\text{d})}$ (cm^{-1}) | $\bar{R}_{\text{CN}}^{(\text{d})}$ (\AA) | A (eV) | a (\AA^{-1}) |
|-------------|------------------------------------|------------------------------------|--|--|---|--|-----------|----------------------------|
| System I - | 499 | 2207 | 1.15 | 2.12 | 1763 | 1.2327 | 0.64(6) | 6.68 |
| System II - | 499 | 2207 | 1.15 | 2.12 | 1763 | 1.2327 | 0.20(6) | 6.68 |
| System III- | 580 | 2187 | 1.15 | 1.93 | 1763 | 1.2327 | 0.40(6) | 6.68 |
| System IV - | 729 | 2201 | 1.15 | 1.76 | 1763 | 1.2327 | 0.15(6) | 6.68 |

[c: The number n in the brackets correspond to multiplication with 10. The variables are as defined by Beswick and Jortner.]

truncation index. Tables 4.2.2a, 4.2.2b and 4.2.2c show the convergence pattern of a few S-matrix elements for various truncation indices for the four different systems. A good convergence pattern is seen.

The modulus of the autocorrelation functions for different N_s are plotted in Fig. 4.2.1a, b, c and d. As can be seen, the autocorrelation functions obtained by TDCCM are practically indistinguishable from the exact one by about $N_s = 3$ for the direct dissociation. The convergence of the autocorrelation function for ICN is slower in the predissociative System II (Fig. 4.2.1b), but even here, by $N_s = 4$, the TDCCM converges to

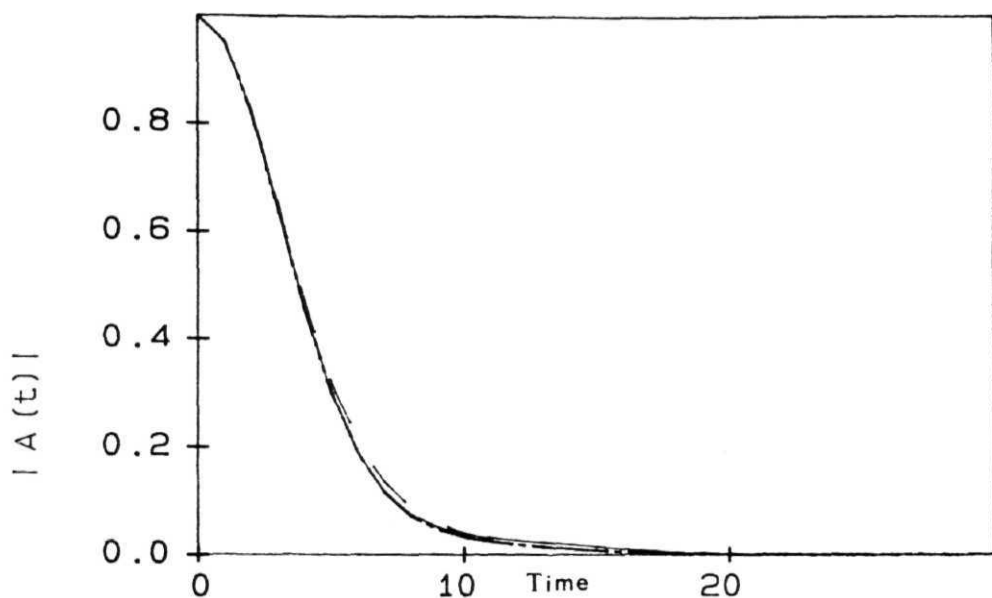


Fig. 4.2.1a: Modulus of the **autocorrelation** function for **ICN** (System I **-photodissociation limit**) for various truncation indices. **Solid line** - basis set expansion, dash and double dot TDCCM at **N=7**, dash and dot - TDCCM at **N=5**, long dashes - TDCCM at **N=3** and short dashes - TDCCM at **N=1**.

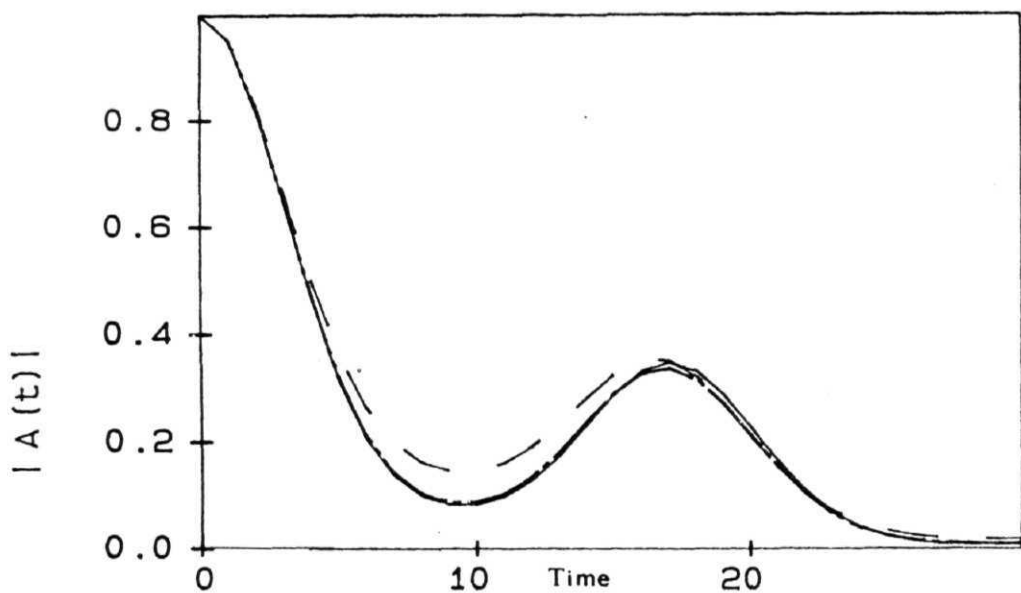


Fig. 4.2.1b: Modulus of the autocorrelation function for ICN (System II -predissociation limit) for various truncation indices. Solid line - basis set expansion, dash and double dot TDCCM at $N=7$, dash and dot - TDCCM at $N=5$, long dashes - TDCCM at $N=3$ and short dashes - TDCCM at $N=1$.

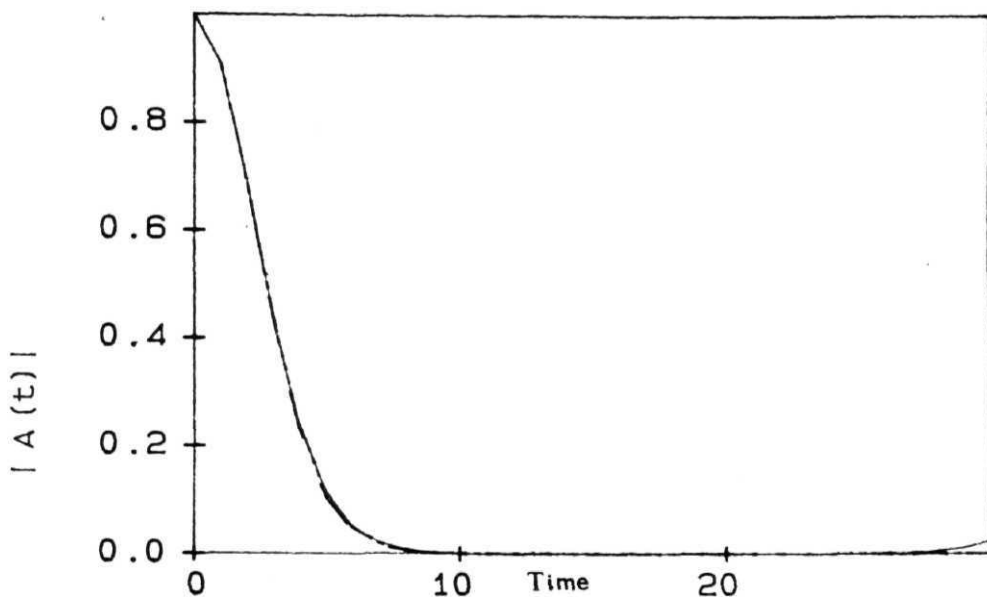


Fig. 4.2.1c: Modulus of the **autocorrelation** function for BrCN (System III for various truncation indices. Solid line - basis set expansion, dash and double dot - TDCCM at **N=7**, **dash** and dot - TDCCM at $N = 5$, long dashes - TDCCM at $N=3$ and short dashes - TDCCM at $N=1$.

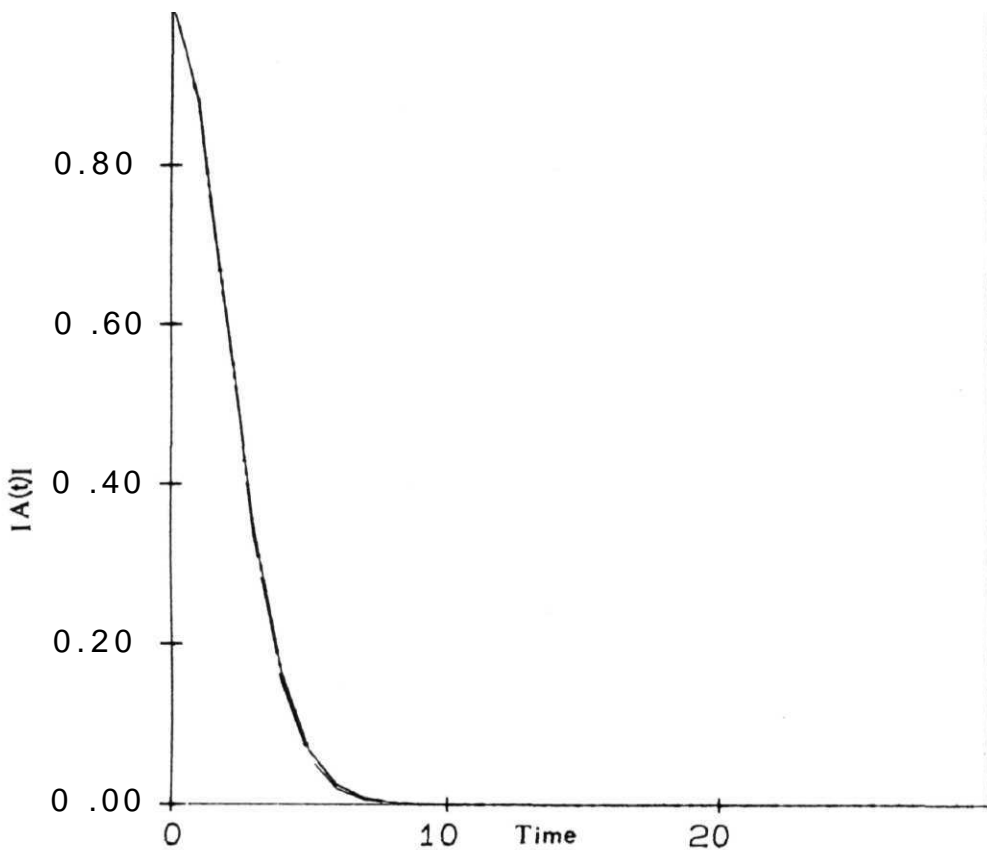


Fig. 4.2.1d: Modulus of the autocorrelation function for ClCN (System IV) for various truncation indices. dash and double dot - TDCCM at $N=7$, dash and dot - TDCCM at $N=5$, long dashes - TDCCM at $N=3$ and short dashes - TDCCM at $N=1$.

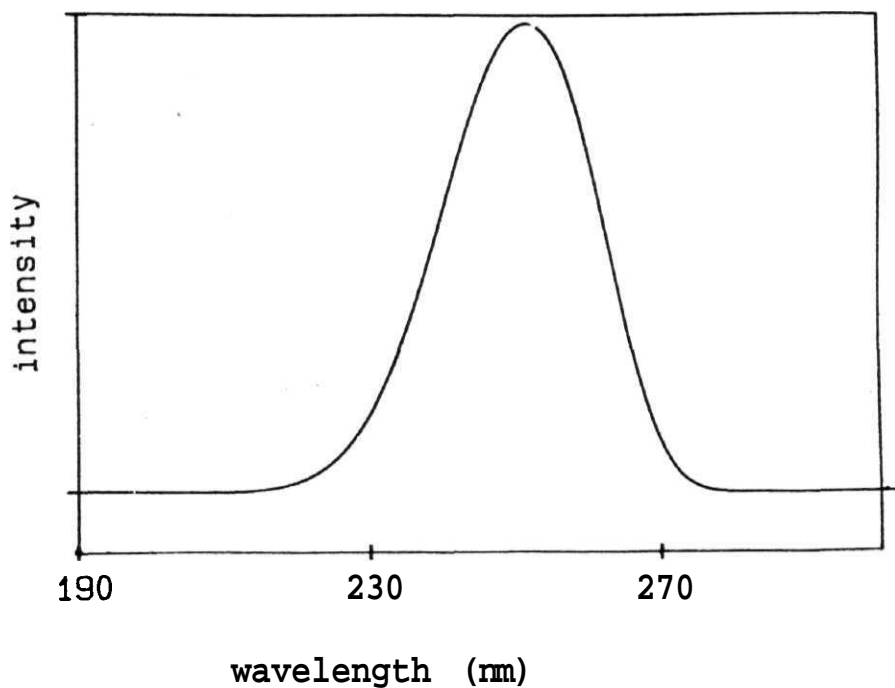


Fig. 4.2.2a: Photodissociation spectrum of ICN (System I) obtained from TDCCM at the truncation index $N=7$.

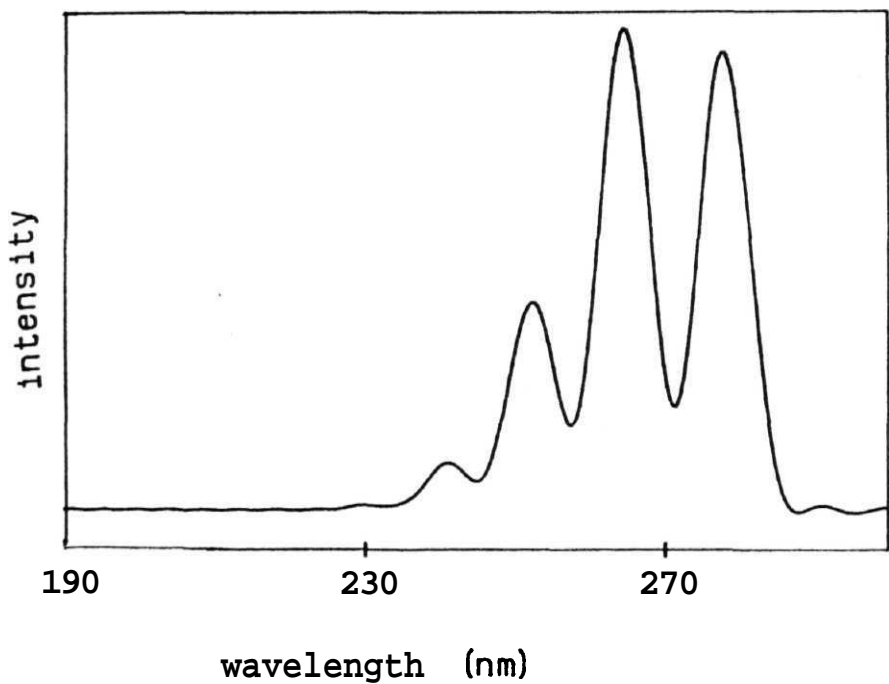


Fig. 4.2.2b: Photodissociation spectrum of ICN (System II) obtained from TDCCM at the truncation index $N=7$.

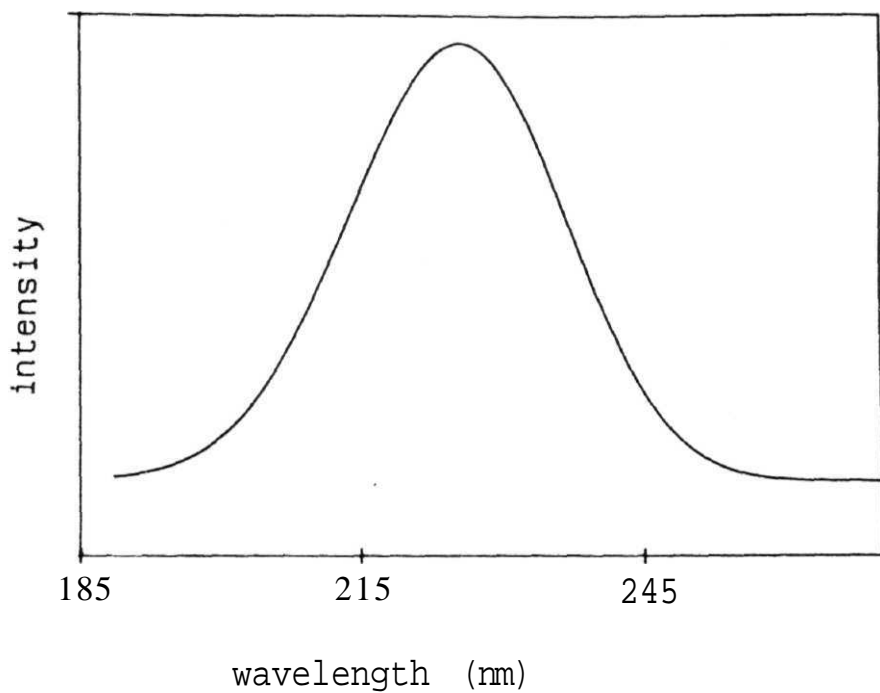


Fig. 4.2.2c: Photodissociation spectrum of BrCN (System III) obtained from TDCCM at the truncation index $N=7$.

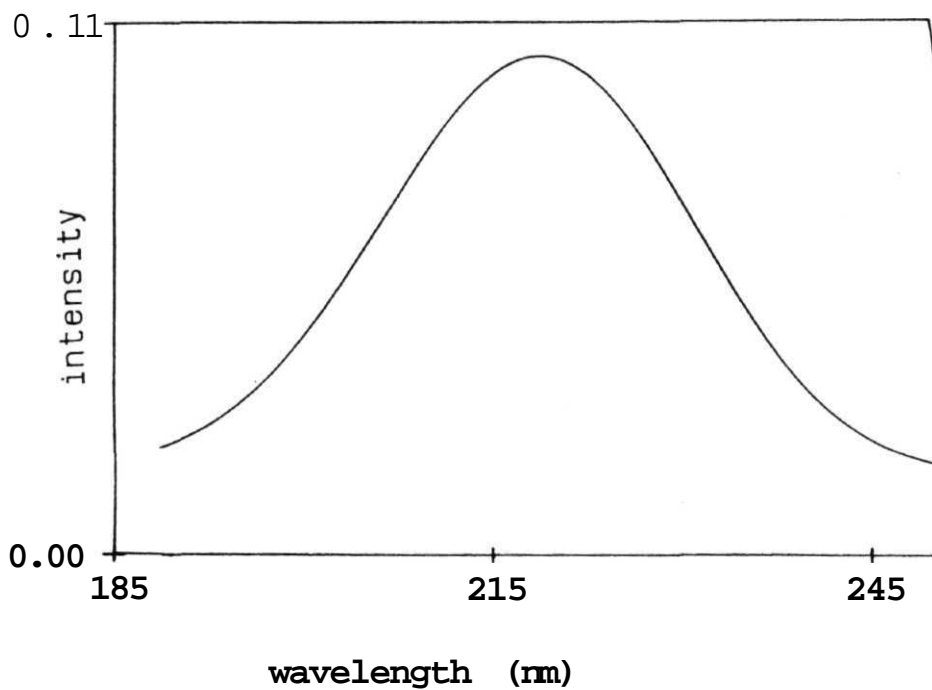


Fig. 4.2.2d: Photodissociation spectrum of ClCN (System IV) obtained from TDCCM at the truncation index $N=7$.

the exact value. The **TDCCM** requires 25 variables ($[N+1]$) at $N = 4$. On the other hand, the basis set calculations required about 900 functions (30 along each coordinate) for convergence in this coordinate frame. Though non-linear, the TDCCM equations were not stiff and required very little CPU time. The spectra for the systems at $N = 7$ are presented in Fig. 4.2.2a, b, c and d.

More recently **Coalson and Karplus [11]** observed that the variational **GWP** in the Hartree approximation gave an artificial negative absorption for a two dimensional dissociative surface. While this can be corrected by using dynamical basis sets that move along the classical trajectories [12], the **computational requirements** once again scale exponentially with the number of degrees of freedom.

4.3. APPLICATION TO HENON - HEILS SYSTEM.

In this section we test the **applicability** of TDCCM to a two dimensional Henon-Heils system [2]. The conservation of the norm of the wave function is studied.

4.3a. The Model **Hamiltonian**.

The **hamiltonian** corresponding to the Henon-Heils potential energy surface is given by

$$H = h(1) + h(2) + \lambda (q_2^2 q_1 + \eta q_1^3) \quad (4.3.1)$$

$$h(i) = -1/2 \partial^2 / \partial q_i^2 + 1/2 \omega_i^2 q_i^2 \quad ; i=1,2. \quad (4.3.2)$$

The equations of motion for the ground state propagation of the system are obtained similar to the previous section i.e, by solving the TDSE. Converting the **hamiltonian** in terms of boson creation and annihilation operators we obtain

$$H = (a_1^\dagger a_1 + 1/2) \hbar\omega_1 + (a_2^\dagger a_2 + 1/2) \hbar\omega_2 + \lambda [(a_2^\dagger + a_2)^2 (a_1^\dagger + a_1) + \eta (a_1^\dagger + a_1)^3] \quad (4.3.3a)$$

Rearranging the hamiltonian we obtain

$$\begin{aligned} H = & \bar{\omega}_x a_x^\dagger a_x + \bar{\omega}_y a_y^\dagger a_y + A_x (a_x^\dagger + a_x) + A_y (a_y^\dagger + a_y) + B_x (a_x^\dagger a_x^\dagger + a_x a_x) \\ & + B_y (a_y^\dagger a_y^\dagger + a_y a_y) + C (a_x^\dagger a_y^\dagger + a_x^\dagger a_y + a_x a_y^\dagger + a_x a_y) + \\ & D (a_x^{+2} a_y + 2a_x^\dagger a_x a_y + a_x^2 a_y + a_x^{+2} a_y + 2a_x^\dagger a_x a_y^\dagger + a_x^2 a_y^\dagger) + F \end{aligned} \quad (4.3.3b)$$

where,

$$\bar{\omega}_x = \omega_x + (\hbar/2m\omega_x) 2\lambda y_0 \quad (4.3.3c)$$

$$\bar{\omega}_y = \omega_y \quad (4.3.3d)$$

$$A_x = (x_0 \omega_x^2 + 2\lambda x_0 y_0) \sqrt{\hbar/(2m\omega_x)} \quad (4.3.3e)$$

$$A_y = (y_0 \omega_y^2 + \lambda x_0) \sqrt{\hbar/(2m\omega_y)} + \lambda / \sqrt{8\omega_x \omega_y} \quad (4.3.3f)$$

$$B_x = 2\lambda y_0 \hbar / (4m\omega_x) \quad (4.3.3g)$$

$$B_y = 0 \quad (4.3.3h)$$

$$C_{xy} = \lambda x_0 \hbar / (m \sqrt{\omega_x \omega_y}) \quad (4.3.3i)$$

$$D = [\lambda \hbar / (2m\omega_x)] \sqrt{\hbar/(2m\omega_y)} \quad (4.3.3j)$$

$$F = \lambda x_0 y_0 + 1/2 \omega_x x_0 + 1/2 \omega_y y_0. \quad (4.3.3k)$$

The equations of motion are obtained by truncating at $N = 1$ such that $m+n=1$ of S_{mn} . This simplifies the evaluation of the norm. The derivation is fairly **straightforward** and the final equations are

$$\begin{aligned} \dot{s}_{00} = & A_x s_{10} + A_y s_{01} + B_x s_{10}^2 + B_y s_{01}^2 + 2C_{xy} s_{10} s_{01} \\ & + D s_{10}^2 s_{01} + F \end{aligned}$$

$$\dot{s}_{01} = A_y + C_{xy} s_{10} + \bar{\omega}_y s_{01} + D s_{10}^2$$

$$\dot{s}_{10} = A_x + \bar{\omega}_x s_{10} + C_{xy} s_{01} + 2D s_{10} s_{01}$$

The norm of the wave function is given by

$$\text{Norm} = \exp [s_{10} s_{10}^* + s_{01} s_{01}^* + s_{00} + s_{00}^*].$$

4.3b. Numerical studios.

We have tested the norm of the wave function for two **model** systems [2]. The parameters are given in Table 4.3.1. The plots of the negative logarithm of the norm vs. **time** for the two systems are presented in Fig. 4.3.1a and 4.3.1b. For System I (Fig. 4.3.1a), the norm is seen to be conserved for all practical purposes as the values at different times vary by an order of 10. System II (Fig. 4.3.1b) shows an altogether different behaviour. The norm in this case is seen to be lost. This loss of

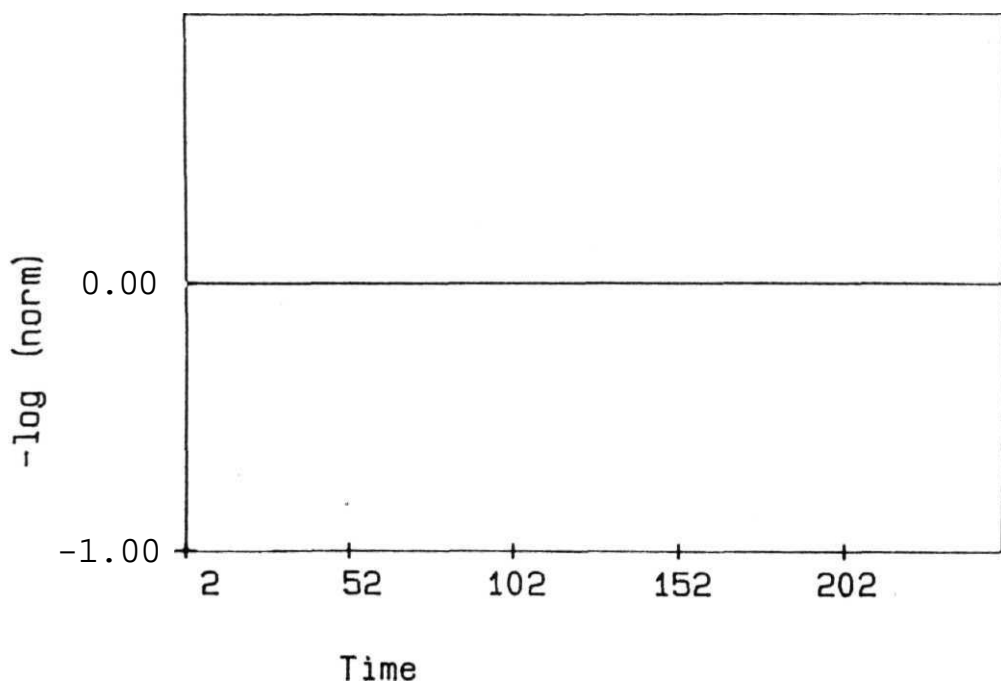


Fig. 4.3.1a: Plot of the logarithm of the norm vs. time for Henon-Heils System I.

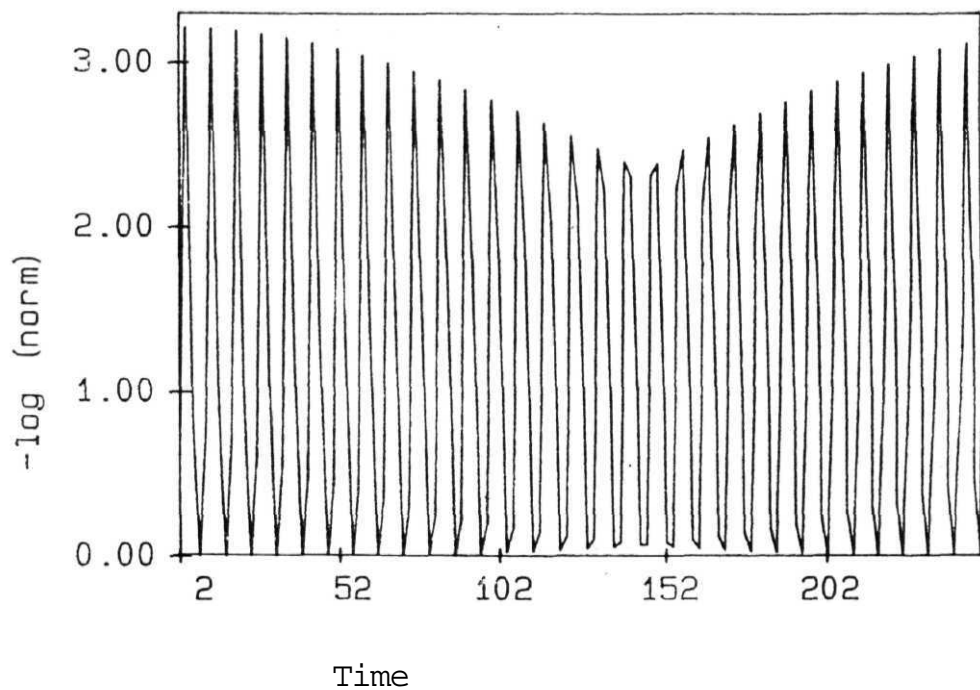


Fig. 4.3.1b: Plot of the logarithm of the norm vs. time for Henon-Heils System II.

norm occurs because the TDCCM **approximations** can lead to the violation of the **hermiticity** of the **hamiltonian** [13]. From chapter 2, the governing equations in the TDCCM approach for the vacuum state propagation is

$$\langle n | \bar{H} - iU^{-1} \dot{U} | 0 \rangle = 0 \quad \forall n. \quad (2.2.26a)$$

where

$$\bar{H} = U^{-1} H U \quad (4.3.6)$$

in any practical calculation, the cluster operator S is truncated to some finite rank. **Consequently**, all the equations (2.2.26a) are not satisfied. This is equivalent to replacing \bar{H} with some H . in (2.2.26a) such that

$$\bar{H}_A = \bar{H} - \bar{R} \quad (4.3.7a)$$

$$\langle n | \bar{R} | 0 \rangle = 0, \quad \text{if } \langle n | \bar{H} - iU^{-1} \dot{U} | 0 \rangle = 0 \quad (4.3.7b)$$

$$\langle n | \bar{R} | 0 \rangle = \langle n | \bar{H} | 0 \rangle, \quad \text{if } \langle n | \bar{H} - iU^{-1} \dot{U} | 0 \rangle \neq 0 \quad (4.3.7c)$$

If H_A is the hamiltonian from H which is generated by the similarity **transformation** (i.e, $H_A = U^{-1} H U$), then the TDCCM solution follows the dynamics generated by H_A . H_A is related to the original hamiltonian through the relation

$$\begin{aligned} H_A &= U \bar{H}_A U^{-1} = U \bar{H} U^{-1} - U \bar{R} U^{-1} \\ &= H - U \bar{R} U^{-1}. \end{aligned} \quad (4.3.8)$$

If $U \bar{R} U^{-1}$ is not **hermitian** then H_A would be **non-hermitian**. In such a case it could have complex eigenvalues, and, if the wave

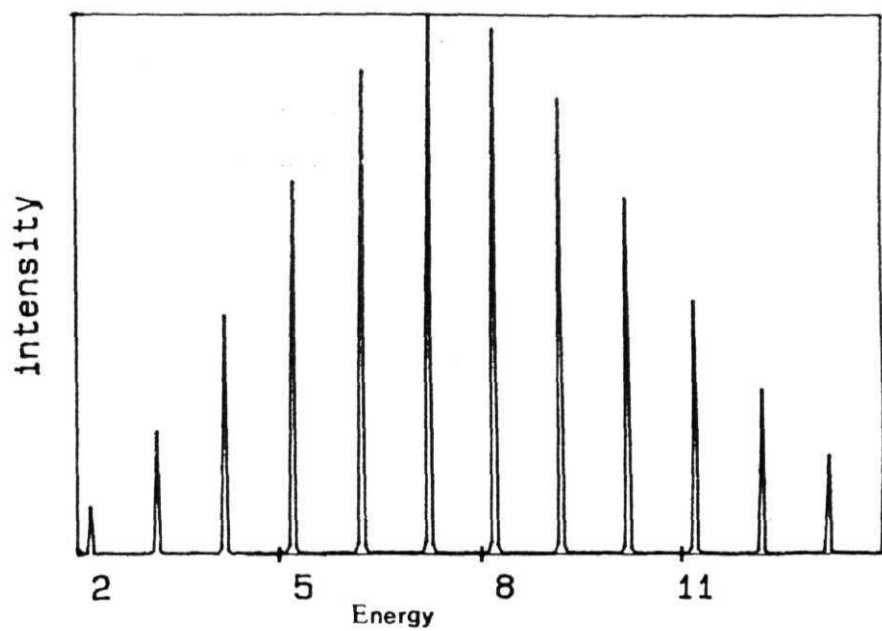


Fig. 4.3.2a: Franck-Condon spectrum of Henon-Heils System I

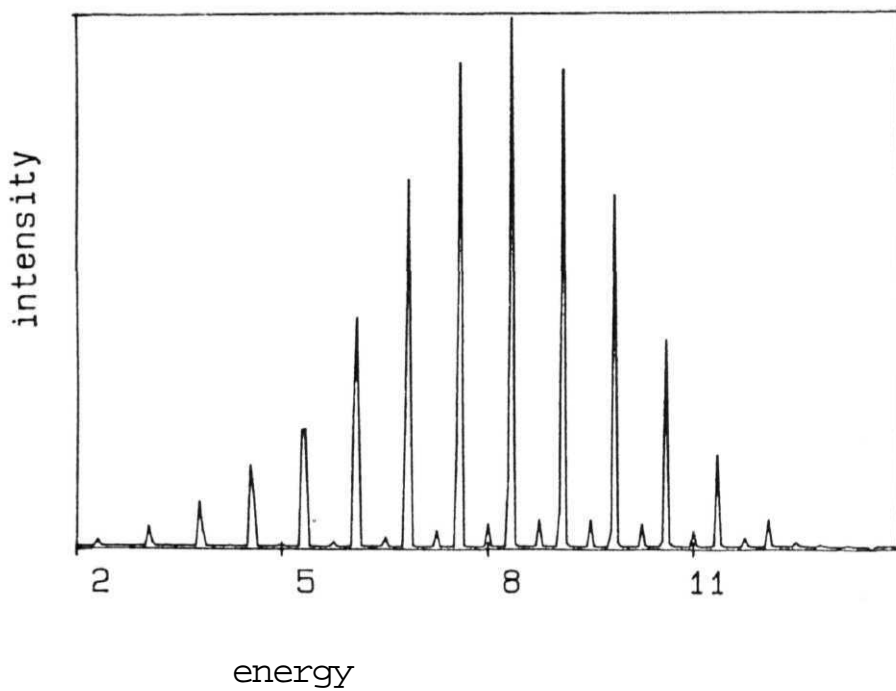


Fig. 4.3.2b: Franck-Condon spectrum of Henon-Heils System II

packet at some stage of its evolution develops a significant overlap **with** an eigenvector with a complex eigenvalue, it's norm would change. The stronger the **anharmonic** coupling, the greater is the effect of the R operator since the importance of the higher rank operators increases with the **perturbation**. This is at the origin of the norm violation, seen oin this case.

The Franck-Condon spectra obtained for the two systems are presented in Fig. 4.3.2a and b. The exact spectra are given in Ref. [2] .

TABLE 4.3.1

| System | ω_x | ω_y | x | x_0 | y_0 |
|-----------|------------|------------|-------|-------|-------|
| System I | 1.1 | 1.0 | -0.11 | 0.0 | 4.0 |
| System II | 1.1 | 1.0 | -0.11 | 3.2 | 3.2 |

4.4. CONCLUSIONS.

In this chapter we have performed convergence studies of TDCCM by applying it to the two dimensional **photodissociation** of a few **molecules** in the Beswick and Jortner **model** [1]. We have also studied the conservation of norm **in** TDCCM by applying it to the two dimensional **Henon-Heils** hamiltonian.

Good convergence pattern of the **S-matrix** elements is seen **in** the TDCCM **applied** to the Beswick and Jortner **model** hamiltonian. The **photodissciation** spectra obtained from TDCCM are seen to be

in good agreement with the exact spectra. The agreement is not so good in the case of **Henon-Heils hamiltonian** at **S.** level of **approximation**. Here, we also found norm violation. Inclusion of higher rank cluster operators is expected to improve the performance of **TDCCM**.

On the whole it appears that the **TDCCM** requires few variables to obtain converged **autocorrelation** functions. This is to be expected since the **approximations** in the **TDCCM** are made to the cluster operator **S** rather than to the evolution operator **U**. This effects the convergence of the **TDCCM** at two levels. For one dimensional systems, the wave function generated by the **TDCCM** ansatz receives **contributions** from all the harmonic oscillator **eigenstates**, even when **N** is finite. This is a consequence of the exponential ansatz. Of course the coefficients of the states whose quantum numbers are larger than **N** would not be independent variables, but would be polynomial functions of the first **N** functions. However, even this improves the flexibility **built** into the wave function. Thus, fewer number of variables are required to parametrize the **TDCCM** ansatz than a **corresponding** basis set expansion. For systems with several degrees of freedom the **TDCCM** is particularly attractive since the cluster operator is additively seperable. Thus, if the system contains **non-interacting** subsystems [13], the dynamics of the subgroups can be seperately evaluated and combined additively at the cluster operator level. We believe that our results, though on simple model systems, indicate that this potential of the **method** **realizable**.

APPENDIX 4.2.

We describe the procedure to obtain the working equations of motion for the Beswick and Jortner model describing the photodissociation dynamics of molecules in this section, as the potential in this system is a transcendental function. The time dependent Schroedinger equation is given as

$$i U^{-1} \dot{U} = U^{-1} H U \quad (\text{A4.1})$$

$$i U^{-1} \dot{U} = \exp(-S) H \exp(S) \quad (\text{A4.2})$$

where

$$U = \exp[S]. \quad (\text{A4.3})$$

We will first consider the right hand side of the equation. Each term in the hamiltonian has to be pre and post multiplied by U and U respectively. The similarity transformation is carried out with help of the BCH expansion [10]. We now evaluate the expression term by term.

1st term :

$$\begin{aligned} & \exp\left(-\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) [Z_1 a_1^+ a_1] \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) \\ &= Z_1 a_1^+ a_1 + [Z_1 a_1^+ a_1, \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right)] + \\ & 1/2! [[Z_1 a_1^+ a_1, \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right)], \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right)] + \dots \\ &= Z_1 a_1^+ a_1 + \sum_{m,n} S_{mn} Z_1 a_1^{+m} a_2^{+n} \end{aligned} \quad (\text{A4.4})$$

2nd Term :

$$\exp\left(-\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) [Z_2 a_2^+ a_2] \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right)$$

This is expanded similar to the 1st term to obtain

$$= Z_2 a_2^+ a_2 + \sum_{m,n} S_{mn} Z_2^n a_1^{+m} a_2^{+n} \quad (A4.5)$$

3rd Term

$$= \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) [Z_3 a_1^{+2}] \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) \quad (A4.6)$$

As a_1^{+m} commutes with $\exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right)$ all the commutators in the **Hausdorff** expansion become zero and hence the above expression becomes

$$= Z_3 a_1^{+2} \quad (A4.7)$$

4th Term :

$$= \exp\left(-\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) [Z_3 a_1^{+2}] \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) \quad (A4.8)$$

Applying the BCH expansion similar to the previous terms we obtain the first commutator as

$$= Z_3 \left[\sum_{m,n} S_{mn} 2^m a_1^{+(m-1)} a_1 a_2^{+n} + \sum_{m,n} S_{mn} m(m-1) a_1^{+m-2} a_2^{+n} \right] \quad (A4.9)$$

The second commutator gives

$$= Z_3 \left[\sum_{m,n} S_{mn} \sum_{x,y} S_{xy} m^x a_1^{+(m+x-2)} a_2^{+(y+n)} \right] \quad (A4.10)$$

5th Term :

$$= \exp\left(-\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) [Z_4 a_2^{+2}] \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) \quad (A4.11)$$

On the basis of similar arguments as in the 3rd term evaluation we obtain

$$= Z_4 a_2^{+2} \quad (A4.12)$$

6th Term :

$$\begin{aligned}
 & \exp\left(-\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) [Z_4 a_2^2] \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) \\
 &= Z_4 \left[\sum_{m,n} S_{mn} 2 m a_2^{+(m-1)} a_2 a_1^{+n} + \sum_{m,n} S_{mn} m (m-1) a_2^{+m-2} a_1^{+n} \right] \\
 & \quad + Z_4 \left[\sum_{m,n} S_{mn} \sum_{x,y} S_{xy} m x a_2^{+(m+x-2)} a_1^{+(y+n)} \right] \quad (A4.13)
 \end{aligned}$$

This is similar to the 4th term.

7th Term :

$$\begin{aligned}
 & \exp\left(-\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) [Z_5 a_1^+ a_2^+] \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) \\
 &= Z_5 a_1^+ a_2^+ \quad (A4.14)
 \end{aligned}$$

The rest of the **terms follow in asimilar** fashion and only the expressions obtained after BCH expansion will be given.

8th Term :

$$\begin{aligned}
 & \exp\left(-\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) [Z_5 a_2^+ a_1] \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) \\
 &= Z_5 \sum_{m,n} S_{mn} n a_1^{+(m+1)} a_2^{+(n-1)} \quad (A4.15)
 \end{aligned}$$

9th Term :

$$\begin{aligned}
 & \exp\left(-\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) [Z_5 a_2^+ a_1] \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) \\
 &= Z_5 \sum_{m,n} S_{mn} m a_1^{+(m-1)} a_2^{+(n+1)} \quad (A4.16)
 \end{aligned}$$

10th Term:

$$\begin{aligned}
 & \exp\left(-\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) [Z_5 a_1 a_2] \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) \\
 &= Z_5 \sum_{m,n} S_{mn} m n a_1^{+(m-1)} a_2^{+(n+1)} +
 \end{aligned}$$

$$Z_5 \sum_{m,n,x,y} S_{mn} S_{x,y} (nx+my) a_1^{+(m-1+x)} a_2^{+(n-1+y)} \quad (A4.17)$$

11th Term:

$$\begin{aligned} & \exp(-\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}) [Z_6 a_1^+] \exp(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}) \\ &= Z_6 a_1^+ \end{aligned} \quad (A4.18)$$

12th Term:

$$\begin{aligned} & \exp(-\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}) [Z_6 a_1] \exp(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}) \\ &= Z_6 \sum_{m,n} S_{mn} m a_1^{+(m-1)} a_2^{+n} \end{aligned} \quad (A4.19)$$

13th Term:

$$\begin{aligned} & \exp(-\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}) [Z_7 a_2^+] \exp(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}) \\ &= Z_7 a_2^+ \end{aligned} \quad (A4.20)$$

14th Term:

$$\begin{aligned} & \exp(-\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}) [Z_7 a_2] \exp(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}) \\ &= Z_7 \sum_{m,n} S_{mn} n a_1^{+m} a_2^{+(n-1)} \end{aligned} \quad (A4.21)$$

We now consider the last **term** of the **hamiltonian** i.e, the exponential part of the potential energy surface which is given by

$$Z \exp [Z_8 (a_1^+ + a_1) + Z_9 (a_2^+ + a_2)]. \quad (A4.22)$$

In general, an exponential of the **linear** combination of any two elements whose commutator commutes with each of these elements can be written as [10]

$$\exp [T (a^+ + a)] = \exp(T^2/2) \exp(Ta^+) \exp(Ta) \quad (A4.23)$$

where T is a constant. Hence the last term in the hamiltonian can be written as

$$= A' \exp(Z_8 a_1^+) \exp(Z_8 a_1) \exp(Z_9 a_2^+) \exp(Z_9 a_2) \quad (A4.24)$$

where,

$$A' = Z \exp(Z_8^2/2) \exp(Z_9^2/2) \quad (A4.25)$$

We now perform similarity transformation on this part of the hamiltonian.

$$\begin{aligned} & \exp(-S) [A' \exp(Z_8 a_1^+) \exp(Z_8 a_1) \exp(Z_9 a_2^+) \exp(Z_9 a_2)] \exp(S) \\ &= \exp(-\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}) [A' \exp(Z_8 a_1^+) \exp(Z_8 a_1) \exp(Z_9 a_2^+) \exp(Z_9 a_2)] \\ & \quad \times \exp(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}) \\ &= A' \exp(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}) \exp(Z_8 a_1^+) \exp(Z_8 a_1) \exp(Z_9 a_2^+) \exp(Z_9 a_2) \\ & \quad \times \exp(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}) \exp(-Z_9 a_2) \exp(Z_9 a_2) \quad (A4.26) \end{aligned}$$

The BCH expansion is applied to the following part of the above equation,

$$\exp(Z_9 a_2) \exp(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}) \exp(-Z_9 a_2)$$

We now obtain the following equation

$$\begin{aligned}
& \exp(Z_9 a_2) \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) \exp(-Z_9 a_2) \\
&= \sum_{l,n} S_{ln} \sum_{m=0}^n n_{Cm} Z_9^{n-m} a_1^{+l} a_2^{+m} \quad (A4.27)
\end{aligned}$$

Substituting the above expression in **eq.** (A4.26) we obtain

$$\begin{aligned}
&= A' \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) \exp(Z_8 a_1^+) \exp(Z_9 a_2^+) \exp(Z_8 a_1) \\
&\times \sum_{l,n} S_{ln} \sum_{m=0}^n n_{Cm} Z_9^{n-m} a_1^{+l} a_2^{+m} \exp(Z_9 a_2). \quad (A4.28)
\end{aligned}$$

This equation can be rewritten as

$$\begin{aligned}
&A' \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) \exp(Z_8 a_1^+) \exp(Z_9 a_2^+) \exp(Z_8 a_1) \\
&\times \sum_{l,n} S_{ln} \sum_{m=0}^n n_{Cm} Z_9^{n-m} a_1^{+l} a_2^{+m} \exp(-Z_8 a_1) \exp(Z_8 a_1) \exp(Z_9 a_2). \quad (A4.29)
\end{aligned}$$

Consider the following part of the above equation •

$$\exp(Z_8 a_1) \sum_{l,n} S_{ln} \sum_{m=0}^n n_{Cm} Z_9^{n-m} a_1^{+l} a_2^{+m} \exp(-Z_8 a_1).$$

Substituting

$$\sum_{l,n} S_{ln} \sum_{m=0}^n n_{Cm} Z_9^{n-m} a_1^{+l} a_2^{+m} = \sum_{l,m} Y_{lm} a_1^{+l} a_2^{+m} \quad (A4.30)$$

we obtain

$$\begin{aligned} & \exp(Z_8 a_1) \sum_{l,n} S_{ln} \sum_{m=0}^n {}^l C_m Z_9^{n-m} a_1^{+l} a_2^{+m} \exp(-Z_8 a_1) \\ & = \exp(Z_8 a_1) \left[\sum_{l,m} Y_{lm} a_1^{+l} a_2^{+m} \right] \exp(-Z_8 a_1). \end{aligned} \quad (A4.31)$$

Applying the **BCH** expansion again on the RHS of the above equation we obtain,

$$\begin{aligned} & \exp(Z_8 a_1) \left[\sum_{l,m} Y_{lm} a_1^{+l} a_2^{+m} \right] \exp(-Z_8 a_1) \\ & = \sum_{l,n} Y_{ln} \sum_{m=0}^l {}^l C_m Z_8^{l-m} a_1^{+m} a_2^{+l} \exp(-Z_8 a_1). \end{aligned} \quad (A4.32)$$

Substituting the above equation in eq.(A4.29) we obtain

$$\begin{aligned} & A' \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) \exp(Z_8 a_1) \exp(Z_9 a_2) \\ & \times \sum_{l,n} Y_{ln} \sum_{m=0}^l {}^l C_m Z_8^{l-m} a_1^{+m} a_2^{+l} \exp(Z_8 a_1) \exp(Z_9 a_2). \end{aligned} \quad (A4.33)$$

This expression being in the normal order can be easily applied to the ground state propagation. The RHS of the TDSE is now completely written for the ground state propagation as

$$\begin{aligned} U^{-1} H U = & \sum_{m,n} S_{mn} Z_1 {}^m a_1^{+m} a_2^{+n} + \sum_{m,n} S_{mn} Z_2 {}^n a_1^{+m} a_2^{+n} \\ & + Z_3 a_1^{+2} + \sum_{m,n} S_{mn} {}^m (m-1) a_1^{+m-2} a_2^{+n} \\ & + Z_3 \left[\sum_{m,n} S_{mn} \sum_{x,y} S_{xy} {}^m x a_1^{+(m+x-2)} a_2^{+(y+n)} + Z_4 a_2^{+2} \right. \\ & \left. + Z_4 \sum_{m,n} S_{mn} {}^m (m-1) a_2^{+m-2} a_1^{+n} \right] + Z_4 \left[\sum_{m,n} S_{mn} \sum_{x,y} S_{xy} {}^m x \right. \end{aligned}$$

$$\begin{aligned}
& a_2^{+(m+x-2)} a_1^{+(y+n)} + Z_5 a_1^+ a_2^+ + Z_5 \sum_{m,n} S_{mn} n a_1^{+(m+1)} a_2^{+(n-1)} \\
& + Z_5 \sum_{m,n} S_{mn} m a_1^{+(m-1)} a_2^{+(n+1)} + Z_5 \sum_{m,n} S_{mn} m n a_1^{+(m-1)} a_2^{+(n+1)} + \\
& Z_5 \sum_{m,n,x,y} S_{mn} S_{xy} (nx+my) a_1^{+(m-1+x)} a_2^{+(n-1+y)} + Z_6 a_1^+ \\
& + Z_6 \sum_{m,n} S_{mn} m a_1^{+(m-1)} a_2^{+n} + Z_7 a_2^+ + Z_7 \sum_{m,n} S_{mn} n a_1^{+m} a_2^{+(n-1)} \\
& + A \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) \exp(Z_8 a_1^+) \exp(Z_9 a_2^+) \\
& \times \sum_{l,n} Y_{ln} \sum_{m=0}^l C_m Z_8^{l-m} a_1^{+m} a_2^{+l} \exp(Z_8 a_1^+) \exp(Z_9 a_2^+).
\end{aligned}
\tag{A4.34}$$

Considering the LHS of the TDSE we obtain,

$$\begin{aligned}
iU^{-1} \dot{U} &= i \exp\left(-\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) [\partial/\partial t] \exp\left(\sum_{m,n} S_{mn} a_1^{+m} a_2^{+n}\right) \\
&= i \sum_{m,n} \dot{S}_{mn} a_1^{+m} a_2^{+n}.
\end{aligned}
\tag{A4.35}$$

Equating the identical powers of a and a^* we obtain the equations of motion which are integrated to obtain the S 's (structure constants).

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CHAPTER 5

LIE-ALGEBERAIC STUDIES OF NON-ADIABATIC DYNAMICS

5.1. INTRODUCTION

In this chapter, we specifically study the **applicability** of the quadratic **Lie-algebraic** approach (1,2) and the **time** dependent coupled cluster method (**TDCCM**) [3-5] to **non-adiabatic** dynamics within the framework of the **linear** coupling model [6J].

Chemical phenomena such as the **unimolecular** and electron transfer reactions, **non-radiative** transitions, etc. are influenced by the **non-adiabatic** dynamics of the **system** [6-9]. Various methods have been **applied** to obtain the **non-adiabatic** dynamics of a system. These methods can be categorised as the time independent and the time dependent methods. The time independent approach is based on the **Ritz** variational principle in which the **hamiltonian** matrix is constructed in a basis formed by the product functions of the electronic and vibrational states of the uncoupled system [10-20]. This **hamiltonian** matrix is **diagonalised** to obtain the eigenvalues and eigenvectors. The major drawback of these methods is that the number of basis functions required for a system consisting of d degrees of freedom is proportional to N where N is the typical number of the basis functions for a one degree freedom problem leading to an exponential increase in the **computational** effort. Hence, alternative methods are desirable to calculate the dynamics of these systems. The time dependent methods involve the application of time dependent perturbation theory (in which the **non-adiabatic** coupling is treated as the perturbation) [21] or the **semiclassical** methods such as the gaussian wave packet approach (GWP) [22-26] (in which the wave packet is a travelling gaussian and the potential is expanded at the centroid of the wave

packet), and the time dependent self consistent field method (TDSCF) [27-29]. The time dependent perturbation theory breaks down for strong **coupling** strengths and for long time dynamics. The **GWP** cannot account for the **anharmonicities prevalent** in the system and ultimately it has to be improved further by using the conventional basis set expansion or perturbation theory. Similarly the TDSCF deviates from the exact dynamics at **long** times and requires **CI** for numerical convergence. It is against this background that we undertake our studies of the algebraic approaches to **non-adiabatic** dynamics.

In all our applications in this chapter, we assume that only two electronic states belonging to different irreducible **representations** of the molecular point group and n vibrational modes, are relevant to the dynamics. We assume that the relevant **hamiltonian** can be written as [6]

$$H = \sum_i |e_i\rangle \epsilon_i \langle e_i| + \sum_n \omega_n a_n^\dagger a_n + \sum_{i,j,n} |e_i\rangle [V_n^{(ij)} (a_n^\dagger + a_n)] \langle e_j|. \quad (5.1.1)$$

Here $|e_i\rangle$'s are the electronic states and a_n and a_n^\dagger are the usual boson ladder operators of the n th vibrational mode. This hamiltonian has been extensively studied in the past and is found to be adequate for a large number of systems [6]. The wave function for such systems is given by

$$\psi = \sum_i |e_i\rangle \phi_i(R, t) \quad (5.1.2)$$

where ϕ are the vibrational wave functions associated with the

ith electronic state.

In the matrix **representation** the vibrational wave function can be written as

$$\phi = \begin{pmatrix} \phi_1(R) \\ \phi_2(R) \end{pmatrix} \quad 5.1.3)$$

The $\phi_i(R)$ are vibrational wave packets moving on different surfaces. They have different momenta and centroids. To obtain the equations of motion by the gaussian wave packet propagation approach (GWP) [22-26] in which the potential is expanded as a Taylor series at the centroid of the wave packet, **choosing** the point around which the potential is to be expanded becomes a problem as both the nuclear wave functions ϕ_1 and ϕ_2 , corresponding to the two electronic states have different centroids in general, Second, if any one of the ϕ_i are equal to zero, the exponent r in the gaussian ansatz for ϕ_i should be equal to $-\infty$. Representing this logarithmic singularity is difficult in practice. We have circumvented this problem by mapping the hamiltonian (eq.(5.1.1)) on to a purely vibrational **hamiltonian** confined to a single electronic surface. The details of the mapping for the **one-dimensional** case are presented in Sec. 5.2a. In Sec. 5.2b we extend it to the **multidimensional** case. The application of the quadratic **Lie-algebraic** approach to this hamiltonian is described in Sec. 5.2c. In section 5.2d, we present the numerical calculations for some one and two dimensional model systems. Application of **TDCCM** to obtain the dynamics of the mapped hamiltonian is dicussed in Sec. 5.2e. In Sec. 5.3 and 5.4 we apply the **multireference** TDCCM to the

original unmapped **hamiltonian** (eq. 5.1.1) involving the electronic degree of freedom explicitly.

5.2. MAPPED HAMILTONIAN APPROACH.

In this section, we discuss the mapping of the **multisurface** hamiltonian (eq. (5.1.1)) of a system specifically consisting of two electronic surfaces belonging to different irreducible **representations** of the molecular point group, on to a single surface hamiltonian. We first consider a situation where only one vibrational mode (the coupling mode) is relevant to the dynamics and describe the mapping. We then extend it to the n vibrational mode problem.

5.2a. The Mapped **Hamiltonian** for single mode systems.

The hamiltonian for the single mode case is given by

$$H = \sum_i |e_i\rangle \epsilon_i \langle e_i| + \omega_c a_c^+ a_c + \sum_{i \neq j} |e_i\rangle [V_c^{ij} (a_c^+ + a_c)] \langle e_j| \quad (5.2.1)$$

The subscript c indicates here and in the following, that the normal coordinate is a **coupling** mode. Because the two states belong to different irreducible **representations**, the coupling coordinate is always **non-totally** symmetric and hence cannot have intrastate coupling. We take the zero of energy to be the midpoint of the two electronic states such that, $\epsilon = -c$ and $\epsilon = c$ where $2c$ is the energy gap between the two states at the equilibrium geometry of the ground state.

Let us now analyse the structure of the **Hilbert** space \mathcal{H}_s relevant to the dynamics of this system. This **Hilbert** space is formed by the **direct** product of the **Hilbert** spaces of the electronic and vibrational sub-systems.

$$\mathcal{H}_s = \mathcal{H}_e \otimes \mathcal{H}_v \quad (5.2.2a)$$

$$\mathcal{H}_e = \{ |e_1\rangle, |e_2\rangle \} \quad (5.2.2b)$$

$$\mathcal{H}_v = \{ \phi_n(q) ; 0 \leq n \leq \infty \}. \quad (5.2.2c)$$

Here ϕ are the harmonic oscillator **eigenfunctions**. Let $|e\rangle$ and $|e_n\rangle$ belong to the irreducible **representations** Γ and Γ_n respectively. Since the **hamiltonian** must always transform as the totally symmetric **representation**, the coupling **mode** belongs to Γ_c
 $= r. \otimes \Gamma$ Consequently, all the elements in \mathcal{H} belong to either the totally symmetric **representation** (when n is even) or to the **r representation** (when n is odd). Thus, the elements in \mathcal{H} belong either to r (when the electronic state is $|e_1\rangle$ and the vibrational quantum number is even or when the electronic state is $|e_2\rangle$ and the vibrational quantum number is odd since $r \otimes \Gamma = \Gamma$) or to Γ (in the opposite cases). Symbolically, this can be written as

$$\mathcal{H}_s = \mathcal{H}_1 \cup \mathcal{H}_2 \quad (5.2.3a)$$

$$\mathcal{H}_1 = \{ |e_i\rangle \phi_n ; i=1 \text{ and } n \text{ even or } i=2 \text{ and } n \text{ odd} \} \quad (5.2.3b)$$

$$\mathcal{H}_2 = \{ |e_i\rangle \phi_n ; i=1 \text{ and } n \text{ odd or } i=2 \text{ and } n \text{ even} \}. \quad (5.2.3c)$$

Since the hamiltonian cannot couple elements belonging to different symmetries, a wave packet initially started in one of the two subspaces (\mathcal{H}_1 or \mathcal{H}_2) would continue to evolve within that subspace. Thus, for such initial conditions it is sufficient to expand the wave packet in terms of the basis functions of either \mathcal{H}_1 or \mathcal{H}_2 . We now note that the number of elements in \mathcal{H}_1 (or \mathcal{H}_2) is identical to the number of elements in \mathcal{H} and hence it is possible to define a one-to-one correspondence between these two subspaces.

$$\mathcal{H}_V \longleftrightarrow \mathcal{H}_1 \quad (5.2.4a)$$

$$\phi_n \longleftrightarrow \phi_n |e_1\rangle \quad (5.2.4b)$$

The correspondence is completely defined when the electronic state index i is uniquely defined for a given n . In case of \mathcal{H} the electronic state is e_1 ($i=1$) whenever n is even and e_2 whenever n is odd. The opposite holds in case of \mathcal{H} . With this identification, the mapping between \mathcal{H} and \mathcal{H} is complete.

In the next step, we construct a model hamiltonian H whose matrix elements in \mathcal{H} are identical to the matrix of H in \mathcal{H} . For the first term in H ($\omega a^\dagger a$), the matrix elements in \mathcal{H} are $\langle e_i \phi_m | \omega a^\dagger a | e_j \phi_n \rangle = m\omega \delta_{ij} \delta_{mn}$. The corresponding term in H , is then simply $\omega c^\dagger c$. For the second term ($\sum_R \epsilon_R c^\dagger |e_i\rangle \langle e_j|$) the matrix elements are

$$\langle e_i \phi_m | \sum_R \epsilon_R |e_R\rangle \langle e_R| |e_j \phi_n\rangle = \epsilon_i \delta_{ij} \delta_{mn} \quad (5.2.5)$$

Recalling that s_i are $\pm s$ depending upon whether m is even or

odd we find that the term in H_1 is $-\epsilon \cos(\pi a_c^+ a_c)$ since

$$\langle \phi_m | \cos(\pi a_c^+ a_c) | \phi_n \rangle = \langle \phi_m | \cos(\pi) | \phi_n \rangle \quad (5.2.6a)$$

$$= (-1)^m \delta_{mn}. \quad (5.2.6b)$$

Finally, the last term in the hamiltonian ($\sum |e_i\rangle V_c^{ij}(a_c^+ + a_c) \langle e_j|$) maps on to $V_c(a_c^+ + a_c)$. With this, the model hamiltonian \bar{H}_1 can be written as

$$\bar{H}_1 = \omega_c a_c^+ a_c - \epsilon \cos(\pi a_c^+ a_c) + V_c(a_c^+ + a_c). \quad (5.2.7a)$$

Similarly, for H_2 one can show that

$$\bar{H}_2 = \omega_c a_c^+ a_c + \epsilon \cos(\pi a_c^+ a_c) + V_c(a_c^+ + a_c) \quad (5.2.7b)$$

simulates the dynamics in H

5.2b. Extensions to multimode systems.

We now turn to the multimode problem. The most general hamiltonian in this case is

$$H = \sum_i |e_i\rangle \epsilon_i \langle e_i| + \omega_c a_c^+ a_c + \sum_{i \neq j} |e_i\rangle [V_c(a_c^+ + a_c)] \langle e_j| \\ + \sum_t \omega_t a_t^+ a_t + \sum_{t,i} |e_i\rangle (V_t^{(i)}(a_t^+ + a_t)) \langle e_i|. \quad (5.2.8)$$

Note that, the coupling modes cannot tune the electronic state

energy and the tuning modes cannot cause coupling between the states due to symmetry constraints. Defining the auxiliary parameters

$$V_t = 0.5 (V_t^{(1)} + V_t^{(2)}) \quad (5.2.9a)$$

$$U_t = 0.5 (V_t^{(1)} - V_t^{(2)}) \quad (5.2.9b)$$

it can be shown that the model hamiltonian

$$\begin{aligned} \bar{H}_1 = & \sum_{n=t,c} \omega_n a_n^+ a_n + \sum_t V_t (a_t^+ + a_t) - \epsilon \cos(\pi \sum_c a_c^+ a_c) \\ & - \sum_t U_t (a_t^+ + a_t) \cos(\pi \sum_c a_c^+ a_c) + V_c (a_c^+ + a_c) \end{aligned} \quad (5.2.10)$$

simulates the dynamics of H in \mathcal{H} in \mathcal{H} . Change of sign in front of ϵ and U . generates H_2 which simulates the dynamics in \mathcal{H}_2 . Equation (5.2.10) defines the desired single surface hamiltonian that mimics the dynamics of the multisurface hamiltonian (5.2.8).

5.2c. Quadratic Lie-algebraic Approach.

We now use the coherent state operator algebra to calculate the dynamics of this system [1,2]. In this method, we parametrize the evolution operator as a product of exponentials generated by the elements of the n -dimensional harmonic oscillator algebra

$$L_n = \{ 1, a_i^+, a_i, a_i^+ a_j^+, a_i a_j, a_i^+ a_j \}, \quad (5.2.11)$$

Since we are particularly interested in the dynamics of the vacuum state, it is convenient to parametrize the evolution operator as

$$U = \exp \left[\sum_i S_1^i a_i^\dagger + \sum_{i \leq j} S_2^{ij} a_i^\dagger a_j^\dagger \right] \exp \left[- \sum_i T_1^i a_i - \sum_{i \leq j} T_2^{ij} a_i a_j \right] \\ \times \exp [S_0]. \quad (5.2.12)$$

The expression for the **autocorrelation** turns out to be very simple as discussed in chapters 2 and 3. For **computational** simplicity, we have included only diagonal terms in S_1 and T_1 and dropped the shift operators $a^\dagger a$ from the ansatz (5.2.12). This amounts to the Hartree **approximation** [26]. Substituting the above ansatz in the TDSE, we obtain the following equations of motion for the case of one coupling and n tuning modes. The equations of motion for the case of only the **coupling** mode are obtained by putting $V = U$, $\omega = 0$. The term $U \cos(\pi a^\dagger a) U$ which results from the **multicommutator** expansion of $U H U$ is rearranged as $\exp(X a_c) \cos(\pi a_c a_c) \exp(Y a_c)$ where X and Y are constants. The detailed derivation is given in Appendix 5.2.

$$i \dot{S}_2^c = 2\omega_c S_2^c - 2c (\varepsilon - u) S_1^{c2} \quad (5.2.13a)$$

$$i \dot{S}_1^c = 4c (\varepsilon - u) S_1^{c2} T_1^c + \omega_c S_1^c + V_c + 2V_c S_2^c + 2(\varepsilon - u) c S_1^c \quad (5.2.13b)$$

$$i \dot{T}_2^c = -2\omega_c T_2^c + 2c (\varepsilon - u) (S_1^{c2} - 4 S_1^c T_2^c T_1^c) \quad (5.2.13c)$$

$$i \dot{T}_1^c = -\omega_c T_1^c - V_c + 2c T_1^c (-\varepsilon + u) - 8c (-\varepsilon + u) S_1^c T_2^c \quad (5.2.13d)$$

$$i \dot{S}_2^t = 2\omega_t S_2^t \quad (5.2.13e)$$

$$i \dot{S}_1^t = \omega_t S_1^t + V_t + 2V_t S_2^t + cU_t (1 + 2S_2^t) \quad (5.2.13f)$$

$$i \dot{T}_2^t = -2\omega_t T_2^t \quad (5.2.13g)$$

$$i \dot{T}_1^t = -\omega_t T_1^t - V_t - cU_t \quad (5.2.13h)$$

$$i \dot{S}_0 = V_c S_1^c + V_t S_1^t + (-\epsilon + u) c [2S_1^{c2} T_1^{c2} - 4S_1^{c2} T_2^c + 2S_1^c T_1^c] \\ + c [-\epsilon + U_t S_1^t] \quad (5.2.13i)$$

where,

$$c = \exp [4T_2^c S_1^{c2}] \exp [-2 T_1^c S_1^c] \quad (5.2.14a)$$

and

$$u = \sum_t U_t (S_1^t + T_1^t + 2S_2^t T_1^t) \quad (5.2.14b)$$

Here the subscripts c and t refer to the coupling and the tuning modes respectively. The values of the constants for the systems we have studied are given in Table 5.2.1.

5.2d. Results and Discussion.

We have applied the formalism to evaluate the Franck-Condon spectra of some model one dimensional systems containing only a coupling mode. As the tuning modes which modulate the electronic

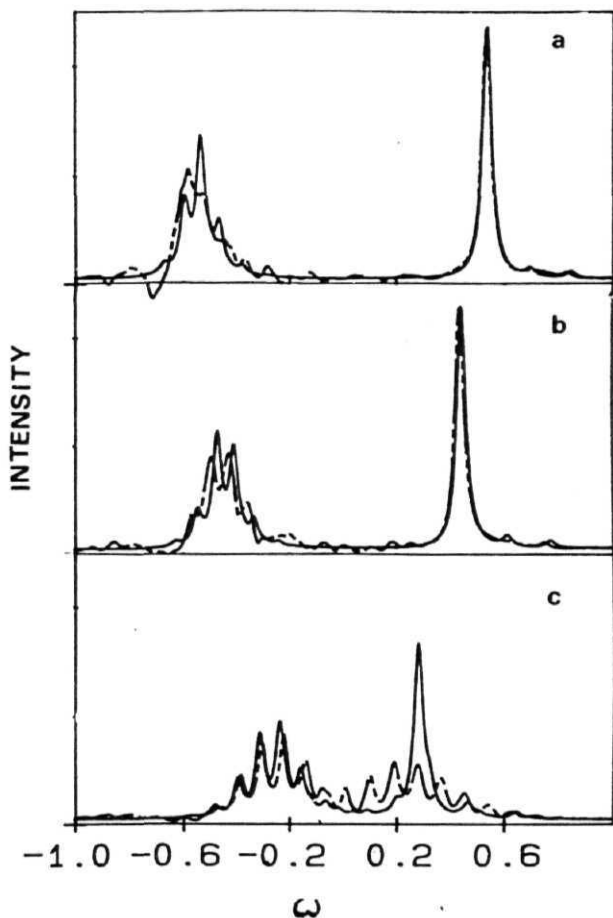


Fig. 5.2.1: Franck-Condon spectra of model one' dimensional systems for different values of ϵ at $V = 0.318$ and $\omega_c = 0.091$:
a) $\epsilon = 0.5$, b) $\epsilon = 0.4$ and c) $\epsilon = 0.2$. All the values are in electron volts. The solid line indicates the exact spectrum and the dashed line indicates the spectrum obtained from algebraic calculations.

energy gap in the system are absent, the dependence of the electronic energy gap and the coupling constant on the spectra have been studied. We have also obtained the Franck-Condon spectra of a few **multidimensional** realistic systems. The absorption spectrum is obtained by fourier **transforming** the **autocorrelation** function $A(t)$ which is given by

$$A(t) = \langle 0 | U | 0 \rangle \quad (5.2.15a)$$

$$= \exp (S_0) \quad (5.2.15b)$$

We first discuss the application to model single mode systems. The spectra for $\epsilon = 0.2, 0.4, 0.5$ eV and $V = 0.318$ eV _c are shown in **Fig. 5.2.1a, b and c**. For $\epsilon = 0.2$, the spectrum corresponding to the lower surface has been reproduced fairly well compared to the exact one. In contrast, the upper surface **is** not well reproduced. Instead of a single peak as seen in the exact spectrum, the algebraic approach produces a harmonic like progression. As the energy gap ϵ is increased to 0.4 and 0.5 eV, the agreement between the algebraic approach and the exact spectrum increases. This implies that as the energy gap increases, the **validity** of algebraic approach improves. The reason for this behaviour can be explained as follows. Consider the case when c is equal to zero. The **adiabatic hamiltonians** associated with the **hamiltonian (eq.5.1.1)** on both surfaces are essentially that of a displaced harmonic oscillator. This property is retained for small ϵ values and hence a harmonic progression for the upper surface for $\epsilon = 0.2$ eV is seen (the lower surface anyway shows a harmonic like progression). For large energy gaps i.e, $\epsilon = 0.4$ and 0.5 eV this progression is not

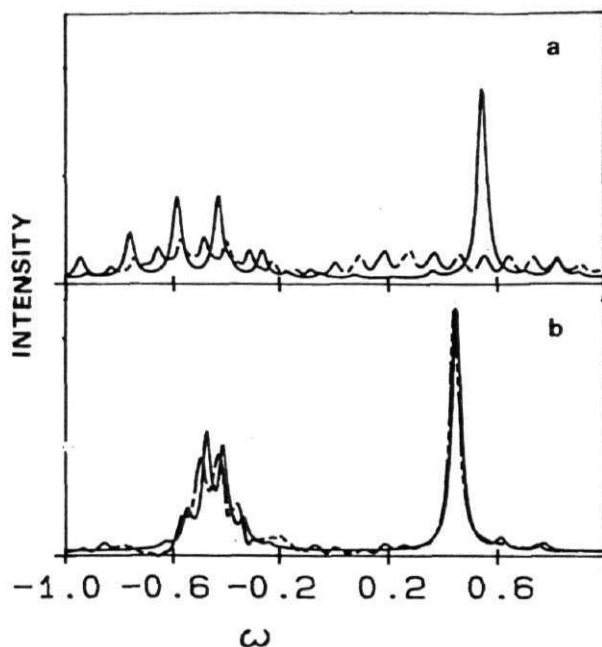


Fig. 5.2.2: Franck-Condon spectra of model one dimensional systems for different values of V at $\epsilon = 0.5$ and $t_0 = 0.091$: a) $V_c = 0.718$ and b) $V_c = 0.318$. All the values are in eV . Figure conventions are as in Fig.5.1.1.

seen since the deformation from the harmonic behaviour is more. In these cases the spectra by the algebraic approach are in good agreement with the exact spectra.

Increasing the coupling constant has the effect equivalent to decreasing the energy gap since the **stabilization** energy goes proportional to the square of the **coupling** constant. As can be seen in Fig. 5.2.2, the quality of the spectrum deteriorates when $V = 0.718$ eV even for $c = 0.5$ eV.

We have next calculated the **photoelectron** spectrum of a model system that is identical to butatriene cation in all aspects except that the energy gap at the origin is taken as 0.8 eV (double the value of butatriene), and allene which is an example where both the electronic surfaces under **consideration** are degenerate [61. Both are examples of two mode systems. These systems consist of one coupling mode and one tuning mode. Calculations have been also been performed by rearranging the term $[U \cos(\pi a_c a_c)U]$ in $U^\dagger H U$ as $\{e^{Xa^\dagger} e^{Ya} \cos(\pi a_c^\dagger a_c)\}$ where X and Y are C-number functions of time. All the equations are identical except eq (5.2.13d) which is replaced by

$$i \dot{T}_1^C = -\omega_c T_1^C - V_c - 2cT_1^C (-x+u), \quad (5.2.16)$$

We call these set of equations as the second **set** (eq.5.2.13d replaced by eq. **5.2.16**) and the equations (5.2.13) as the first **set** in our discussion. Unless specifically mentioned, we imply that the spectra have been obtained from the first set of equations. In both the cases the equation for $i\dot{T}^C$ differs and the equations for the rest of the operators are identical. The spectra obtained in both the cases differ from each other as can

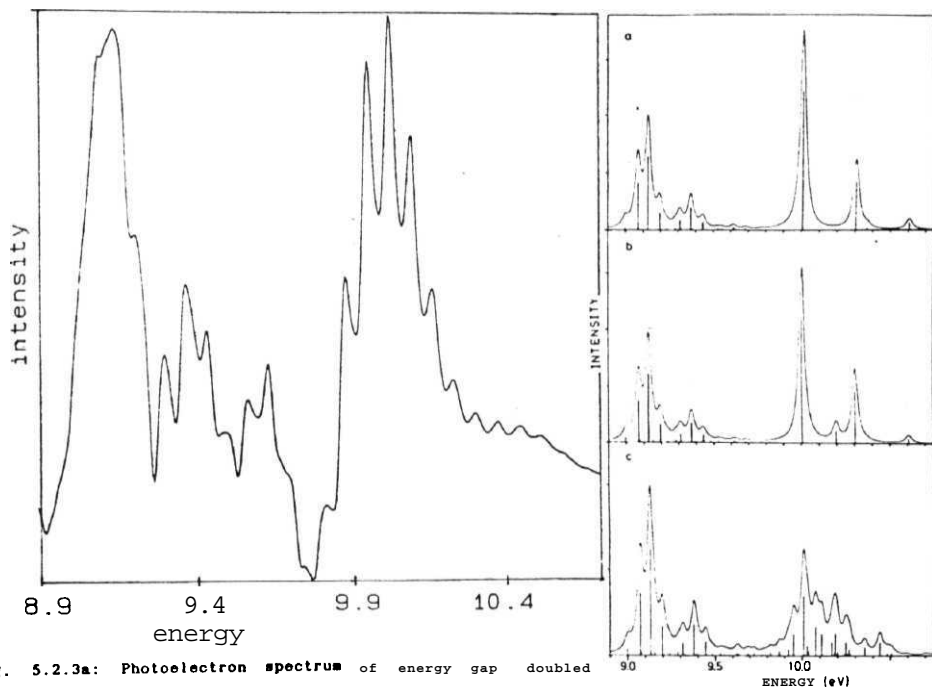


Fig. 5.2.3a: Photoelectron spectrum of energy gap doubled butatriene by the quadratic Lie-algebraic approach. The band at 0.15 eV is the **mystery band** obtained using the first set of equations. The **inserts a, b** and **c** are the **Franck-Condon, adiabatic** and **theoretically exact** spectra respectively (8).

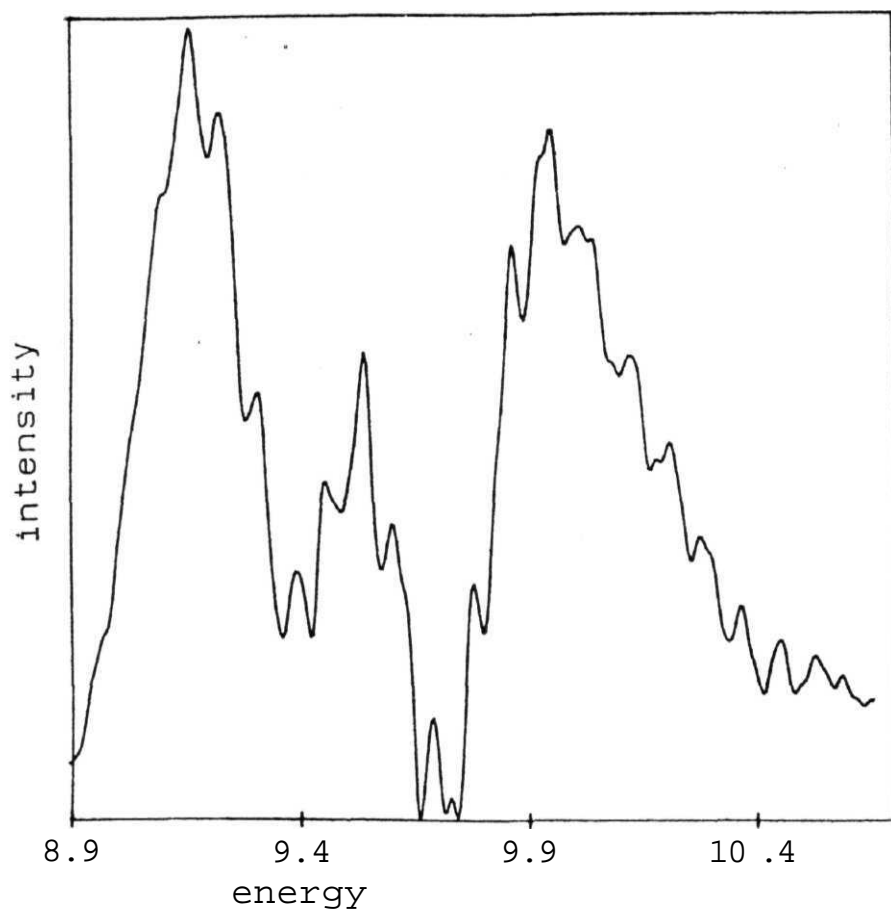


Fig. 5.2.3b: Photoelectron spectrum of energy gap doubled butatriene by the quadratic Lie-algebraic approach. The band at 9.5 eV is the mystery band obtained using the second set of equations.

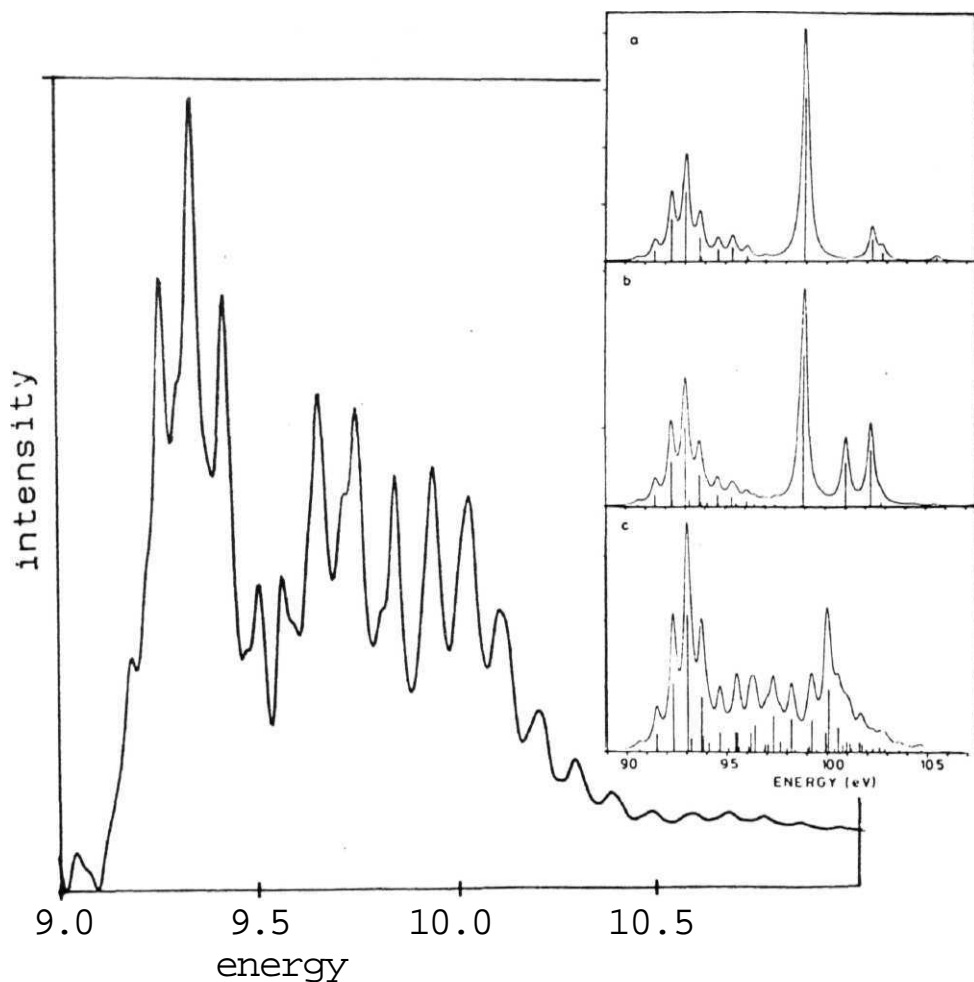


Fig. 5.2.3c: Photoelectron spectrum of butatriene by the quadratic Lie-algebraic approach. The inserts a,b and c are the Franck-Condon, adiabatic and theoretically exact spectra respectively [6].

be seen from Fig. 5.2.3a and 5.2.3b. This implies that the spectra depend on the manner in which the operators are arranged in the effective **hamiltonian**. An interesting feature of the energy gap doubled butatriene system and the original butatriene (Fig. 5.2.3c) system is that the spectrum shows three distinct bands while there are only two electronic states. The middle band in the PES of butatriene was named the mystery band by Brogli ~~et~~ al [30] for this reason. That this band appears due to vibronic coupling was shown by **Cederbaum** and co-workers [6]. As can be seen, the spectrum obtained from the algebraic approach is in good agreement except for a few minor shifts, with the exact spectrum and even the mystery band is generated in it. The spectra obtained from the algebraic approach is in better agreement with the exact than the corresponding Franck-Condon and adiabatic spectra shown in the inserts. Allene showed a better agreement with the exact spectrum than the Franck Condon spectrum (Fig. 5.2.4), but the density of states in the high energy part of the spectrum is less than that seen in the exact. Allene gave identical spectra with both sets of equations.

We further carried out calculations on pyrazine which is an example of a three mode system. Again both the sets of equations have been used to obtain the spectra. The equations of motion were rather stiff in the second case due to which they could not be integrated sufficiently long to obtain reasonable spectra. The lower surface shows discrete bands (Fig. 5.2.5a) as seen in the exact spectrum but the bands have not been sufficiently resolved though the sparse vibronic structure associated with the **S** state of rotationally and **vibrationally** cold aromatic molecules is **well** reproduced. The band corresponding to the upper surface is

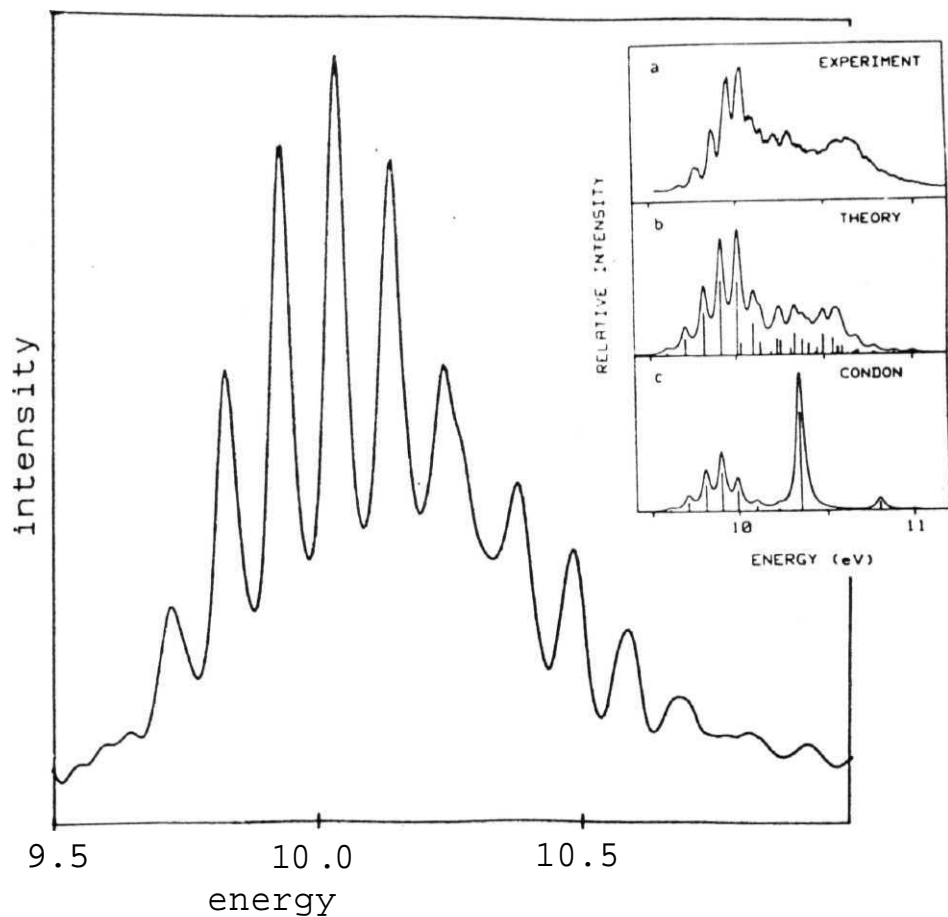


Fig. 5.2.4: Photoelectron spectrum of allene obtained from the quadratic Lie-algebraic approach. The inserts a,b and c are the experimental, theoretically exact and the Franck-Condon spectra respectively [6].

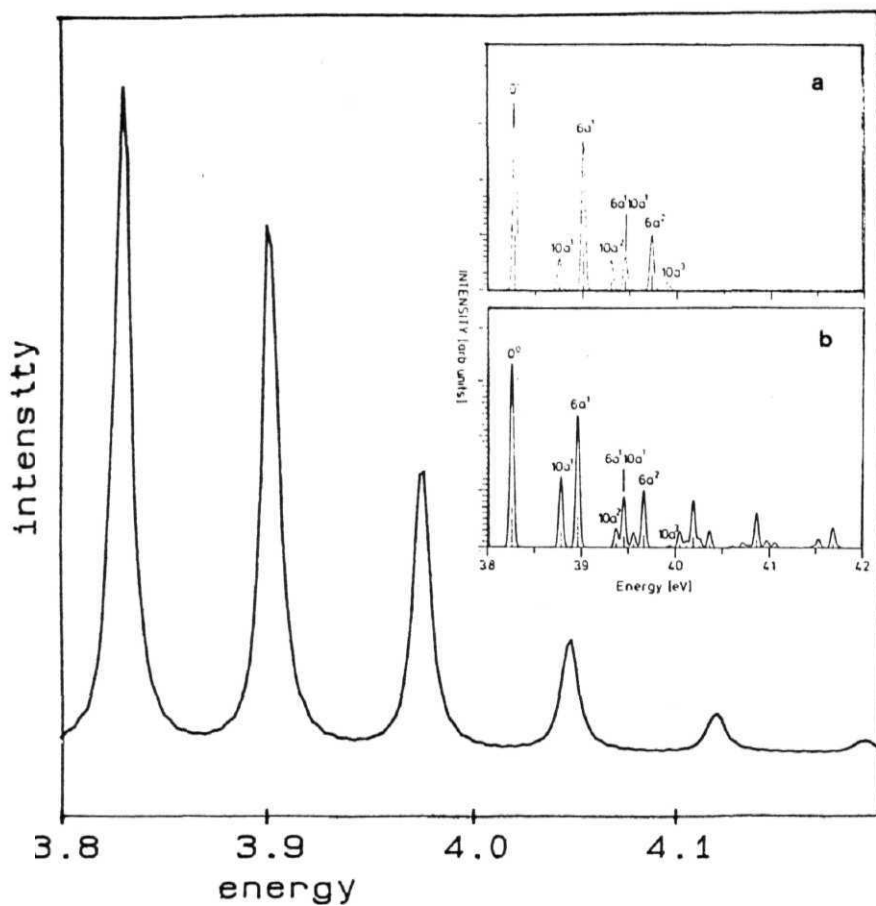


Fig. 5.2.5a: Photoelectron spectrum of the S. state of pyrazine by the quadratic Lie-algebraic approach. The inserts a and b correspond to the experimental and theoretical spectra respectively [13].

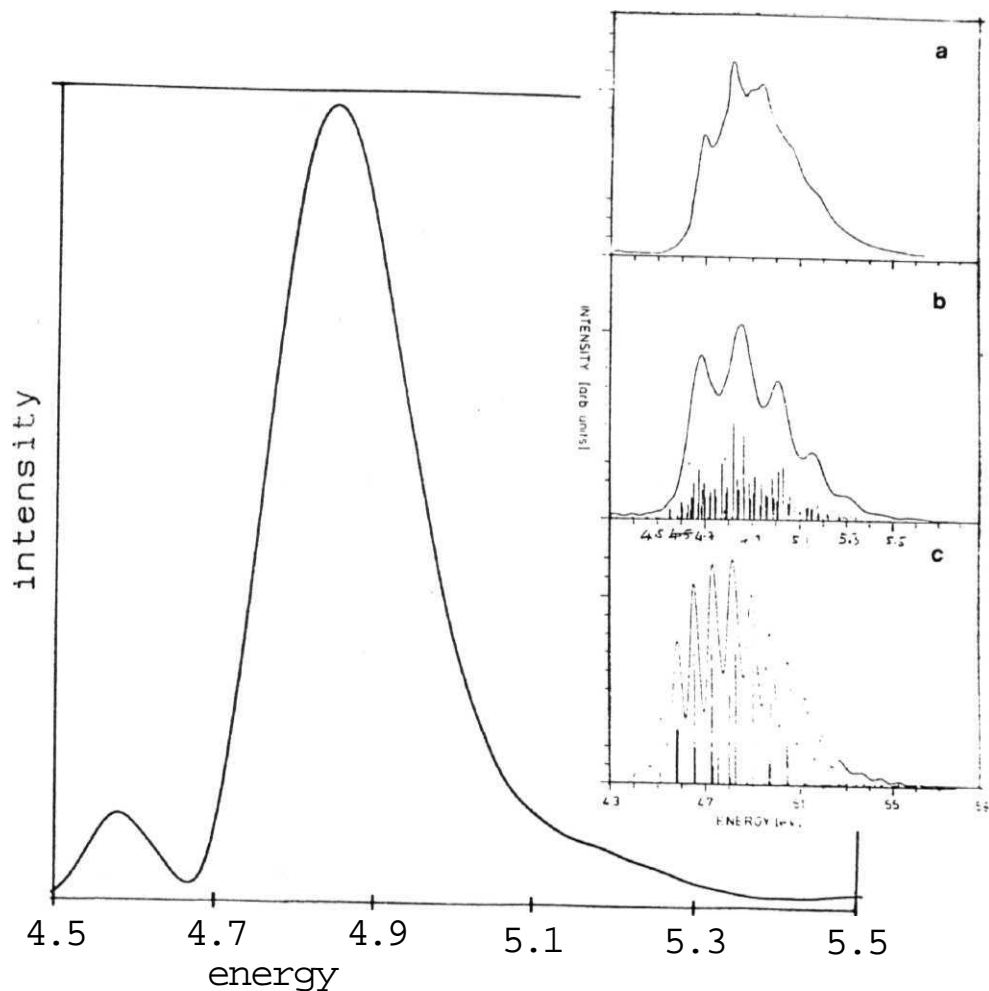


Fig. 5.2.5b: Photoelectron spectrum of the S_1 state of pyrazine by the quadratic Lie-algebraic approach. The inserts a, b and c are the experimental, theoretical and the spectrum obtained in the absence of vibronic coupling respectively [13]

narrower than the exact spectrum Fig.(5.2.5b).

In all our calculations we required about thirteen equations to be integrated where as the basis set expansion methods to date require an order of 20 basis [10-20] in each degree of freedom to obtain the spectra. This **computational** simplicity is the main attraction of the algebraic theory.

TABLE 5.2.1

| System | | I ^a | II ^b |
|------------|--------------------------------|----------------|-----------------|
| Butatriene | E ^c | 9.450 | 9.850 |
| | V _t /ω _g | -0.212/0.258 | 0.255/0.258 |
| | V _c /ω _u | 0.318/0.091 | |
| Allene | E ^c | 10.15 | 10.15 |
| | V _t /ω ₂ | 0.216/0.246 | -0.216/0.246 |
| | V _c /ω ₁ | 0.339/0.107 | |
| Pyrazine | E ^d | 3.94 | 4.84 |
| | V _t ¹ /ω | 0.037/0.126 | -0.254/0.074 |
| | V _t ² /ω | -0.105/0.126 | 0.149/0.074 |
| | λ/ω | 0.262/0.118 | |

a Lower electronic surface.

b Upper electronic surface.

E Energy of the electronic surface.

V_t Force constants of the tuning modes.

ω Frequencies of the vibrational modes.

V_c Coupling constant.

c Ref. [6J].

d Ref. [13].

All the quantities are in eV

5.2e. Application of TDCCM to the non-adiabatic dynamics.

We next **applied** the TDCCM to obtain the dynamics of the system described by the **hamiltonian** in eq. (5.2.7a, b). Since we desire the evolution of the vacuum state **only the** time evolution operator **U** is given by

$$U = \exp [S] \quad (5.2.17a)$$

$$S = \sum s_m a^{+m}. \quad (5.2.17b)$$

Substituting the equation for **U** in the TDSE given by

$$iU^{-1} \dot{U} = U^{-1} H U \quad (5.2.18)$$

we obtain the working equations of motion given by

$$i \dot{S}_m = \sum_n S_n \omega a^{+m} + \sum V_{tm} S a^{+m-1} + V_c a^+ \\ + \epsilon \exp(-2 \sum_{m=\text{even}} S_m a^{+m}) \cos(\pi a^+ a). \quad (5.2.19)$$

The equations obtained were very stiff and we could not integrate **them for** long enough times to obtain sufficiently resolved spectra.

5.3. MULTIREFERENCE TDCCM(1) (MRTDCCM1): APPLICABILITY TO NON-ADIABATIC DYNAMICS.

As the TDCCM failed to describe the **non-adiabatic** dynamics of systems described by the hamiltonian eq.(5.1.1), we extend the

formalism to include the complete algebra of the original unmapped **hamiltonian**. The advantage of **MRTDCCM** over single reference TDCCM discussed in section 5.2e is as follows. Let the ground state in the single reference TDCCM be $|e\rangle|0\rangle$ where $|e_1\rangle$ and $|0\rangle$ are the initial electronic and vibrational states **respectively**. As we consider only two electronic states in our applications to **non-adiabatic** dynamics the quadratic and the higher order electronic excitation operators generated by the exponential structure of the evolution operator give zero as only one electronic excitation is possible i.e, from the initial electronic state ($|e_1\rangle$) to the remaining state ($|e_2\rangle$). In principle the attraction of TDCCM is that the higher order excitations are also taken into account by the virtue of the exponential ansatz for the evolution operator. The MRTDCCM on the other hand generates all the higher order electronic excitations as the **multireference** initial state is given by $|e_i\rangle|0\rangle$ for $i=1,2$. Hence excitations from any one state leads to the other leading to the **retainment** of the higher order excitation operators. Therefore we expect the results to be better than the single reference TDCCM. This difference between the two methods holds good for any number of electronic states in which case many of the operators in the exponential ansatz do not contribute in the single reference TDCCM.

In this section, we discuss the application of MRTDCCM to the non adiabatic dynamics of systems described by the complete **non-adiabatic** unmapped **hamiltonian** in the linear **coupling** model. We show how the Lie-algebra to which the **hamiltonian** belongs can be decomposed to obtain decoupled equations of motion similar to the discussion in chapter 2.

5.3a. The Evolution Operator.

The general **hamiltoman** describing the nonadiabatic dynamics in the **linear** coupling model [6] is given by

$$H = \sum_i |e_i\rangle (E_i + H_i) \langle e_i| + V_c \quad (5.3.1)$$

where

$$H_i = \sum_n h_{in} \quad (5.3.2a)$$

$$h_{in} = \omega_n (a_n^\dagger a_n + 1/2) + v_n^{ii} (a_n^\dagger + a_n) / \sqrt{2\omega_n} \quad (5.3.2b)$$

$$V_c = |e_1\rangle (v_c^{ij} / \sqrt{2\omega_n}) (a_n^\dagger + a_n) \langle e_2| \quad (5.3.2c)$$

where ω are the frequencies of the nth vibrational **mode**, v and v_c^{ij} are the intrastate and interstate coupling constants **respectively**.

We now analyse the Hilbert space \mathcal{H} relevant to the dynamics of this system. Let \mathcal{H}_e , \mathcal{H}_v correspond to the Hilbert spaces of the electronic subsystem \mathcal{H} and the vibrational subsystems \mathcal{H} **respectively**. Then,

$$\mathcal{H} = \mathcal{H}_e \otimes \mathcal{H}_v \quad (5.3.3)$$

where

$$\mathcal{H}_e = \{ |e_j\rangle \}. \quad (5.3.4a)$$

The operator set corresponding to this Hilbert space is A_0

$$A_0 = \{ |i\rangle \langle j| \}$$

and

$$\mathcal{H}_v = \{ |n\rangle \}. \quad (5.3.4b)$$

The operator set corresponding to this Hilbert space is A .

$$A_n = \prod_{\alpha} a_{\alpha}^{m_{\alpha}} a_{\alpha}^{n_{\alpha}}. \quad (5.3.5)$$

We do not consider the direct product **representation** between the Hilbert spaces corresponding to the vibrational sub-spaces as the **hamiltonian** does not contain such terms. \mathcal{H} now consists of the **elements**

$$\mathcal{H} = \{ |e_1\rangle |n\rangle \}. \quad (5.3.6)$$

The operator space corresponding to \mathcal{H} to which the hamiltonian of the system belongs has the following elements,

$$L = \{ |i\rangle \langle k| \prod_{\alpha} a_{\alpha}^{m_{\alpha}} a_{\alpha}^{n_{\alpha}} \} \quad \forall i, k \text{ and } 0 \leq m_{\alpha}, n_{\alpha} \leq \infty \quad (5.3.7)$$

These elements form a Lie-algebra. We now show the construction of the time evolution operator which is essential to obtain the dynamics of the system. The Lie-algebra to which the hamiltonian belongs can now be decomposed in the following way.

$$C_0 = \{ |k\rangle \langle i| : \prod_{\alpha} a_{\alpha}^{+n_{\alpha}} ; k, i=1,2 \text{ and } 1 \leq n_{\alpha} \leq \infty \}. \quad (5.3.8)$$

$$D_0 = \{ |k\rangle \langle i| \} \quad (5.3.9)$$

$$L_1' = \{ |k\rangle \langle i| : \prod_{\beta, \alpha=1}^D a_{\alpha}^{+n_{\alpha}} a_{\beta}^{n_{\beta}} ; k, i=1,2 ; 0 \leq n_{\alpha} \leq \infty \text{ and } \sum_{\beta} n_{\beta} > 0 \}. \quad (5.3.10)$$

With this, U can be parametrized similar to the time dependent **generalisation** of the NCCM ansatz discussed in chapter 2.

$$U = U_0 U_1 \dots \quad (5.3.11a)$$

$$U_0 = \exp \left[\sum_{i,k} |i\rangle \langle k| \sum_{n_1 n_2 \dots} S_{n_1 n_2 \dots}^{ik} (a_1^+)^{n_1} (a_2^+)^{n_2} \dots + S_{00}^0 \right] \quad (5.3.11b)$$

$$U_1 = \exp \left[\sum_{i,k} |i\rangle \langle k| \sum_{\alpha} \sum_{n_1 n_2 \dots} S_{n_1 n_2 \dots; \alpha}^{ik} (a_1^+)^{n_1} (a_2^+)^{n_2} \dots a_{\alpha} \right] \quad (5.3.11c)$$

We now study the ground state propagation of the system and obtain the **autocorrelation** function to obtain the spectra. The time evolution operator corresponding to the ground state propagation is given by

$$U |0\rangle = U_0 |0\rangle \quad (5.3.12a)$$

$$U_0 = \exp \left[\sum_{i,k} |i\rangle \langle k| \sum_{n_1 n_2 \dots} S_{n_1 n_2 \dots}^{ik} (a_1^+)^{n_1} (a_2^+)^{n_2} \dots + S_{00}^0 \right] \quad (5.3.12b)$$

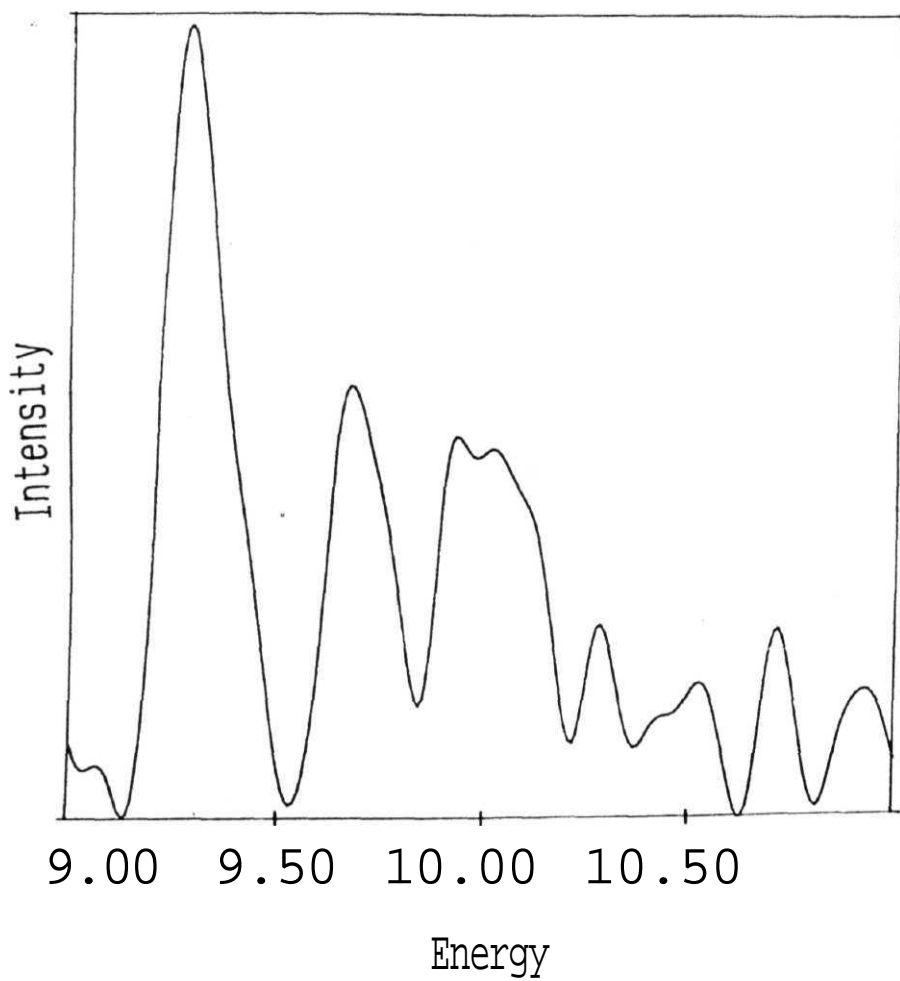


Fig. 5.3.1b: Photoelectron spectrum of butatriene obtained from MRTDCCM1.

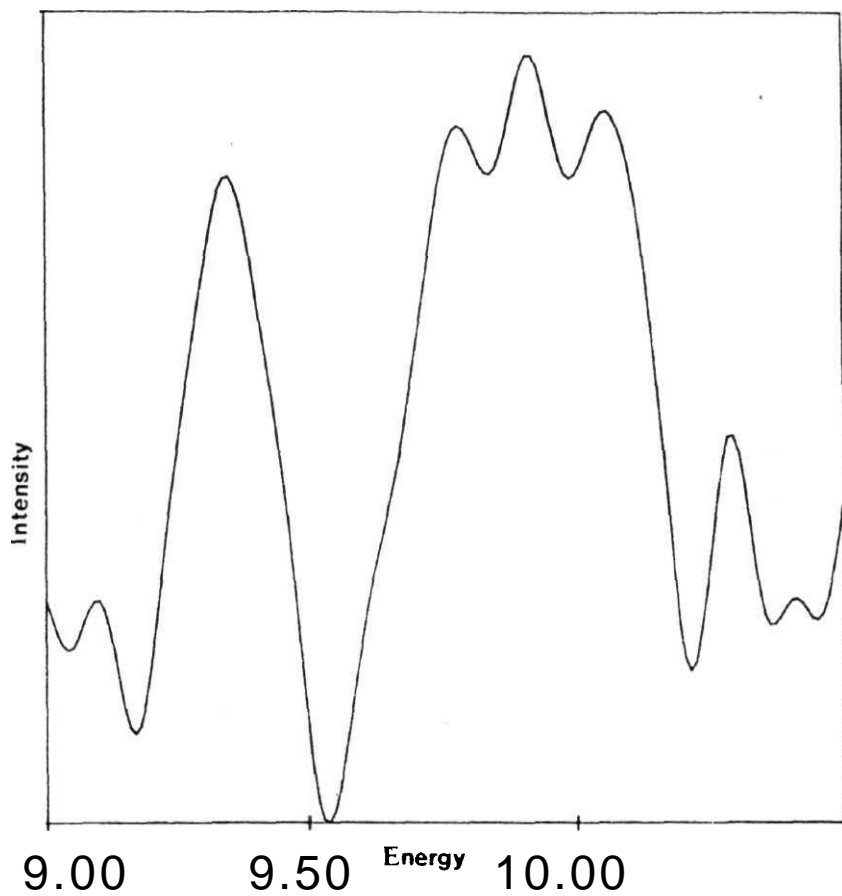


Fig. 5.3.1a: Photoelectron spectrum of the upper surface of butatriene obtained from MRTDCCM1.

The equations of motion are obtained by solving the TDSE which we do so by the conventional diagrammatic approach [31]. The diagrams obtained in this case are shown in appendix 5.3.

5.3b. Numerical studies.

We have applied the above **formalism** to obtain the spectra of a few realistic systems. In **all** the cases, we have truncated the cluster operator such that no more than one boson operator was included due to lack of **computational** resources, no convergence studies were undertaken. The spectrum of butatriene upper surface is presented in Fig. 5.3.1a. The lower surface could not be integrated for sufficiently long to obtain a reasonably resolved spectrum at this level of **approximation**. The total spectrum of butatriene is presented in Fig. 5.3.1b. The spectrum is not in good agreement with the exact as the integration of the equations of motion could not be performed for sufficiently long. In spite of this, the three bands seen in the exact butatriene spectrum are seen in this case also.

We next present the **S₁** surface of pyrazine in Fig. 5.3.2. Good agreement with the exact spectrum is seen. In this system the density of states is so high that only a homogeneously broadened envelope is seen which does not require integration for long times to obtain a reasonably good spectrum. The spectrum for allene could not be obtained as the equations were too **stiff** to be integrated for long times.

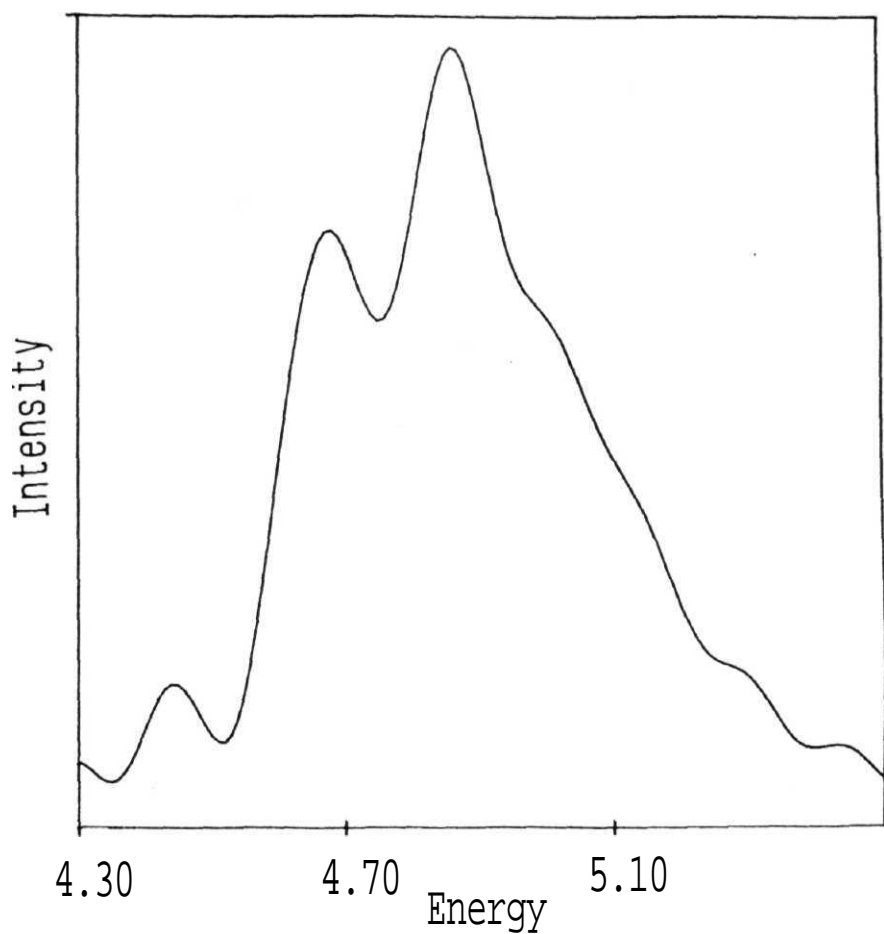


Fig. 5.3.2: Photoelectron spectrum of the S_2 state of pyrazine obtained from MRTDCCM1.

5.4.. MRTDCCM2.

As we could not integrate the equations of motion for sufficiently long by applying the methodology discussed in Sec. 5.3, we tried an alternative procedure which we discuss in this section.

5.4a. The evolution operator.

The hamiltonian is as given by eq.(5.3.1) and (5.3.2). In these equations, the electronic degrees of freedom and the coupling mode interact strongly. Keeping this in mind we combine them together and rewrite the hamiltonian as

$$H = \sum_{i,j} |i\rangle \langle j| \left[E_{ij} + \sum_t \omega_t (a_t^\dagger a_t + 1/2) + \sum_{i,t} \langle i| V_n^{ii} (a_t^\dagger + a_t) / \sqrt{2\omega_t} \langle i| \right] \quad (5.4.1)$$

where,

$$E_{ij} = |i\rangle \left[E_i \delta_{ij} + \omega_c (a_c^\dagger a_c + 1/2) + (1 - \delta_{ij}) (V_c^{ij} / \sqrt{2\omega_c}) (a_c^\dagger + a_c) \right] \langle j| \quad (5.4.2)$$

where the subscripts t and c denote the tuning and coupling modes **respectively**. $|j\rangle \langle k|$ represent the projection operators in the **Hilbert** space of the combined electronic **subsystem** and a and a^\dagger are the boson **annihilation** and creation operators of the i th vibrational mode.

We now analyse the Hilbert space \mathcal{H} relevant to the dynamics of this system. Let \mathcal{H}_{ec} , \mathcal{H}_v correspond to the Hilbert spaces of

the combined electronic and coupling mode subsystems \mathcal{H}_{ec} and the tuning mode subsystem \mathcal{H}_v **respectively**. Then,

$$\mathcal{H} = \mathcal{H}_{ec} \otimes \mathcal{H}_v \quad (5.4.3)$$

where

$$\mathcal{H}_{ec} = \{ |e_j\rangle |n_c\rangle \}. \quad (5.4.4a)$$

The operator set **corresponding** to this **Hilbert** space is A_0 .

$$A_0 = \{ |i\rangle \langle j| \} \quad (5.4.4b)$$

$$\mathcal{H}_v = \{ |n\rangle \}. \quad (5.4.5a)$$

The operator set corresponding to this Hilbert space is **given** by

$$A_\alpha = \{ \prod_\alpha a_\alpha^{m_\alpha} a_\alpha^{m_\alpha} \} \quad (5.4.5b)$$

where α corresponds to the tuning mode subsystem. The Lie algebra corresponding to this **hamil**Ionian is identical in structure to eq.(5.3.7) except that $|i\rangle \langle k|$ now correspond to the combined electronic and vibrational subsystems. The same is the case for the evolution operator given by equations 5.3.11 and 5.3.12. The diagrams are identical to those obtained in the previous section.

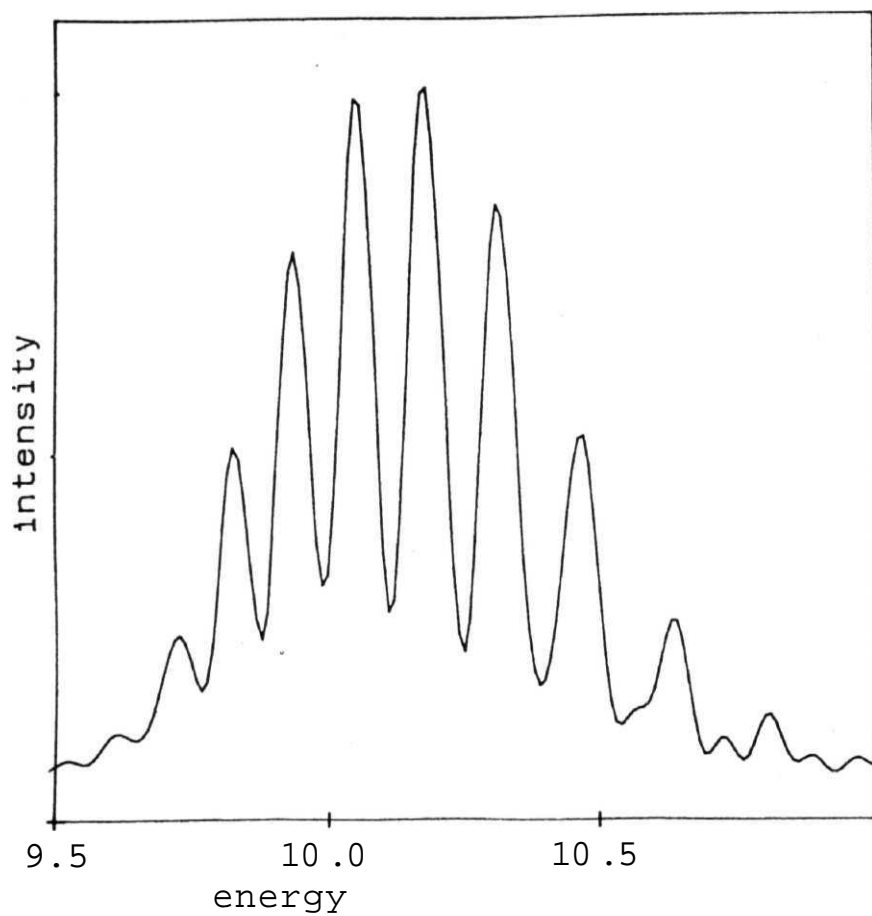


Fig. 5.4.1: Photoelectron spectrum of allene obtained from MRTDCCM2.

5.4b. Numerical Studies.

We have applied the **formalism** discussed in **Sec.5.4a** again in the one boson **approximation** to obtain the **photoelectron** spectra of allene (Fig. 5.4.1), and **butatriene** (Fig. 5.4.2a corresponding to the upper surface and Fig. 5.4.2b corresponding to the total spectrum). The spectrum for **allene** does not show the large density of states seen in the latter part of the exact **spectrum**. This result is similar to that obtained in the case of the surface mapped **hamiltonian** discussed in Sec. 5.2 using the quadratic **Lie-algebraic** approach. The first part of the spectrum obtained for butatriene **is** in good agreement with the exact spectrum although the intensity seen in the latter part of the spectrum is **overestimated** which we attribute to the fact that the lower surface could not be integrated for sufficiently long times. In this formalism the spectrum for pyrazine could not be obtained.

5.5. CONCLUSIONS.

In this work we have applied the coherent state algebraic formalism [1,21, which corresponds to the GWP [22-26] in the coordinate space, to calculate the dynamics of **non-adiabatic** systems, specifically consisting of two electronic states belonging to different symmetries. In GWP, the potential is expanded as a Taylor series around the **centroid** of the **wavepacket**. The difficulty in choosing the centroid of the wavepacket which **comprises** of two nuclear wave functions corresponding to the two electronic functions having two

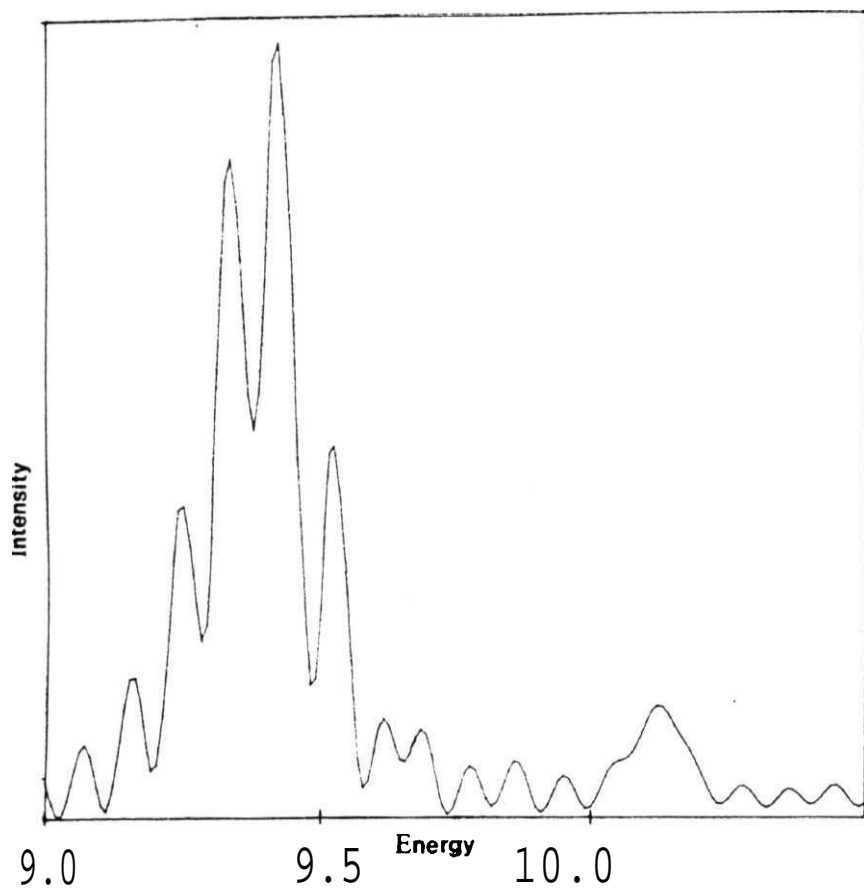


Fig. 5.4.2a: Photoelectron spectrum of the upper surface of butatnene obtained from MRTDCM2.

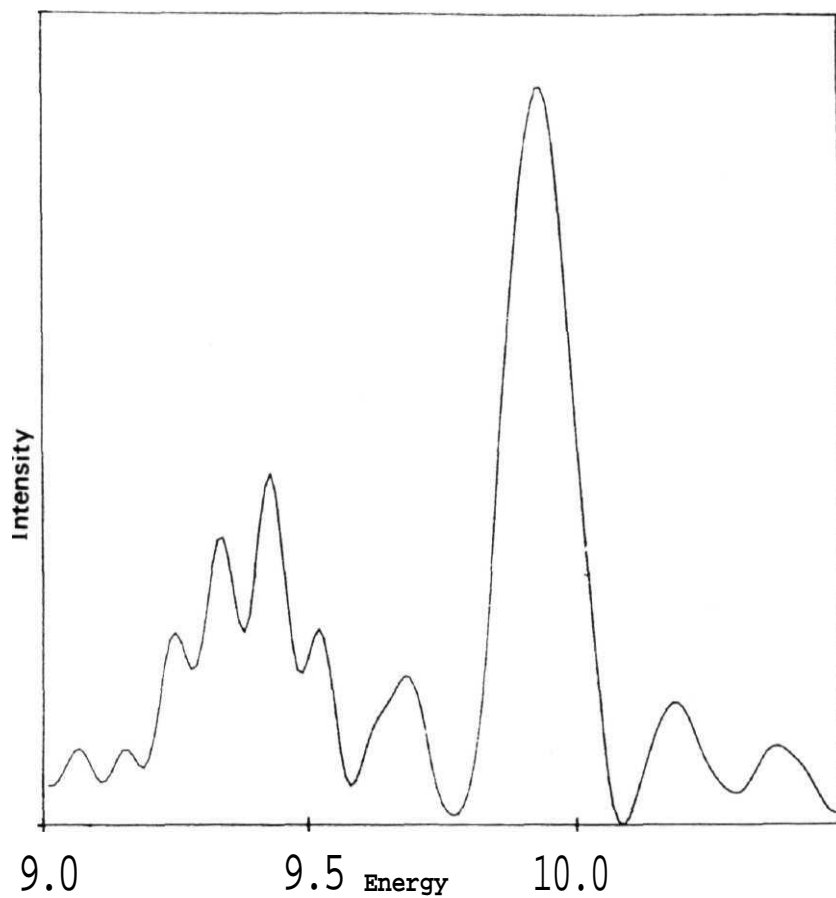


Fig. 5.4.2b: Photoelectron spectra of butatriene obtained from MRTDCCM2.

different centroids and momenta, has led us to construct a new hamiltonian which is explicitly independent of the involved electronic states. This has been done by mapping the original hamiltonian on to a hamiltonian associated with a single electronic surface.

Using the new hamiltonian we have calculated the spectra for a few model systems comprising of two electronic states and one vibrational (coupling) mode to study the sensitivity of the coupling constants and the energy gap between the two electronic states on the spectrum. It has been found that for the single vibrational mode (having no tuning modes which modulate the electronic energy gap) case the accuracy of the Lie-algebraic approach increases as the energy gap between the two surfaces increases. For a small ϵ , the spectrum associated with the lower surface is well reproduced, but the upper surface dynamics are quite inaccurate.

For the multimode case, two sets of ansatz have been used to obtain the spectra differing only in the equation for T^C corresponding to the single boson annihilation operator of the coupling mode. Except for energy gap doubled butatriene, the equations of motion obtained by using the second set of equations were too stiff to be integrated upto sufficiently long times to obtain the spectra. This shows that the calculated spectra are dependent on the manner in which the operators in the effective hamiltonian are chosen. The photoelectron spectra of allene, butatriene and pyrazine obtained from the first set of equations of motion given by eq.(5.2.14) gave a good overall spectra.

We have also tried to evaluate the spectra using the TDCCM approach, but the equations were found to be too stiff to be

integrated for sufficiently long times to obtain spectra. We observed however that till the time period we could integrate, the TDCCM values of the **autocorrelation** function tallied very well with the exact values.

As the TDCCM failed to account for the dynamics of the system **decribed** by the **mapped hamiltonian**, we tested the **applicability** of the **multireference** TDCCM (**MRTDCCM**) to the unmapped **hami! tonian** involving explicitly the electronic degrees of freedom. Two different evolution operators were considered. In one the electronic and the coupling mode subsystems were combined as the interaction between them is strong and in the other the elctronic subsystem is decoupled from the vibrational subsystem totally. We found that the equations are quite stiff in both the cases, but were more flexible than the single reference TDCCM. In all the cases the short time dynamics is well reproduced at one boson **approximation** level. But the long time dynamics (even beyond 50 fs.) could not be obtained. Note that all the **multimode** systems studied here contain conical **intersections** close to the. Franck-Condon zone and consequently accessible to the wave packets started at the origin. As we found in Sec. 5.2, the wave packet approaches breakdown when the energy gap between the two surfaces is small. Thus, it is not surprising that the TDCCM approaches breakdown for these systems.

APPENDIX 5.2

We solve the TDSE to obtain the working equations of motion by the quadratic **Lie-algebraic** approach applied to the **non-adiabatic** dynamics corresponding to the surface mapped **hamiltonian** discussed in Sec. 5.2. The time evolution operator is given by

$$U = \exp \left[\sum_i S_1^i a_i^\dagger + \sum_{i \leq j} S_2^i a_i^\dagger a_j^\dagger \right] \exp \left[-\sum_i T_1^i a_i + \sum_{i \leq j} T_2^i a_i a_j \right] \times \exp [S_0] \quad (\text{A5.1})$$

Substituting the above equation in the TDSE we obtain

$$iU^{-1} \dot{U} = U^{-1} H U \quad (\text{A5.2})$$

Considering the **R.H.S.** of the above equation we obtain by **substituting** eq.(5.2.10) for the hamiltonian

$$U^{-1} H U = U^{-1} \left[\sum_{n=t,c} \omega_n a_n^\dagger a_n + \sum_{n=t,c} V_n (a_n^\dagger + a_n) - \epsilon \cos \left(\pi \sum_c a_c^\dagger a_c \right) - \sum_t U_t (a_t^\dagger + a_t) \cos \left(\pi \sum_c a_c^\dagger a_c \right) \right] U \quad (\text{A5.3})$$

where the superscript and the subscript n denotes commonly the tuning and the coupling modes. The subscripts c and t denote the coupling and the tuning modes **respectively**.

The first term in the above equation is obtained by applying the Hausdorff expansion [32].

$$\begin{aligned}
U^{-1} [\omega_n a_n^+ a_n] U = & \omega_n a_n^+ a_n + \omega_n T_1^n a_n + 2 T_2^n \omega_n a_n a_n \\
& + S_1^n \omega_n a_n^+ + S_1^n T_1^n \omega_n + 2 S_1^n T_2^n \omega_n a_n + 2 S_2^n \omega_n a_n^+ a_n^+ \\
& + 4 S_2^n T_1^n \omega_n a_n^+ + 2 S_2^n T_2^{n2} \omega_n + 2 S_2^n T_2^n \omega_n (4 a_n^+ a_n + 2) \\
& + 8 S_2^n T_2^n T_2^n \omega_n a_n + 8 S_2^n T_2^n \omega_n a_n a_n \quad (A5.4)
\end{aligned}$$

The second term in eq. (A5.3) after the **Hausdorff** expansion is given by

$$\begin{aligned}
U^{-1} [v_n (a_n^+ + a_n)] U = & v_n a_n^+ + T_1^n v_n + 2 T_2^n v_n a_n \\
& + v_n a_n + v_n S_1^n + 4 S_2^n T_2^n v_n a_n + 2 S_2^n v_n a_n^+ + 2 S_2^n T_1^n v_n \quad (A5.5)
\end{aligned}$$

Considering the third term we obtain,

$$\begin{aligned}
U^{-1} [-\epsilon \cos(\pi \sum_c a_c^+ a_c)] U = \\
\exp [-S_0] \exp [T_1^c a_c] \exp [T_2^c a_c a_c] \exp [-S_1^c a_c^+] \exp [-S_2^c a_c^+ a_c^+] \\
\times [-\epsilon \cos(\pi \sum_c a_c^+ a_c)] \\
\exp [S_2^c a_c^+ a_c^+] \exp [S_1^c a_c^+] \exp [-T_1^c a_c] \exp [-T_2^c a_c a_c] \\
\times \exp [S_0] \quad (A5.6)
\end{aligned}$$

We now put the above equation in normal order i.e, **all** the annihilation operators are arranged to be to the extreme right so that the **equations** obtained for the vacuum state propagation simplify as these operators **give** zero acting on the vacuum state. By doing this rearrangement the equation for the auto

correlation function also simplifies.

$\text{Cos}(\pi \mathbf{a}_c^+ \mathbf{a}_c)$ can be written as $\exp(i \pi \mathbf{a}_c^+ \mathbf{a}_c)$. Hence, the above equation can be rewritten as

$$= \exp[-S_0] \exp[T_1^C \mathbf{a}_c] \exp[T_2^C \mathbf{a}_c \mathbf{a}_c] \exp[-S_1^C \mathbf{a}_c^+] \\ \times \exp[-S_2^C \mathbf{a}_c^+ \mathbf{a}_c^+] \exp(i \pi \mathbf{a}_c^+ \mathbf{a}_c) \exp[S_2^C \mathbf{a}_c^+ \mathbf{a}_c^+] \\ \times \exp[S_1^C \mathbf{a}_c^+] \exp[-T_1^C \mathbf{a}_c] \exp[-T_2^C \mathbf{a}_c \mathbf{a}_c] \times \exp[S_0] \quad (\text{A5.7})$$

$$= \exp[-S_0] \exp[T_1^C \mathbf{a}_c] \exp[T_2^C \mathbf{a}_c \mathbf{a}_c] \exp[-S_1^C \mathbf{a}_c^+] \exp[-S_2^C \mathbf{a}_c^+ \mathbf{a}_c^+] \\ \times \exp(i \pi \mathbf{a}_c^+ \mathbf{a}_c) \exp[S_2^C \mathbf{a}_c^+ \mathbf{a}_c^+] \exp(-i \pi \mathbf{a}_c^+ \mathbf{a}_c) \exp(i \pi \mathbf{a}_c^+ \mathbf{a}_c) \\ \times \exp[S_1^C \mathbf{a}_c^+] \exp[-T_1^C \mathbf{a}_c] \exp[-T_2^C \mathbf{a}_c \mathbf{a}_c] \times \exp[S_0] \quad (\text{A5.8})$$

The part of the equation underlined in the above equation can be expanded using the BCH expansion to obtain

$$\exp(i \pi \mathbf{a}_c^+ \mathbf{a}_c) \exp[S_2^C \mathbf{a}_c^+ \mathbf{a}_c^+] \exp(-i \pi \mathbf{a}_c^+ \mathbf{a}_c) \\ \times \exp[S_2^C \mathbf{a}_c^+ \mathbf{a}_c^+] \exp(2i\pi) \quad (\text{A5.9})$$

$$= \exp[S_2^C \mathbf{a}_c^+ \mathbf{a}_c^+] \quad (\text{A5.10})$$

This follows from $\exp(2i\pi)$ being equal to 1. Substituting the above equation in eq. (A5.8) we obtain,

$$\begin{aligned}
&= \exp [-S_0] \exp [T_1^C a_c] \exp [T_2^C a_c a_c] \\
&\times \exp [-S_1^C a_c^+] \exp (i \pi a_c^+ a_c) \exp [S_1^C a_c^+] \exp [-T_1^C a_c] \\
&\times \exp [T_2^C a_c a_c] \exp [S_0] \quad (A5.11)
\end{aligned}$$

Again the above equation can be rewritten similar to the previous manipulation as in eq. (A5.8) as

$$\begin{aligned}
&= \exp [-S_0] \exp [T_1^C a_c] \exp [T_2^C a_c a_c] \exp [-S_1^C a_c^+] \\
&\times \exp (i \pi a_c^+ a_c) \exp [S_1^C a_c^+] \exp (-i \pi a_c^+ a_c) \exp (i \pi a_c^+ a_c) \\
&\quad \underbrace{\exp [-T_1^C a_c] \exp [T_2^C a_c a_c] \exp [S_0]}_{(A5.12)}
\end{aligned}$$

The underlined part of the above equation is written as

$$\begin{aligned}
&\exp (i \pi a_c^+ a_c) \exp [S_1^C a_c^+] \exp (-i \pi a_c^+ a_c) = \\
&\times \exp [S_1^C a_c^+ \exp(i\pi)] = \exp [-S_1^C a_c^+] \quad (A5.13)
\end{aligned}$$

Substituting back in eq. (A5.12) we obtain

$$\begin{aligned}
&= \exp [-S_0] \exp [T_1^C a_c] \exp [T_2^C a_c a_c] \exp [-2S_1^C a_c^+] \\
&\times \exp (i \pi a_c^+ a_c) \exp [-T_1^C a_c] \exp [T_2^C a_c a_c] \exp [S_0] \\
&= \exp [-S_0] \exp [T_1^C a_c] \exp [T_2^C a_c a_c] \exp [-2S_1^C a_c^+] \\
&\quad \underbrace{\exp [-T_2^C a_c a_c] \exp [T_2^C a_c a_c]}_{(A5.14)} \\
&\times \exp (i \pi a_c^+ a_c) \exp [-T_1^C a_c] \exp [T_2^C a_c a_c] \exp [S_0]
\end{aligned}$$

$$\begin{aligned}
&= \exp [-S_0] \exp [T_1^C a_c] \exp [-2S_1^C a_c^+ - 4 S_1^C T_2^C a_c] \\
&\times \exp [T_2^C a_c a_c] \exp (i \pi a_c^+ a_c) \exp [-T_1^C a_c] \exp [T_2^C a_c a_c] \\
&\times \exp [S_0] \quad (A5.14)
\end{aligned}$$

Using the relation

$$\exp [Z_1 a^+ + Z_2 a] = \exp [Z_1 a^+] \exp [Z_2 a] \exp [Z_1 Z_2] \quad (A5.15)$$

$$\begin{aligned}
\exp [-2S_1^C a_c - 4 S_1^C T_2^C a_c] &= \exp [-2S_1^C a_c^+] \exp [-4 S_1^C T_2^C a_c] \\
&\times \exp [4 S_1^{C2} T_2^C] \quad (A5.16)
\end{aligned}$$

Substituting this in eq. (A5.14) we obtain

$$\begin{aligned}
&= \exp [-S_0] \exp [T_1^C a_c] \exp [-2S_1^C a_c^+ \exp [-4 S_1^C T_2^C a_c] \\
&\times \exp [4 S_1^{C2} T_2^C] \exp [T_2^C a_c a_c] \exp (i \pi a_c^+ a_c) \exp [-T_1^C a_c] \\
&\times \exp [T_2^C a_c a_c] \exp [S_0] \quad (A5.17)
\end{aligned}$$

Continuing in the same vein we finally obtain for the third term in eq. (A5.3)

$$\begin{aligned}
U^{-1} [- \epsilon \cos(\pi \sum_c a_c^+ a_c)] U &= \\
&c \exp [-2 S_1^C a_c^+] \exp [i \pi a_c^+ a_c] \exp [-2S_1^C (1 + 2T_2^C) a_c] \quad (A5.18)
\end{aligned}$$

Similarly the last term in eq. (A5.3) can be evaluated to give

$$\begin{aligned}
 & U^{-1} \left[- \sum_t U_t (a_t^+ + a_t) \cos \left(\pi \sum_c a_c^+ a_c \right) \right] U = \\
 & \underline{U^{-1} \left[- \sum_t U_t (a_t^+ + a_t) \right] U} \quad \underline{U^{-1} \left[\cos \left(\pi \sum_c a_c^+ a_c \right) \right] U} \quad (A5.19)
 \end{aligned}$$

The above equation is a product of two **Hausdorff** expansions shown by underlining both the parts. The evaluation of the two parts is similar to the way in which the second **term** and the third term of **eq.** (A5.3) have been evaluated. The resultant equation is

$$\begin{aligned}
 & U^{-1} \left[- \sum_t U_t (a_t^+ + a_t) \cos \left(\pi \sum_c a_c^+ a_c \right) \right] U = \\
 & \left[U_n a_n^+ + T_1^n U_n + 2 T_2^n U_n a_n + U_n a_n + U_n S_1^n + 4 S_2^n T_2^n U_n a_n \right. \\
 & \left. + 2 S_2^n U_n a_n^+ + 2 S_2^n T_1^n U_n \right] c \exp \left[-2 S_1^c a_c^+ \right] \exp \left[i \pi a_c^+ a_c \right] \\
 & \times \exp \left[-2 S_1^c (1 + 2 T_2^c) a_c \right] \quad (A5.20)
 \end{aligned}$$

Combining equations (A5.4), (A5.5), (A5.18) and (A5.20) we obtain the equation for the **R.H.S.** of the TDSE. The **L.H.S.** is obtained **similarly** as

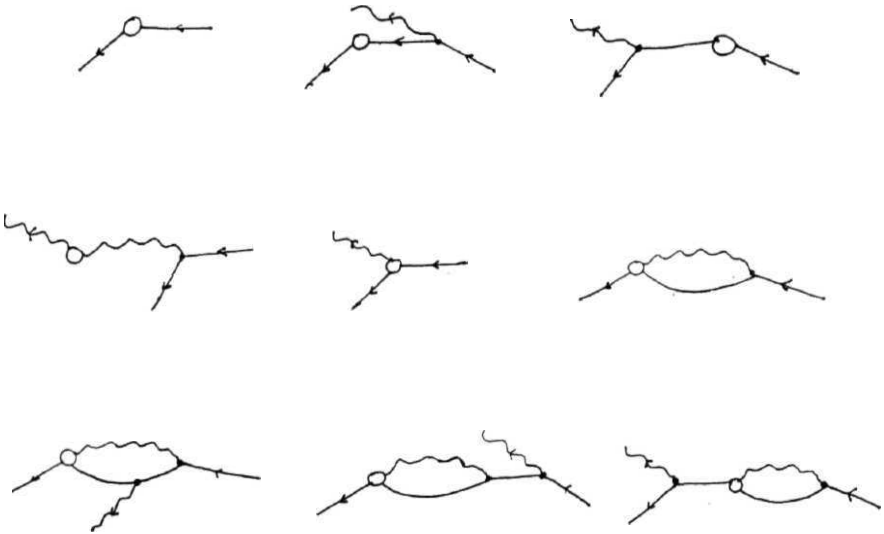
$$\begin{aligned}
 i U^{-1} \frac{d}{dt} U &= \dot{S}_2 a_n^+ a_n^+ + 2 \dot{S}_2 T_1 a_n^+ + \dot{S}_2 T_1^2 + \dot{S}_2 T_2 (4 a_n^+ a_n + 2) \\
 &+ 4 \dot{S}_2 T_2 T_1 a_n + 4 \dot{S}_2 T_2 a_n a_n + \dot{S}_1 a_n^+ + \dot{S}_1 T_1 + 2 \dot{S}_1 T_2 a_n^+ \\
 &- \dot{T}_2 a_n a_n - \dot{T}_1 a_n + \dot{S}_0 \quad (A5.21)
 \end{aligned}$$

Combining the **R.H.S.** and the **L.H.S.** and comparing the coefficients of S_{11} , S_{21} , T and T we obtain the working equations of motion given by **eq.** (5.2.13) in the text.

The second set of equations can be obtained in an identical **fashion**.

APPENDIX 5.3

In this appendix we give the diagrams which result from the application of the **MRTDCCM** evolution operator to obtain the equations of motion. In these diagrams 0 represents the **hamiltonian** vertex and dot represents the evolution operator vertex. These diagrams are obtained using conventional diagrammatic techniques [31].



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CHAPTER 6

CONCLUDING REMARKS

In this thesis, we have discussed the application of the infinite dimensional Lie-algebra to obtain the dynamics on **anharmonic** potential energy surfaces. While all the Lie-algebraic applications to date have used the finite dimensional Lie-algebras leading to a truncation in the potential energy surface in the **hamiltonian**, our methodology does not require a truncation in the **hamiltonian** [1-5]. The methodology is discussed in detail in chapter 2. Two ways of decomposing the algebra have been discussed leading to two different forms of the time evolution operator giving decoupled equations of motion. These two forms of the time evolution operator are found to be the time dependent **generalisations** of the CCM wave operators corresponding to the NCCM and the ECCM ansatz derived by Arponen [6]. The NCCM and ECCM versions developed by Arponen were tailored for the dynamics of states represented by a single reference state (treated as vacuum) at $t=0$. Although the extensions of NCCM to **multireference** states has been discussed by several authors [71, no extensions of the ECCM formalism to open shell systems have appeared to date. The subsystem embedding condition has always been added as an additional sufficient condition to eliminate the operator redundancy in the open shell CCM theories. Our results on the other hand are different in the sense, the subsystem embedding condition emerges naturally in the algebraic theory. Secondly, it provides a natural extension of the ECCM theory to **multireference** states also.

We have made a limited study of the convergence properties of the TDCCM approach. **Specifically**, we studied the propagation of the harmonic oscillator ground state on several anharmonic potential energy surfaces and compared the results with the

numerically converged basis set results. The **applicability** of the CCM for evaluating the ground state energy of the AHOs has been studied quite extensively over the past few years [8-13]. One of the major findings of these studies is that the CCM provides a rapid convergence (relative to a **linear** basis set expansion) upto a point [8]. We find a similar situation in the present time dependent context also. Second, **Kaulfuss** and **Altenbokum** [10] showed that the CCM wave functions in the boson **representation** are not always **normalizable** thus may not form part of any L^2 **discretizable** Hilbert space. While this aspect of the CCM is not **satisfactory**, it does not hinder the calculations of any physically relevant quantities such as expectation values since U can be replaced with U and **Hausdorff** expansion [14] provides a simple recipe to evaluate the resulting expression. Third, several authors noted the existence of multiple solutions for the CCM equations for the ground states [11,12]. In the context of the time dependent Schroedinger equation these additional roots have no direct role to play, since one integrates first order differential equations in time as an initial value problem. However, since the solution oscillates around the roots of the stationary state equations, these spurious roots might affect the stability of numerical integration. This could have been the case **for** our inability to integrate for long enough times for a few **non-adiabatic** systems discussed in chapter 5. Further work on the nature of such spurious roots might throw more **light** on their influence on the dynamics.

The advantages of the exponential ansatz from a **computational** point of view appear at two levels. Since the quantity of primary interest is cluster operator $S = \ln(U)$, we

can expect a better convergence pattern because the logarithmic function changes more slowly than the linear function. We stress that the formalism developed in chapter 2 and the conclusions drawn here are general and are valid for any **anharmonic** system, because, as we have shown in chapter 2, all anharmonic systems with a given number of degrees of freedom belong to the same Lie-algebra. We also note that the wave function in the algebraic approach receives **contributions** from **all** the basis functions in the **Hilbert** space because of its exponential structure. For **example**,

$$\begin{aligned}
 U_0 |0\rangle &= \exp \left[\sum_m S_m a^{+m} \right] |0\rangle \\
 &= \exp(S_0) \{ |0\rangle + S_1 |1\rangle + (S_2 + S_1^2/2!) |2\rangle \\
 &\quad + (S_3 + S_1 S_2 + S_1^3/3!) |3\rangle + \dots \}. \quad (6.1)
 \end{aligned}$$

Even when S is approximated by a finite number of operators, the series in (6.1) contains **all** the basis functions in the Hilbert space, though the coefficients of some of these basis functions are dependent on the coefficients of the lower functions. This greater flexibility in the definition of the underlying wave function encompassing the entire Hilbert space of the wave functions is at the origin of the faster convergence pattern exhibited by the algebraic approach. The algebraic approach required very few variables to describe the dynamics of the **exponentially** repulsive potential, the Morse potential and the atom-diatom collision in the classical path **approximation** [1-5] while the basis set approach requires (in principle) infinite

number of variables (the coefficients of the basis functions in the Hilbert space such as the harmonic oscillator eigen-functions). All these coefficients of the basis functions are polynomial functions of the six Lie-algebraic parameters. These arguments carry over to anharmonic systems also. Second, for multidimensional systems, the size of the basis set required increases exponentially with the number of degrees of freedom in the system even when finite basis sets are used. On the other hand, number of cluster operators required to provide a numerically converged U increases only linearly with the number of degrees of freedom since S is the logarithm of U . These features make the algebraic approach particularly well suited for the description of dynamics on multidimensional anharmonic surfaces.

We have applied the TDCCM formalism to a few one and multidimensional systems to study its convergence properties in chapters 3,4 and 5. The calculations on the one dimensional systems, were carried out so that the results can be compared with those obtained from the basis set expansion approach. The one dimensional exponentially repulsive potential showed a faster convergence pattern than the basis set expansion method and the transition probabilities from the ground state to the higher eigenstates of the hamiltonian tallied well with the exact results. The calculations on the Morse potential energy surface showed similar trends. Vibrational transition probabilities were evaluated for the collision between an atom and a diatom and the results were found to very close to the exact even in the high energy region where the anharmonicities in the systems increase. Also, similar to the previous two applications the convergence

obtained is faster than the basis set expansion approach. In the two dimensional systems discussed in chapter 4, the **exponentially** repulsive surface describing the photodissociation dynamics in the Beswick and Jortner model [16] showed a very good convergence pattern and a good agreement with the basis set expansion approach. While we were unable to carry out convergence studies on the **Renon-Heils** system, we found that for the more **anharmonic** system II, one of the problems of **TDCCM**, namely the norm violation, appearing.

In chapter 5 we have applied the coherent state algebra and TDCCM which corresponds to the GWP [14] in the coordinate space **representation** to study the **non-adiabatic** dynamics of a few model systems. Two different approaches were made. In the first of them, we have mapped the two state, n mode hamiltonian to a one state, n mode hamiltonian. We then used the GWP in the coherent state algebraic formalism to evaluate the dynamics. In systems with only one **coupling** mode, the GWP results were in good agreement with the converged basis set results when the coupling was weak. Similar trends were found for systems with several vibrational modes though the agreement was not good. Hoping to better the results we have carried out the calculations using TDCCM. The equations obtained were very stiff and we could not integrate the equations for sufficiently long times to obtain reasonable spectra. We then extended the TDCCM approach to **multireference** state situations (**MRTDCCM**) and used it to obtain the dynamics of the **full** two state, n mode hamiltonian. While we were unable to carry out convergence studies, the results at S_1 level of **approximation** did improve the results to some extent.

The stiffness of the equations and the norm violation

encountered in certain systems can probably be overcome by shifting the coordinate system to a time dependent frame [17]. In this frame the new operators b and b^\dagger are related to the old creation and annihilation operators a and a . transformation

$$b_i^\dagger = a_i^\dagger + C_i(t) \quad (6.2)$$

$$b_i = a_i + C_i^*(t), \quad (6.3)$$

The working equations of motion for corresponding to the old set of operators given by

$$i\dot{S}(m) = \langle m | \bar{H} | 0 \rangle \quad (6.4)$$

are now modified to

$$i(\dot{S}_n + \dot{C} S_{n+1}) = \langle n | \bar{H} | 0 \rangle \quad (6.5)$$

where H is the effective hamiltonian and S_n are the cluster amplitudes in the time dependent frame. The additional equation on C can be used to decouple S from S leading to the classical equations of motion for the C coefficients. In this time dependent coordinate frame, the wave packet moves along its centroid in time. The potential is now expanded locally which reduces the effect of the global anharmonicities on the wave packet. Therefore, we expect the distortions in the wave packet to be less. In the absence of these distortions, the stiffness of the working equations may minimise.

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LIST OF PUBLICATIONS

- 1) Some aspects of the Lie-algebraic description of **anharmonic** dynamics"; G. Madhavi Sastry and M. Durga Prasad, **Theor. chim. acta (submitted)**.
- 2) "A gaussian wave packet propagation study of **non-adiabatic** dynamics"; G. Madhavi Sastry and H. Durga Prasad, **Proc. Indian Acad. Sci. (Chem. Sci.)** (in press).
- 3) "The time dependent coupled cluster approach to Molecular **photodissociation** dynamics"; G. Madhavi Sastry and M. Durga Prasad, **Chem. Phys. Lett.** (submitted).